**HTE: Annual Corporate Governance Report 2023**

On January 25, 2024, Ho Chi Minh City Electric Power Trading Investment Corporation announced Report No. 001/BC-TRADIN-HDQT.IV on the corporate governance in 2023 as follows:

* Name of Company: Ho Chi Minh City Electric Power Trading Investment Corporation
* Head office address: No. 14A road No. 85, Quarter 1, Tan Quy, District 7, Ho Chi Minh City
* Tel: (028) 2211 7898 Fax: (028) 2200 4079

Email: info@hcmpc-tradincorp.com

* Charter capital: VND 236,081,650,000
* Securities code: HTE
* Corporate Governance Model: The General Meeting, Board of Directors, Supervisory Board and Managing Director.
* Internal audit: Unimplemented.
1. Activities of the General Meeting:

Information about Meetings and General Mandates/Decisions of the General Meeting (including General Mandates approved by collecting shareholders' ballots):

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| No. | General Mandate/Decision of the General Meeting | Date | Contents |
| 1 | 014/2023/NQ-TRADIN-DHDCD | April 27, 2023 | Annual General Mandate 2023. Main contents are approved:* Approve the Report on activities of the Board of Directors in 2022 and the operational orientation for 2023;
* Approve the Report on activities of the Supervisory Board in 2022 and the operational orientation for 2023;
* Approve the Proposal on the Audited Consolidated and Separate Financial Statements 2022 of the Company.
* Approve the Proposal on production and business activities results in 2022, plan on appropriation for funds and dividends payment in 2022
* Approve the Proposal on the production and business plan for 2023;
* Approve the Proposal on selling treasury shares in the form of private placement
* Approve the Proposal on amending and supplementing to the Company's Charter.
* Approve the Proposal on amending and supplementing

The Internal Regulations on Corporate Governance* Approve the Proposal on amending and supplementing to the Operational Regulations of the Board of Directors.
* Approve the Proposal on amending and supplementing to the Operational Regulations of the Supervisory Board;
* Approve the Proposal on selecting an audit company to audit the Consolidated and Separate Financial Statements 2023;
* Approve the Proposal on remuneration for the Board of Directors and the Supervisory Board in 2023.
* Approve the Proposal on the dismissal and election of additional members of the Board of Directors:
* Approve the proposal on the dismissal and election of additional members of the Supervisory Board.
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1. Board of Directors (Annual Report 2023):
2. Information about members of the Board of Directors:

In 2023, there was a change in members of the Board of Directors in the term of 2022-2027

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| No. | Member of the Board of Directors | Position | Date of appointment/dismissal as member/independent member of the Board of Directors |
|  |  |  | Appointment date | Dismissal date |
| 1 | Mr. Nguyen Thanh Nha | Chair of the Board of Directors | April 27, 2023 |  |
| 2 | Mr. Nguyen Anh Vu | * Chair of the Board of Directors
* Member of the Board of Directors
 | October 3, 2022April 27, 2023 | April 27, 2023 |
| 3 | Ms. Truong Ngo Sen | Member of the Board of Directors | April 19, 2022 |  |
| 4 | Ms. Nguyen Thi Kim Loan | Member of the Board of Directors | April 19, 2022 |  |
| 5 | Mr. Chau Thanh Phong | Member of the Board of Directors | April 19, 2022 |  |
| 6 | Mr. Tran Dinh Khuyen | Member of the Board of Directors | December 8, 2022 | April 27, 2023 |

1. Board Resolutions

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| No. | Board Resolution/Board Decision | Date | Contents |
| 1 | 002/NQ-TRADIN-HDQT.IV | January 10, 2023 | Board Resolution on approving the transactions between the company and principal shareholders |
| 2 | 007/NQ-TRADIN-HDQT.IV | February 13, 2023 | Board Resolution on approving the organization plan of the Annual General Meeting 2023 |
| 3 | 012/NQ-TRADIN-HDQT.IV | March 7, 2023 | Board Resolution on approving the policy on investing in the solar rooftop for the head office of the Company |
| 4 | 014/NQ-TRADIN-HDQT.IV | March 8, 2023 | Board Resolution on the approval to inject the contents of dismissal and nomination of members the Supervisory Board into the agenda of the Annual General Meeting 2023 |
| 5 | 020/NQ-TRADIN-HDQT.IV | March 16, 2023 | Board Resolution on the approval to inject the contents of dismissal and nomination of members the Supervisory Board into the agenda of the Annual General Meeting 2023 |
| 6 | 022/NQ-TRADIN-HDQT.IV | March 16, 2023 | Board Resolution on approving the draft Financial Statements 2022 and production and business plan 2023 |
| 7 | 024/NQ-TRADIN-HDQT.IV | March 16, 2023 | Board Resolution on approving the policy on liquidating the pickup truck |
| 8 | 028/NQ-TRADIN-HDQT.IV | March 25, 2023 | Board resolution on approving the policy on “not purchasing the shares issued by Ho Chi Minh City Power Engineering Construction Consulting Joint Stock Company to existing shareholders to increase charter capital”  |
| 9 | 030/NQ-TRADIN-HDQT. IV | April 5, 2023 | Board Resolution on approving the draft documents to be submitted to the Annual General Meeting 2023 |
| 10 | 036/NQ-TRADIN-HDQT. IV | April 12, 2023 | Board Resolution on dismissing the position of the Head of Finance and Accountant Division and appoint the Chief Accountant of the Company. |
| 11 | 041/NQ-TRADIN-HDQT.IIII | April 19, 2023 | Board Resolution on approving the official documents to be submitted to the Annual General Meeting 2023 |
| 12 | 014/2023/NQ-TRADIN-DHDCD | April 27, 2023 | Annual General Mandate 2023 |
| 13 | 043/NQ-TRADIN-HDQT.IV | April 27, 2023 | Board Resolution on approving the election of Mr. Nguyen Thanh Nha as the Chair of the Board of Directors and appointment of Mr. Nguyen Anh Vu as Managing Director-cum-Legal representative of the Company |
| 14 | 048/NQ-TRADIN-HDQT.IV | May 26, 2023 | Board Resolution on approving the target for offering is professional share investors (selling treasury shares in the form of private placement) |
| 15 | 049/NQ-TRADIN-HDQT.IV | May 26, 2023 | Board Resolution on approving the plan to use capital from the private placement to investors |
| 16 | 050/NQ-TRADIN-HDQT.IV | May 26, 2023 | Board Resolution on implementing the plan to sell treasury shares in he form of private offering, approving the plan to ensure that the sale of treasury shares in the form of private offering can meet the foreign ownership ratio |
| 17 | 051/NQ-TRADIN-HDQT.IV | May 26, 2023 | Board Resolution on approving the offering dossier on the plan to use the capital from the private offering for investors |
| 18 | 053/NQ-TRADIN-HDQT.IV | June 9, 2023 | Resolution on the Meeting of the Board of Directors on approving to allow Electric Power Trading Investment Corporation to continue to construct and complete the project “Construction of a system of ditches, technical trenches, and pipes for underground power grid and telecommunications cables in the project of upgrading the Luong Dinh Cua Road, District 2”, assigned by the City People’s Committee as well as the construction progress is under the site handover plan of the Traffic Department |
| 19 | 055/NQ-TRADIN-HDQT.IV | June 15, 2023 | Board Resolution on approving the selection of an audit company for the financial year 2023 of Electric Power Trading Investment Corporation |
| 20 | 056/NQ-TRADIN-HDQT.IV | August 4, 2023 | Board Resolution on approving the transactions between the company and principal shareholders |
| 21 | 056/NQ-TRADIN-HDQT.IV | August 18, 2023 | Board Resolution on the meeting of the board of Directors in August, 2021 |

* Board Decisions:

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| No. | Board Resolution/Board Decision  | Date | Contents |
| 1 | 038/QD-TRADIN-HDQT. IV | April 12, 2023 | Decision on the dismissal of the Head of the Finance and Accountant Division of the Comapny |
| 2 | 039/QD-TRADIN-HDQT. IV | April 12, 2023 | Board Decision on appointing Chief Accountant of the Company |
| 3 | 068/QD-TRADIN-HDQT. IV | April 27, 2023 | Decision on appointing the Managing Director of the Company |

1. Supervisory Board/ Audit Committee (Report of 2023)
2. Information about members of the Supervisory Board:

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| --- | --- | --- | --- | --- |
| No. | Member of the Supervisory Board/the Audit Committee | Position | Date of appointment/dismissal as member of the Supervisory/the Audit Committee | Qualification |
| 1 | Mr. Nguyen Viet Hung | Chief of the Supervisory Board | April 19, 2022 | Master of Finance |
| 2 | Mr. Tran Minh Duc | Member of the Supervisory Board | April 27, 2023 | Doctor of Business Administration |
| 3 | Ms. Trinh Thi Thanh Thao | Member of the Supervisory Board | April 19, 2022 | Bachelor in Business Administration |
| 4 | Ms. Dinh Thi Hong Van | Member of the Supervisory Board | April 19, 2022- April 27, 2023 | Bachelor in Finance and Banking |

1. Executive Board.

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| No. | Member of the Executive Board | Date of birth | Qualification | Date of appointment/dismissal as member of the Executive Board |
| 1 | Mr. Nguyen Anh Vu | February 26, 1969 | Master of Business Administration | March 15, 2022 |
| 2 | Mr. Dang Nguyen Ngoc Nam | August 23, 1970 | Bachelor in Business Administration | May 1, 2017 |

1. Chief Accountant

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| No. | Full name | Date of birth | Qualification | Date of appointment/dismissal as member of the Executive Board |
| 1 | Mr. Nguyen Chanh Truc | May 3, 1982 | Bachelor in Economics | April 15, 2023 |

1. Training on corporate governance None.
2. List of related persons of the public company (Annual report) and transactions between the related persons of the Company and the Company itself
3. Transactions between the Company and related persons of the Company; or between the Company and principal shareholders, PDMR, or related persons of PDMR:

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| No. | Name of organization/individual | Relations with the Company | ID Card/ Business Registration Certificate No. | Place of issue | Address | Time of transaction | Approved General Mandate/Decision of the General Meeting or Approved Board Resolution/Decision | Note |
| 1 | Ho Chi Minh City Power Corporation | Mr. Nguyen Anh Vu - Managing Director (the position at the time of signing transaction contract) is the representative of the contributed capital of capital contributors (principal shareholders) | 0300951119 | Department of Planning and Investment of Ho Chi Minh City. | No. 35 Ton Duc Thang Road, Ben Nghe Ward, District 1, Ho Chi Minh City, Vietnam; | In 2023 | 002/NQ-TRADIN-HDQT. IV dated January 10, 2023 | Transactions between the Company and principal shareholders |

Transactions between the Company and principal shareholders where the Managing Director is the representative of the contributed capital of principal shareholders, focusing on business contract in materials and equipment, construction works, etc. The total value of the transaction contract is VND 126,304,923,588.

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| No. | Name of organization/individual | Relations with the Company | ID Card/ Business Registration Certificate No. | Place of issue | Address | Time of transaction | Approved General Mandate/Decision of the General Meeting or Approved Board Resolution/Decision | Note |
| 1 | Southern Power Corporation | Mr. Chau Thanh Phong - member of the Board of Directors is the representative of the contributed capital of capital contributors (principal shareholders) | 0300942001 | Department of Planning and Investment of Ho Chi Minh City. | No. 72 Hai Ba Trung Road, Ben Nghe Ward, District 1, Ho Chi Minh City, Vietnam; | In 2023 | 056/NQ-TRADIN-HDQT. IV dated August 4, 2023 | Transactions between the Company and principal shareholders  |

Transactions between the Company and principal shareholders where the member of the Board of Directors is the representative of the contributed capital of principal shareholders, focusing on business contract in materials and equipment The total value of the transaction contract is VND 24,197,432,116.

1. Transactions between Company’s PDMR, related persons of PDMR and subsidiaries or companies controlled by the Company None.
2. Transactions between the Company and other entities:

Transactions between the Company and the company in which members of the Board of Directors, members of the Supervisory Board, Executive Manager (the Managing Director) and other managers have been founding members or members of the Board of Directors or Executive Manager (the Managing Director) for the past three (03) years (calculated at the date of reporting).

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| No. | Name of organization/individual | Relations with the Company | ID Card/ Business Registration Certificate No. | Place of issue | Address | Time of transaction | Approved General Mandate/Decision of the General Meeting or Approved Board Resolution/Decision | Note |
| 1 | Ho Chi Minh City Power Engineering Construction Consulting Joint Stock Company | Mr. Nguyen dinh Tan - Managing Director (the position at the time of signing transaction contract) is the Chair of the Board of Directors of Ho Chi Minh City Power Engineering Construction Consulting Joint Stock Company City | 0305423225 | Department of Planning and Investment of Ho Chi Minh City. | No. 155A Quach Dinh Bao, Phu Thanh Ward, Tan Phu District, Ho Chi Minh City, Vietnam | In 2021 | 053/NQ-TRADIN-HDQT. III dated September 8, 2021054/QD-TRADIN-HDQT. III dated September 08, 2021 | Resolution on approving the signing of contracts related to PDMR |

\* Transactions between the Company and the Company in which the Managing Director is currently the Chair of the Board of Directors (the position at the time of signing transaction contract) mainly focuses on the design consultancy contracts of works in 2021 - phase 1. The total value of the transaction contract is VND 6,644,067,796.

* 1. Transactions between the Corporation and the company that related persons of members of the Board of Directors, members of the Supervisory Board, Executive Manager, and other managers who are members of the Board of Directors or Executive Manager: None.
	2. Other transactions of the Corporation (if any) that can bring about material or non-material benefits to the members of the Board of Directors, the members of the Supervisory Board, Executive Manager and other managers: None.
1. Share transactions of PDMR and related persons of PDMR (Semi-annual Report)
2. Company’s share transactions between PDMR and related persons: None
3. Other significant issues: None.