**CAG: Annual Corporate Governance Report 2023**

On January 24, 2024, An Giang Port Joint-Stock Company announced Report No. 01/BC.THQT-CAG on the corporate governance in 2023 as follows:

* Name of listed company: An Giang Port Joint-Stock Company
* Head office address: National Road 91, Group 15, Dong Thinh B, My Thanh Ward, Long Xuyen City, An Giang Province.
* Tel: 0296 3831401; Fax: 0296 3831129; Email: cangmythoi@gmail.com
* Charter capital: VND 138,000,000,000
* Securities code: CAG
1. Activities of the General Meeting of Shareholders:

Information about Meetings and General Mandates/Decisions of the General Meeting of Shareholders (including General Mandates approved by collecting shareholders' opinions via a ballot):

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| --- | --- | --- | --- |
| No. | General Mandate/Decision of the General Meeting of Shareholders No. | Date | Content |
| 01 | 10/NQ-DHDCD | April 26, 2023 | The General Shareholders Meeting of An Giang Port Joint-Stock Company approved these following contents:Approve the Report of the Board of Directors on the operation results in 2022 and the orientation for 2023.Report of the Executive Board on production and business results in 2022 and the orientation and plan in 2023.Report on operational results of the Supervisory Board in 2022 and the operational orientation for 2023.Proposal on the Audited Financial Statements in 2022 of An Giang Port Joint-Stock CompanyProposal on the profit distribution plan in 2022Proposal on the business and production plan in 2023.Proposal on Realized Salary Fund Finalization in 2022 and Salary Fund Plan for 2023 of An Giang Port Joint-Stock CompanyProposal on remuneration of the Board of Directors and the Supervisory Board in 2022 and remuneration plan of the Board of Directors and the Supervisory Board for 2023.Proposal on the selection of an audit company to audit the Company’s Financial Statements in 2023. |

1. The Board of Directors 2023
2. Information about members of the Board of Directors:

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| --- | --- | --- | --- |
| No. | Member of the Board of Directors | Position (independent member, non-executive member of the Board of Directors) | Date of appointment/dismissal as member/independent member of the Board of Directors |
| Appointment date | Dismissal date |
| 01 | Le Viet Thanh | Chair of the Board of Directors (Non-executive member of the Board of Directors) | May 13, 2021 |  |
| 02 | Bui Thanh Hiep | Vice Chair of the Board of Directors-cum-General Manager | May 13, 2021 |  |
| 03 | Tran Van Cam | Member of Board of Directors-cum-Deputy General Manager | May 13, 2021 |  |
| 04 | Nguyen Quoc Bao | Non-executive member of the Board of Directors  | May 13, 2021 |  |
| 05 | Phan Thanh Tien | Independent member of the Board of Directors | May 13, 2021 |  |

1. Board Resolutions/Board Decisions in the first 06 months of 2023:

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| --- | --- | --- | --- |
| No. | Board Resolution/Board Decision No. | Date | Content |
| 01 | 08/NQ-HDQT | March 7, 2023 | The Board of Directors of the Company agreed on the time and program to organize the 2023 Annual General Meeting of Shareholders of An Giang Port Joint-Stock Company |
| 02 | 09/NQ-HDQT | April 18, 2023 | The Board of Directors An Giang Port Joint-Stock Company approved the following contents:Report on activities of the Board of Directors in 2022 and the operational orientation for 2023;Report of the Board of Directors on production and business results in 2022 and the production and business plan for 2023;Audited Financial Statements 2023.Plan on profit distribution in 2022Realized salary fund finalization in 2022Production and business plan in 2023Realized salary fund plan in 2023The remuneration settlement for the Board of Directors and the Supervisory Board in 2022 and the remuneration plan for the Board of Directors and the Supervisory Board in 2023;Report on activities of the Supervisory Board in 2022 and the operational orientation for 2023;Propose the selection of an auditing company for the fiscal year 2023 to be presented at the Annual General Meeting. |
| 03 | 10/NQ-HDQT | August 17, 2023 | The Board of Directors An Giang Port Joint-Stock Company approved the following contents:Report on the activities results of the Board of Directors in the first 6 months of 2023 and in the last 6 months of 2023:Report on Production and Business activities in the first 06 months of 2023 and operating orientation in the last 6 months of 2023:The Financial Statement in the first 06 months of 2023.Record the Report on the activities of the Supervisory Board in the first 06 months of 2023 and Operational Plan in the last 6 months of 2023. |
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| 04 | 11/NQ-HDQT | November 16, 2023 | The Board of Directors An Giang Port Joint-Stock Company approved the following contents:Report on Production and Business activities in the first 09 months of 2023 and operating orientation for Q4/2023The Financial Statement in the first 09 months of 2023.Report on the activities of the Supervisory Board in the first 9 months of 2023.Policy of researching warehouse investment at Binh Long Port Enterprise. Assign the General Manager to direct the implementation of investment projects and submit them to the Board of Directors for consideration.Regarding the arrangement of real estate facilities according to Decree 167. Decree 67 on assigning the General Manager to complete the dossier on the real estate arrangement plan and submit it to the competent authority for approval. |
| 05 | 01/QD-HDQT | May 9, 2023 | Regarding the dividend payment in cash in 2022 for shares of An Giang Port Joint-Stock Company. |
| 06 | 02/QD-HDQT | May 9, 2023 | Regarding the settlement of realized salary fund in 2022. |
| 07 | 03/QD-HDQT | May 9, 2023 | Regarding the assignment of the business and production plan for 2023. |
| 08 | 04/QD-HDQT | May 9, 2023 | Regarding the salary fund allocation plan for 2023. |
| 09 | 05/QD-HDQT | June 14, 2023 | Regarding the selection of an audit company for the Financial Statement 2023. |

1. The Supervisory Board 2023;
2. Information about members of the Supervisory Board:

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| --- | --- | --- | --- | --- |
| No. | Member of the Supervisory Board | Position | Date of appointment as member of the Supervisory Board. | Qualification |
| 01 | Tran Thi Thu Tra | Chief | May 13, 2021 | Bachelor of Economics |
| 02 | Tran Thi Thuy Hanh | Member | May 13, 2021 | Bachelor of English |
| 03 | Pham Van Thanh | Member | May 13, 2021 | Bachelor of Economics |

1. The Executive Board:

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| --- | --- | --- | --- | --- |
| No. | Member of The Executive Board | Date of birth | Qualification | Date of appointment as member of the Executive Board |
| 01 | Bui Thanh Hiep | December 4, 1967 | Bachelor of Finance - Accounting | July 29, 2021 |
| 02 | Tran Van Cam | January 7, 1971 | Bachelor of Business Administration | July 29, 2021 |
| 03 | Tran Tan Phong | May 18, 1976 | Bachelor of Accounting | July 29, 2021 |
| 04 | Nguyen Van Co | August 2, 1968 | Bachelor of Accounting | July 29, 2021 |

1. The Chief Accountant

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| --- | --- | --- | --- | --- |
| No. | Full name | Date of birth | Qualification: | Appointment date |
| 01 | Nguyen Van Co | August 2, 1968 | Bachelor of Accounting, Chief Accountant | July 29, 2021 |

1. Training on corporate governance
2. List of affiliated persons of the public company (Annual Report 2023) and transactions between the affiliated persons of the Company with the Company itself
3. Transactions between the Company and affiliated persons of the Company, or between the Company and major shareholders, PDMR, or affiliated persons of PDMR: None.

Notes: NSH Number\*: ID Card/Passport No. (for individuals) or Business Registration Certificate No., License on Operations No. or equivalent legal documents (for institutions)

1. Transactions between Company’s PDMR, affiliated persons of PDMR and subsidiaries or companies controlled by the Company None.
2. Transactions between the Company and other entities: None.
	1. Transactions between company and company that members of the Board of Directors, members of the Supervisory Board, the Manager (General Manager) and other managers have been founding members or members of the Board of Directors, the executive Manager (General Manager) in the last three (03) years (as of the time of making the report): None
	2. Transactions between the Company and companies that affiliated persons of members of the Board of Directors, members of the Supervisory Board, the Manager (the General Manager) and other managers are members of the Board of Directors, the Executive Manager (the General Manager).

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| No. | Name of organization/individual | Relations with the Company | NSH\* No., date of issue, place of issue | Head office address/Contact address | Time of transaction with the Company | General Mandate/Decision of the General Meeting of Shareholder No. or Board Resolution/Decision No. (including date of promulgation, if any) | Content, quantity, total value of transaction | Note |
| 1 | "Công ty Bảo Minh An Giang” (tentatively translated as Bao Minh An Giang Company) - Bao Minh Insurance Corporation | Subsidiary of Bao Minh Insurance Corporation (Mr. Le Viet Thanh is a member of the Board of Directors) | No. 0300446973-012 Business license No. 27GP/KDBH, dated September 8, 2004 by Ministry of Finance. | No. 20 Nguyen Cung, Cluster 1, My Long Ward, Long Xuyen City, An Giang Province  | Date of issue: April 24, 2023Date of issue: May 16, 2023Date of issue: September 18, 2023 |  | Guarantee ContractQuantity: 05 insurance contractsTotal transaction value: VND 55,789,580 |  |

* 1. Other transactions of the company (if any) that can bring material or non-material benefits to members of the Board of Directors, members of the Supervisory Board, Directors (General Manager) and other managers: None.
1. Share transactions of PDMR and affiliated persons of PDMR (Annual Report 2023): (Record date for the list of ownership: December 29, 2023 according to VSD's List; and Notice on share transactions of affiliated persons of PMDR dated August 9, 2023)
2. Transaction of PDMR and affiliated persons related to the Company’s shares: (Notice on share transactions of affiliated persons of PMDR dated August 9, 2023)

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| No. | Transaction conductor | Relations with PMDR | Number of shares owned at the beginning of the period | Number of shares owned at the end of the period | Reasons for increase or decrease (buy, sell, convert, reward, ...) |
| Number of shares | Rate | Number of shares | Rate |
| 01 | Hung Anh Export and Import One Member Company Limited | Nguyen Quoc Bao - member of the Board of Directors  | 604,600 | 4.38 | 7,500 | 0.054 | Sell shares |

1. Other significant issues: