**KTC: Annual Corporate Governance Report 2023**

On January 30, 2024, Kien Giang Trading Joint Stock Company announced Report No. 03/BC-HDQT on the corporate governance in 2023 as follows:

* Name of the Company (registered for transaction): Kien Giang Trading Joint Stock Company
* Head office address: No.190, Tran Phu Road, Vinh Thanh Van Ward, Rach Gia City, Kien Giang Province.
* Tel: 0297.3862113 Fax: (0297) 3866080 Email: [ktc@ktcvn.com.vn](mailto:ktc@ktcvn.com.vn)
* Charter capital: VND 364,738,330,000.
* Securities code: KTC

1. Activities of the General Meeting:

Information about meetings and General Mandates/Decisions of the General Meeting (including General Mandates approved by collecting ballots)

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| No. | General Mandate/Decision of the General Meeting | Date | Contents |
| 1 | 28/NQ-KTC-DHDCD | June 26, 2023 | Annual General Mandate 2023 |

1. The Board of Directors (Report of 2023):
2. Information about members of the Board of Directors:

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| --- | --- | --- | --- | --- | --- | --- |
| No. | Member of the Board of Directors | Position | Date of appointment/dismissal as member of the Board of Directors | Number of Board Meetings attended | Attendance rate | Reason for absence |
| 01 | Mr. Nguyen Thanh Tung | Chair of the Board of Directors | July 22, 2017 | 3/3 | 100% |  |
| 02 | Mr. Vo Van Tan | Vice Chair of the Board of Directors | February 25, 2022 | 3/3 | 100% |  |
| 03 | Mr. Pham Van Hoang | Member of the Board of Directors- Managing Director | July 22, 2017 | 3/3 | 100% |  |
| 04 | Mr. Nguyen Duy An | Member of the Board of Directors - Deputy Managing Director | July 22, 2017 | 3/3 | 100% |  |
| 05 | Ms. Vo Thi Huong Giang | Member of the Board of Directors - Deputy Managing Director | July 22, 2017 | 3/3 | 100% |  |
| 06 | Mr. Vo Thai Son | Member of the Board of Directors | July 22, 2017 | 3/3 | 100% |  |
| 07 | Mr. Trinh Quoc Viet | Member of the Board of Directors | November 26, 2020 | 3/3 | 100% |  |
| 08 | Mr. Phung Phuong Quang | Member of the Board of Directors | April 22, 2019 | 3/3 | 100% |  |

1. Board Resolutions/Board Decisions (Report of 2023):

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| --- | --- | --- | --- |
| No. | Resolution/Decision | Date | Contents |
| 01 | 01/QD-KTC-HDQT | February 10, 2023 | Decision on consolidating the KTC's Emulation and Commendation Council. |
| 02 | 02/QD-KTC-HDQT | February 10, 2023 | Decision on consolidating the KTC's grassroots initiative review council. |
| 03 | 03/QD-KTC-HDQT | February 14, 2023 | Decision on assigning KTC's leaders to work in Japan (Mr. Nguyen Thanh Tung, Mr. Pham Van Hoang and Mr. Nguyen Duy An). |
| 04 | 04/QD-KTC-HDQT | February 14, 2023 | Decision on assigning KTC's leaders to work in Japan (Mr. Nguyen Thanh Tung, Mr. Pham Van Hoang, Mr. Nguyen Duy An, Mr. Chau Hoan Vo and Ms. Duong Thi Cam Nhieng). |
| 05 | 05/QD-KTC-HDQT | February 27, 2023 | Decision on establishing the Steering and Organizing Committee of the Annual General Meeting 2023 of KTC |
| 06 | 06/QD-KTC-HDQT | February 27, 2023 | Decision on establishing the Advisory Subcommittee to assist and serve the Annual General Meeting 2023 of KTC. |
| 07 | 08/QD-KTC-HDQT | March 9, 2023 | Decision on assigning Mr. Nguyen Duy An, Deputy Managing Director of KTC, on a business trip to China. |
| 08 | 13/NQ-KTC-HDQT | March 27, 2023 | Resolution on convening the Annual General Meeting 2023 of KTC |
| 09 | 14/NQ-KTC-HDQT | March 27, 2023 | Resolution on approving the total line of credit at banks in 2023. |
| 10 | 15/NQ-KTC-HDQT | March 27, 2023 | Resolution on approving the line of credit at Joint Stock Commercial Bank for Investment and Development of Vietnam - Kien Giang Branch (BIDV Kien Giang 500 billion). |
| 11 | 16/NQ-KTC-HDQT | March 27, 2023 | Resolution on approving the line of credit at Vietnam Prosperity Joint Stock Commercial Bank (VPBank Kien Giang 300 billion) |
| 12 | 17/NQ-KTC-HDQT | March 27, 2023 | Resolution on approving adjustments and supplements to Article 2, Article 4, Article 8 and Article 10 of the KTC salary regulations. |
| 13 | 18/QD-KTC-HDQT | March 27, 2023 | Resolution on approving adjustments and supplements to Article 2, Article 4, Article 8 and Article 10 of the KTC salary payment regulations. |
| 14 | 21/NQ-KTC-HDQT | May 18, 2023 | Resolution on approving the line of credit at Vietnam Prosperity Joint Stock Commercial Bank- Kien Giang Branch |
| 15 | 22A/NQ-KTC-HDQT | May 30, 2023 | Resolution on adjusting the time to hold the Annual General Meeting 2023 of KTC. |
| 16 | 24/NQ-KTC-HDQT | June 13, 2023 | Resolution on approving the policy of temporarily suspending the operation of KTC Branch in Ho Chi Minh City. |
| 17 | 25/QD-KTC-HDQT | June 13, 2023 | Decision on on approving the policy of temporarily suspending the operation of KTC Branch in Ho Chi Minh City. |
| 18 | 26/QD-KTC-HDQT | June 16, 2023 | Decision on assigning Mr. Pham Van Hoang, General Director of KTC, on a business trip to the Philippines. |
| 19 | 28/NQ/2023/DHDCD | June 26, 2023 | Annual General Mandate 2023 of KTC |
| 20 | 29/QD-KTC-HDQT | July 24, 2023 | Decision on appointing Mr. Nguyen Chan Hung to represent KTC's capital contribution at Kien Giang Tourism Joint Stock Company. |
| 21 | 30/QD-KTC-HDQT | July 24, 2023 | Decision on appointing Ms. Le Thi Thuy to join the Board of Supervisors of Kien Giang Tourism Joint Stock Company. |
| 22 | 33/QD-KTC-HDQT | August 7, 2023 | Decision on assigning the Managing Director to be on a business trip to Hungary and the Federal Republic of Germany. |
| 23 | 34/NQ-KTC-DHDCD | August 10, 2023 | Resolution on approving the policy of reappointing the position of Deputy Managing Director of KTC (Mr. Dang Van Lanh). |
| 24 | 35/QD-KTC-HDQT | August 10, 2023 | Decision on re-appointing the position of Deputy Managing Director of KTC (Mr. Dang Van Lanh). |
| 25 | 36/QD-KTC-HDQT | August 7, 2023 | Decision on assigning the Managing Director to be on a business trip to Federal Republic of Germany (Mr. Nguyen Duy An) |
| 26 | 37/QD-KTC-HDQT | August 17, 2023 | Decision on strengthening the Council's personnel to assess the capacity of rice suppliers according to KTC Rice Supply Business Regulations. |
| 27 | 39/NQ-KTC-HDQT | August 30, 2023 | Resolution on approving the the policy of approving the auction floor price for liquidation of unused assets (Tan Hiep - Kenh 7 Export Rice Processing Factory ; Giong Rieng Export Rice Processing Factory - Workshop 1) |
| 28 | 40/NQ-KTC-HDQT | September 26, 2023 | Resolution on approving the line of credit at Vietnam International Commercial Joint Stock Bank (300 billion). |
| 29 | 41/NQ-KTC-HDQT | September 26, 2023 | Resolution on approving the line of credit at Vietnam Maritime Commercial Join Stock Bank (50 billion) |
| 30 | 42/NQ-KTC-HDQT | September 26, 2023 | Resolution on approving the line of credit at Prosperity and Growth Commercial Joint Stock Bank - Sai Gon Branch (100 billion) |
| 31 | 45/NQ-KTC-HDQT | October 26, 2023 | Decision on promulgating the Regulation on salary payment |
| 32 | 46/QD-KTC-HDQT | October 26, 2023 | Decision on promulgating the Regulation on salary payment |
| 33 | 48/QD-KTC-HDQT | November 24, 2023 | Decision on consolidating the KTC's Emulation and Commendation Council. |
| 34 | 49/QD-KTC-HDQT | November 24, 2023 | Decision on consolidating the KTC's grassroots initiative review council. |
| 35 | 50/NQ-KTC-HDQT | December 7, 2023 | Resolution on approving the line of credit at Joint Stock Commercial Bank for Foreign Trade of Vietnam, Kien Giang Branch ( Vietcombank Kien Giang 300 billion) |
| 36 | 51/NQ-KTC-HDQT | December 12, 2023 | Resolution on approving the line of credit at Bac A Commercial Joint Stock Bank (200 billion) |

1. Supervisory Board (Annual Report 2023):
2. Information about members of the Supervisory Board:

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| --- | --- | --- | --- | --- | --- | --- |
| No. | Member of the Supervisory Board | Position | Date of appointment/dismissal as member of the Supervisory Board | Number of meetings attended | Attendance rate | Reason for absence |
| 1 | Nguyen Thi Bach Duong | Chief of the Supervisory Board | From September 18, 2018 until present | 3/3 | 100% |  |
| 2 | Tran Huu Nghi | Supervisor | From August 3, 2017 until present | 3/3 | 100% |  |
| 3 | Tran Cong Tam | Supervisor | From June 24, 2022 until present | 3/3 | 100% |  |
| 4 | Vo Chi Cong | Supervisor | From April, 2018 until present | 3/3 | 100% |  |
| 5 | Ly Thu Diem | Supervisor | From August 03, 2017 to present | 3/3 | 100% |  |

1. Training on corporate governance (None)
2. List of related persons of the listed company as prescribed in Clause 34, Article 6 of the Law on Securities (Report of 2023) and transactions between related persons of the Company and the Company itself.
3. Transactions between the Company and related persons of the Company; or between the Company and principal shareholders, PDMR and related persons of PDMR None.
4. Transactions between PDMR of listed company, related persons of PDMR and subsidiaries, companies controlled by listed company: (None)
5. Transactions between the Company and other entities
   1. Transactions between the Company and the companies in which members of the Board of Directors, the Supervisory Board and Executive Manager (Managing Director) have been founding members or members of the Board of Directors or Executive Manager (Managing Director) for the past three (03) years (as at the date of reporting): None
   2. Transactions between the Company and the companies in which related persons of members of the Board of Directors, members of the Supervisory Board, Executive Manager (Managing Director) or other managers are members of the Board of Directors or Executive Manager (Managing Director): None.
   3. Other transactions of the Company (if any) that can bring about material or non-material benefits to members of the Board of Directors, members of the Supervisory Board and Executive Manager (Managing Director).
6. Share transactions of PDMR and related persons of PDMR (Report of 2023) None.
7. Other significant issues (None)