**EIN: Annual Corporate Governance Report 2023**

On January 30, 2024, Electricity Investment- Service-Trade Joint Stock Company announced Report No. 02/BC-EIN on corporate governance in 2023 as follows:

* Name of company: Electricity Investment- Service-Trade Joint Stock Company
* Head office address: No. 4, Nguyen Sieu Street, Ben Nghe Ward, District 1, Ho Chi Minh City
* Tel: 028-73007068 Fax: 028-22200392 Email: info@ecinvest.vn
* Charter capital: VND 841,000,000,000
* Securities code: EIN
* Corporate Governance Model:
* The General Meeting of Shareholders, the Board of Directors, the Supervisory Board and the General Manager/Manager.
* Internal audit execution: Unimplemented
1. Activities of the General Meeting of Shareholders

Information about meetings and General Mandates/Decisions of the General Meeting of Shareholders (including General Mandates approved by collecting opinions via a ballot):

|  |  |  |  |
| --- | --- | --- | --- |
| No. | General Mandate No. | Date | Content |
| 1 | 14/NQ-DHDCD | June 26, 2023 | Annual General Mandate 2023:* Approve the report on the activities of the Board of Directors in 2022 and the operational plan 2023.
* Approve the report on the activities of the Supervisory Board in 2022, and the operational plan 2023.
* Approve the report on the situation of production and business activities 2022 of the Board of Management, and the operational plan 2023.
* Approve the Audited Financial Statements 2022 of the Company;
* Approve the Proposal on production and business results and profit distribution in 2022.
* Approve the Proposal on the production and business plan for 2023.
* Approve the Proposal on the remuneration for the Board of Directors and the Supervisory Board.
* Approve the Proposal on selecting an audit company for the Financial Statements 2023.
* Approve the Proposal on the dismissal and additional election of members of the Board of Directors for the term 2022 - 2027.
* Approve the Proposal on the dismissal and additional election of members of the Supervisory Board for the term 2022 - 2027
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1. The Board of Directors (Annual Report 2023)
2. Information about members of the Board of Directors:

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| --- | --- | --- | --- |
| No. | Member of the Board of Directors | Position (independent member, non-executive member of the Board of Directors) | Date of appointment/dismissal as member/independent member of the Board of Directors |
| Appointment date | Dismissal date |
| 1 | La Quang Binh | Chair of the Board of Directors | June 30, 2017 | March 08, 2023 |
| 1 | La Quang Binh | Independent member of the Board of Directors | March 08, 2023 | June 26, 2023 |
| 2 | Phung Hoai Ngoc | Executive member of the Board of Directors | June 30, 2017 |  |
| 3 | Tran Ngoc Thang | Independent member of the Board of Directors | April 27, 2018 |  |
| 4 | Nguyen Duy Tan | Independent member of the Board of Directors | April 27, 2018 | June 26, 2023 |
| 5 | Hoang Huy Hung | Chair of the Board of Directors | March 08, 2023 | June 26, 2023 |
| 5 | Hoang Huy Hung | Independent member of the Board of Directors | April 27, 2018 |  |
| 6 | Pham Minh Khanh | Chair of the Board of Directors | June 26, 2023 |  |
| 7 | Ho Quoc Cuong | Independent member of the Board of Directors | June 26, 2023 |  |

1. Board Resolutions/Decisions in 2023:

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| --- | --- | --- | --- |
| No. | Board Resolution/Decision No. | Date | Content |
| 1 | 04/2023/NQ-HDQT | March 08, 2023 | Board Resolution on the dismissal of the position of Chair of the Board of Directors of Mr. La Quang Binh and the election of Mr. Hoang Huy Hung to hold the position of Chair of the Board of Directors, effective from March 08, 2023 |
| 2 | 06/NQ-EIN-HDQT | March 21, 2023 | Board Resolution on the extension of the time to organize the Annual General Meeting of Shareholders 2023. |
| 3 | 07/QD-EIN-HDQT | March 23, 2023 | Board Resolution on the organization of the Annual General Meeting of Shareholders 2023 |
| 4 | 11/NQ-EIN-HDQT | April 28, 2023 | Board Resolution on the dismissal of Mr. Do Thanh Xuan from the position of Manager of Vietlife Travel And Import Export Service Trading Joint Stock Company, effective from April 28, 2023 |
| 5 | 12/NQ-EIN-HDQT | April 28, 2023 | Board Resolution on the appointment of Mr. Lai Hoang Chuong to the position of Manager of Vietlife Travel And Import Export Service Trading Joint Stock Company, effective from May 01, 2023, reappointment period of 03 years. |
| 6 | 14/NQ-DHDCD | June 26, 2023 | Annual General Mandate 2023 |
| 7 | 16/NQ-EIN-HDQT | June 26, 2023 | Board Resolution on approving the resignation of Mr. Hoang Huy Hung from the position of Chair of the Board of Directors and the election of Mr. Pham Minh Khanh as Chair of the Board of Directors of Electricity Investment- Service-Trade Joint Stock Company for the term 2022-2027 |
| 8 | 18/NQ-EIN-HDQT | June 30, 2023 | Board Resolution on the re-appointment of Ms. La Thi Vuong Quy to hold the position of Chief Accountant of Electricity Investment- Service-Trade Joint Stock Company, effective from July 01, 2023, reappointment period of 01 year |
| 9 | 20/NQ-EIN-HDQT | June 13, 2023 | Board Resolution on approving the selection of Southern Auditing & Accounting Financial Consulting Services Company Limited (AASCS) as the audit company for the Financial Statements 2023 of Electricity Investment- Service-Trade Joint Stock Company |
| 10 | 25/NQ-EIN-HDQT | December 20, 2023 | Board Resolution on the re-appointment of Mr. Phung Hoai Ngoc to the position of Branch Manager of Electricity Investment- Service-Trade Joint Stock Company - Electricity Tourism Hotel-cum-Branch Manager of Electricity Investment- Service-Trade Joint Stock Company - Vung Tau Electricity Tourism Hotel, from December 20, 2023, the term of office is 01 year. |
| 11 | 27/NQ-EIN-HDQT | December 29, 2023 | Board Resolution on the re-appointment of Ms. Tran Thi Nu Vuong to the position of Chief Accountant of Electricity Investment- Service-Trade Joint Stock Company, effective from January 01, 2024, appointment period of 03 years. |
| 12 | 29/NQ-EIN-HDQT | December 29, 2023 | Board Resolution on the dismissal of Mr. Lai Hoang Chuong from the position of Manager of Vietlife Travel And Import Export Service Trading Joint Stock Company, effective from December 31, 2023 and the appointment of Mr. Lai Hoang Chuong to the position of Deputy General Manager of Electricity Investment- Service-Trade Joint Stock Company, effective from January 01, 2024, appointment period of 03 years. |

1. The Supervisory Board/Audit Committee:
2. Information about the members of the Supervisory Board/Audit Committee:

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| --- | --- | --- | --- | --- |
| No. | Member of the Supervisory Board/the Audit Committee | Position | Date of appointment/dismissal as member of the Supervisory/the Audit Committee | Qualification |
| 1 | Ms. Le Ngoc Quynh | Chief of the Supervisory Board | June 30, 2022 | Bachelor of Accounting |
| 2 | Ms. Do Thi Kim Dung | Member | July 24, 2019 to June 26, 2023 | Bachelor of Economics |
| 3 | Nguyen Thi Cam Ha | Member | June 30, 2022 | Bachelor of Accounting |
| 4 | Nguyen Long Hung | Member | June 26, 2023 | Bachelor of Accounting |

1. The Executive Board

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Executive Board | Date of birth | Qualification | Date of appointment/dismissal as member of the Executive Board |
| 1 | Mr. Phung Hoai Ngoc | August 11, 1985 | Bachelor of Foreign Language | September 15, 2018 |

1. Chief Accountant

|  |  |  |  |
| --- | --- | --- | --- |
| Full name | Date of birth | Qualification | Date of appointment /dismissal |
| La Thi Vuong Quy | May 01, 1986 | Bachelor of Accounting and Auditing | July 01, 2020 |

1. Training on corporate governance:
2. List of affiliated persons of the public company (Semi-annual Report 2023) and transactions between affiliated persons of the Company and the Company itself
3. Transactions between the Company and affiliated persons of the Company; or between the Company and major shareholders, PDMR, and affiliated persons of PDMR

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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| No. | Name of organization/individual | Relations with the Company | NSH No., Date of issue, Place of issue of NSH  | Head office address/Contact address | Time of transaction with the Company | Approved General Mandate/Decision of the General Meeting of Shareholders No. or Board Resolution/Decision No. (including promulgation date, if any) | Content, quantity, total value of transaction | Note |
|  | None |  |  |  |  |  |  |  |

Notes: NSH\* No. ID Card/Passport No. (for individuals) or Business Registration Certificate No., License on Operations No. or equivalent legal documents (for organizations)/NSH

1. Transactions between PDMR of the Company, affiliated persons of PDMR and subsidiaries, companies under the authority of the Company

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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| No. | Transaction conductor | Relations with PMDR | Position at the listed company | ID Card/Passport No., Date of issue, Place of issue | Address | Name of subsidiaries, companies controlled by the listed company | Time of transaction | Content, quantity, total value of transaction | Note |
|  | None |  |  |  |  |  |  |  |  |

1. Transactions between the Company and other entities:
	1. Transactions between the Company and companies in which members of the Board of Directors, members of the Supervisory Board, the Manager (the General Manager) and other managers have been founding members or members of the Board of Directors, the Executive Manager (the General Manager) for the past three years (calculated at the time of reporting): None
	2. Transactions between the Company and companies where affiliated persons of members of the Board of Directors, members of the Supervisory Board and the Manager (General Manager) and other managers are members of the Board of Directors, the Executive Manager (General Manager): None
	3. Other transactions of the Company (if any) which can bring material or non-material benefits to members of the Board of Directors, members of the Supervisory Board, the Manager (General Manager) and other managers: None
2. Share transactions of PDMR and affiliated persons of PDMR (Annual Report 2023)
3. Company’s share transactions of PDMR and affiliated persons:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| No. | Transaction conductor | Relations with PMDR | Number of shares owned at the beginning of the period | Number of shares owned at the end of the period | Reasons for increase or decrease (buy, sell, convert, reward,...) |
| Number of shares | Rate | Number of shares | Rate |
|  | None |  |  |  |  |  |  |

1. Other significant issues:

None