**MDC: Annual Corporate Governance Report 2023**

On January 30, 2024, Vinacomin - Mong Duong Coal JSC announced Report No. 390/BC-TMD on corporate governance in 2023 as follows:

* Name of listed company: Vinacomin - Mong Duong Coal JSC
* Head office address: Mong Duong Ward, Cam Pha City, Quang Ninh Province
* Tel: 0203 3868 271 (272).
* Fax: 0203 3868 276
* Email: thanmongduongvnc@gmail.com.
* Charter capital: VND 214,183,460,000.
* Securities code: MDC
1. Activities of the General Meeting of Shareholders:
* On June 30, 2023, the Company successfully held the Annual General Meeting of Shareholders 2023.

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| No. | General Mandates/Decisions of the General Meeting of Shareholders No. | Time | Content |
| 1 | 91 /NQ-DHDCD- MDC | June 30, 2023 | The Annual General Mandate 2023 approved the following contents:1. Approve the production and business results of 2022, term III (2018 - 2023); The production and business plan for 2023, term IV (2023-2028).
2. Approve the Report on salary and remuneration of members of the Board of Directors, the Supervisory Board of the Company in 2022 and propose a remuneration rate for 2023
3. Approve the Financial Statements 2022
4. Approve the Profit Distribution Plan for 2022 and proposed the dividend payment yield for 2022
5. Approve the Board of Directors' activity report for 2022, for the entire term III 2018-2023.
6. Approve the production and business results assessment report, the audited Financial Statements assessment for 2022; the Supervisory Board's activity report and the evaluation of the Company's management by the Board of Directors, the Executive Manager during 2022, for the entire term III 2018-2023.
7. Approve the election results for members of the Board of Directors, independent members of the Board of Directors, and the Supervisory Board for the term 2023-2028 of the Company.
8. Approve the selection of an audit company for the Financial Statements 2023.
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* On October 21, 2023, the Company successfully organized the Extraordinary General Meeting of Shareholders 2023.

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| No. | General Mandates/Decisions of the General Meeting of Shareholders No. | Time | Content |
| 1 | 111 /NQ- DHDCDBT-MDC | October 21, 2023 | The Extraordinary General Mandate 2023 approved the following contents:1. Approve the nomination of independent members of the Board of Directors for 2023 Vinacomin - Mong Duong Coal JSC
2. Approve the election results for independent members of the Board of Directors for the term 2023-2028 of the Company.
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1. The Board of Directors in 2023:
2. Information about members of the Board of Directors in the period of 2023-2028:

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| --- | --- | --- | --- |
| No. | Members of the Board of Directors | Position at the Company | Date of appointment/dismissal as member of the Board of Directors |
| Appointment date | Dismissal date |
| 1 | Tran The Thanh | Chair of the Board of Directors | March 01, 2020 | June 30, 2023 |
| 2 | Nguyen Trong Tot | Chair of the Board of Directors | June 30, 2023 |  |
| 3 | Hoang Trong Hiep | Member of the Board of Directors - The Manager | June 30, 2023 |  |
| 4 | Vu Tien Quang | Member of the Board of Directors |  | June 30, 2023 |
| 5 | Ngo Xuan Thuy | Member of the Board of Directors, Deputy Manager | June 30, 2023 |  |
| 6 | Vadym D’omin | Member of the Board of Directors | June 30, 2023 |  |

The Board of Directors of the Company for term III (2018-2023) consisted of 05 members; By June 30, 2023, the Company organized the Annual General Meeting of Shareholders and elected the Board of Directors for term IV 2023-2028, which included 04 members (the independent member of the Board of Directors was not yet completed).

On October 21, 2023, the Company successfully organized the Extraordinary General Meeting of Shareholders for the year 2023 to elect the independent member of the Board of Directors for the term 2023-2028; after completing the information, the members of the Board of Directors for the term 2023-2028 are as follows:

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| --- | --- | --- | --- |
| No. | Members of the Board of Directors | Position at the Company | Date of appointment/dismissal as member of the Board of Directors |
| Appointment date | Dismissal date |
| 1 | Nguyen Trong Tot | Chair of the Board of Directors | June 30, 2023 |  |
| 2 | Hoang Trong Hiep  | Member of the Board of Directors - The Manager | June 30, 2023 |  |
| 3 | Ngo Xuan Thuy | Member of the Board of Directors, Deputy Manager | June 30, 2023 |  |
| 4 | Vadym D’omin | Member of the Board of Directors | June 30, 2023 |  |
| 5 | Pham Van Tac | Independent member of the Board of Directors | October 21, 2023 |  |

1. Board Resolutions/Decisions (Semi-Annual Report of 2023):

In 2023, the Board of Directors of the Company held 49 meetings, announced 49 Resolutions (Details in Appendix No. 01 (attached)).

1. Activities of the Supervisory Board (Report of 2023)
2. Information about members of the Supervisory Board:

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| --- | --- | --- | --- | --- |
| No. | Member of the Supervisory Board | Position | Date of appointment/dismissal as member of the Supervisory Board | Qualification |
| 1 | Nguyen Thi Tam | Chief of the Supervisory Board | April 24, 2018 | Bachelor of Accounting |
| 2 | Duong Hai Yen | Member | April 27, 2016, to June 30, 2023 | Mining Economics Engineer |
| 3 | Nguyen The Hanh | Member | April 25, 2013 | Mining Economics Engineer |
| 4 | Nguyen Tien Hung | Member | June 30, 2023 | Mining Engineer |

1. The Executive Board

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| No. | Member of the Executive Board | Date of birth | Qualification | Appointment date | Dismissal date |
| 1 | Mr. Hoang Trong Hiep | February 14, 1980 | Master of Mining | May 2014 and April 03, 2022 |  |
| 2 | Mr. Vu Tien Quang | June 20, 1972 | Master of Mining | December 2007 | January 02, 2023 |
| 3 | Mr. Nguyen Huu Hung | April 17, 1973 | Mining Engineer, Electrical Engineer | May 2018 |  |
| 4 | Mr. Tran Manh Ha | February 10, 1979 | Master of Mining | July 09, 2021 |  |
| 5 | Mr. Lai Quang Trung | September 15, 1978 | Master of Mining | July 25, 2022 |  |
| 6 | Mr. Ngo Xuan Thuy | August 25, 1979 | Master of Mining | January 02, 2023 |  |

1. The Chief Accountant
2. The Chief Accountant

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| No. | Full name | Date of birth | Qualification | Appointment date | Dismissal date |
| 1 | Pham Cam Hai | September 30, 1982 | Bachelor of Economics | April 17, 2018 | January 01, 2024 |

1. Person in charge of Accountant

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| No. | Full name | Date of birth | Qualification | Appointment date | Note |
| 1 | Ha Duc Hieu | November 25, 1986 | Bachelor of Business Administration | January 01, 2024 | Person in charge of Accountant |

1. Training on corporate governance
2. List of affiliated persons of the Company in 2023 and transactions of affiliated persons of the Company with the Company itself:
3. Transactions between the Company and affiliated persons of the Company; or between the Company and major shareholders, PDMR and affiliated persons of PDMR

(Details in the attached Appendix 03)

1. Transactions between PDMR of the listed company, affiliated persons of PDMR and subsidiaries or companies controlled by the listed company:

(None)

1. Transactions between the Company and other entities/
	1. Transactions between the Company and companies where members of the Board of Directors, members of the Supervisory Board, the Executive Manager have been founding members or members of the Board of Directors, the Executive Manager for the past three (03) years:

(Details in the attached Appendix 03)

* 1. Transactions between the Company and the companies where the affiliated persons of members of the Board of Directors, members of the Supervisory Board, the Executive Manager are members of the Board of Directors or the Executive Manager

(None)

* 1. Other transactions of the Company (if any) which can bring material or non-material benefits to members of the Board of Directors, members of the Supervisory Board, the Executive Manager: (None)
1. Share transactions of PDMR and affiliated persons of PDMR:
2. Listed company’s share transactions of PDMR and affiliated persons of PDMR: None.
3. Other significant issues:

Appendix 01: Board Resolutions (in 2023)

|  |  |  |  |
| --- | --- | --- | --- |
| No. | Resolution No. | Promulgation date | Content |
| 1 | 03 /NQ-HDQT | January 02, 2023 | 1. The Board of Directors approves the assignment of tasks to members of the Board of Directors - Vinacomin - Mong Duong Coal JSC.
2. The Board of Directors approves the Board of Directors's activity plan for 2023 - Vinacomin - Mong Duong Coal JSC.
3. The Board of Directors reviews Proposal No. 3842/TTr-TMD dated December 27, 2022 on the approval of the audit plan for 2023 - Vinacomin - Mong Duong Coal JSC.
4. The Board of Directors reviews Proposal No. 3843/TTr-TMD dated December 27, 2022 on the approval of the inspection and supervision plan for 2023 - Vinacomin - Mong Duong Coal JSC.
5. The Board of Directors reviews Proposal No. 3921/BC-TMD dated December 30, 2022 from the company's Manager on the approval of the contractor selection results for bidding Package No. 04: Supply of transportation equipment for the Investment project serving production in 2022 - Mong Duong Coal Joint Stock Company.
6. The Board of Directors reviews Proposal No. 3923/Tr-TMD dated December 30, 2022 from the company's Manager on the approval of adjustments to some main targets of the Production and Business Plan for 2022.
7. Implement the directives from the representative of the capital at Mong Duong Coal Joint Stock Company - Vinacomin.
* Implement Decision No. 2058/QD-TKV dated December 29, 2022 by TKV on promulgating the Regulation on archival work of TKV.
* Implement Decision No. 2071/QD-TKV dated 30/12/2022 by TKV on promulgating the inspection plan for 2023 of TKV.
* Implement Directive No. 223/CT-TKV dated December 30, 2022 by TKV on digital transformation at TKV.
 |
| 2 | 05 /NQ-HDQT | January 05, 2023 | Meeting to discuss and approve the following contents: The Board of Directors reviews Proposal No. 31/TTr-TMD dated January 4, 2023 on the approval of the provisional business production plan for 2023 - Vinacomin - Mong Duong Coal JSC. |
| 3 | 09 /NQ-HDQT | January 12, 2023 | 1. On the review results of the Technical Mining Plan for layers -250/-550 of the Phase II mining project at Mong Duong mine- Vinacomin - Mong Duong Coal JSC (Adjustment)
2. Implement the Notice No. 79/TKV-KH dated

January 10, 2023 by TKV on the announcement of main targets of the business direction plan for 2023.1. Approve the adjusted labor, wage plan targets for 2022 of Vinacomin - Mong Duong Coal JSC
2. Implement the Notice No. 67/TB-KTNN dated January 11, 2023 by the State Audit on announcing the audit results at Vinacomin - Mong Duong Coal JSC
 |
| 4 | 15 /NQ-HDQT | February 07, 2023 | 1. Review the reappointment plan for officials (Dong Xuan Dung, Vu Duc Dinh).
2. Approve the labor, wage plan targets for 2023 of Vinacomin - Mong Duong Coal JSC
3. Approve the training, recruitment plan targets for 2023;
4. Approve the Investment Construction Plan Report 2022-Investment Construction Plan for 2023;
 |
| 5 | 19 /NQ-HDQT | February 17, 2023 | The Board of Directors reviews Report No. 358/BC-TMD dated February 15, 2023 from the Company's Manager on participation in the investment policy of project No. 1 within the Khe Day port cluster - Mong Duong at Mong Duong ward, Cam Pha city. |
| 6 | 23 /NQ-HDQT | February 23, 2023 | 1 The Board of Directors reviews Report No. 405/BC-TMD dated February 20, 2023 from the Company's Manager on the approval of the Feasibility Study Report content for the project and the adjustment of moving the plan from reserve to official for the Investment project serving production in 2023-Vinacomin - Mong Duong Coal JSC |
| 7 | 25 /NQ-HDQT | February 23, 2023 | 1 Meeting to discuss and approve the following content: Implement Document No. 63/TTDHSX-KCM+MT dated February 22, 2023 by TKV Group on the Construction plan for excavation, dumping, and filling in the depression area at the West Wing of Mong Duong mine. |
| 8 | 27 /NQ-HDQT | March 10, 2023 | 1. The Board of Directors reviews the Proposal on approving the plan to stop the coal stockpile +30;
2. The Board of Directors approves the inspection, Legal regulations,
3. Add to the Investment Construction Plan for 2023.
 |
| 9 | 29 /NQ-HDQT | March 10, 2023 | 1 Board of Directors reviews the policy of re-appointing the Deputy General Manager |
| 10 | 31 /NQ-HDQT | March 20, 2023 | 1 The Board of Directors reviews the approval of the leadership personnel planning for the terms 2020-2025; 2025-2030; |
| 11 | 33 /NQ-HDQT | March 23, 2023 | 1. The Board of Directors reviews Proposal No. 752 / TTr - TMD dated March 20, 2023 on the re-appointment of personnel - Vinacomin - Mong Duong Coal JSC.
2. The Board of Directors reviews Proposal No. 779 /TTr - TMD dated March 21, 2023 on the advance of the Reward Fund 2022 of the company - Vinacomin - Mong Duong Coal JSC.
3. The Board of Directors reviews Proposal No. 790 /TTr - TMD dated March 22, 2023 on the approval of the Salary Fund 2022 of Vinacomin - Mong Duong Coal JSC
4. Implement the directives of the capital representative of TKV in the company

(i) Implement the directives of TKV in document No.1102/TKV-DT dated March 20, 2023 of TKV on the review of the technical mining plan for layers -250/-550 of the phase II mining project of Mong Duong coal mine - Vinacomin - Mong Duong Coal JSC (adjustment). |
| 12 | 35/BB-HDQT | March 27, 2023 | 1 Approve the plan for using the reward and welfare fund 2023 |
| 13 | 37/NQ-HDQT | March 30, 2023 | 1 Approve the report of TKV about the company's General Meeting of Shareholders |
| 14 | 39/NQ-HDQT | March 30, 2023 | Approve the plan for the transfer and appointment of personnel in the company's departments (Ms. Yen); |
| 15 | 41 /NQ-HDQT | April 07, 2023 | 1 Approve the results of the Deputy General Manager appointment process (Nguyen Huu Hung); |
| 16 | 48 /NQ-HDQT | April 10, 2023 | 1 On the appointment of personnel (Ms. Duong Hai Yen - person in charge of internal audit) - Vinacomin - Mong Duong Coal JSC. |
| 17 | 52/NQ-HDQT | April 21, 2023 | 1 Approve the outline and estimate for the project to enhance the transport capacity of Vinacomin - Mong Duong Coal JSC |
| 18 | 55 /NQ-HDQT | May 02, 2025 | 2 Approve the content of the plan to organize the Annual General Meeting of Shareholders for the year 2023 - Vinacomin - Mong Duong Coal JSC (Second time) |
| 19 | 57/NQ-HDQT | May 02, 2023 | 1. On the approval of the Technical Drilling Plan for exploration to serve the mining in 2023 - Vinacomin - Mong Duong Coal JSC (Abbreviated as the TDP 2023).
2. Implement the directives of the capital representative: Implement the directive in document No. 1915 /TKV- TCNS dated April 27, 2023 of TKV Corporation on the review and supplementation of the leadership personnel planning of subsidiaries and units under TKV for the periods 2020 - 2025 and 2025-2030;
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| 20 | 59/NQ-HDQT | May 05, 2023 | 1 On the content adjustment, supplementation, and finalization of the Feasibility Study Report for the Investment Project of building the 35/6kV transformer station at Mong Duong coal mine - Vinacomin - Mong Duong Coal JSC as required in the official letter No. 5278/TKV-DT dated November 21, 2022 of TKV |
| 21 | 61/NQ-HDQT | May 06, 2023 | 1 On the approval of the plan to review and supplement the personnel planning of the Company's management for the periods 2020-2025 and 2025-2030 (Review in 2023) |
| 22 | 63 /NQ-HDQT | May 12, 2023 | 1 On the approval of the plan to review and supplement the leadership personnel planning of the Company under the management of TKV Group for the periods 2020 - 2025 and 2025-2030 (Review in 2023). |
| 23 | 65/NQ-HDQT | May 16, 2023 | 1 On the approval of the list to review and supplement the leadership personnel planning of the Company under the management of TKV Group for the periods 2020-2025 and 2025-2030 (after review and supplementation in 2023) |
| 24 | 67/NQ- TMD | May 16, 2023 | 1 On the approval of the list for review and supplementation of the personnel planning for the Company's management for the periods 2020-2025 and 2025-2030 (after review and supplementation in 2023). |
| 25 | 73 /NQ-HDQT | June 05, 2023 | 1 On the approval of the technical mining plan for layers -250/-550 of the phase II mining project of Mong Duong coal mine - Vinacomin - Mong Duong Coal JSC (adjustment) |
| 26 | 75 /NQ-HDQT | June 07, 2023 | 1 Approve on the appointment of Deputy General Manager (Nguyen Huu Hung); |
| 27 | 77 /NQ-HDQT | June 07, 2023 | 1. The Board of Directors reviews Proposal No. 1639 /TTr-TMD dated

May 31, 2023 from the Company Manager on short-term and medium-long term credit limits and some financial indicators for 20231. The Board of Directors reviews Proposal No. 1651 /TTr-TMD dated June 1, 2023 from the Company Manager on the approval of the technical plan and budget for the project: monitoring the dynamics of mine tunnels of Vinacomin - Mong Duong Coal JSC in 2023
2. The Board of Directors reviews Proposal No. 1652 /TTr-TMD dated June 1, 2023 from the Company Manager on the approval of the technical plan and budget for the project: monitoring and testing the geometric conditions of vertical shafts of Vinacomin - Mong Duong Coal JSC in 2023
3. The Board of Directors reviews Proposal No. 1652 /TTr-TMD dated June 1, 2023 from the Company Manager on the approval of the technical plan and budget for the project: staking and constructing additional boundary markers for mining and resource management of Vinacomin - Mong Duong Coal JSC in 2023
 |
| 28 | 81/NQ-HDQT | June 23, 2023 | 1. The Board of Directors reviews Proposal No. 1684 /TTr-TMD dated June 5, 2023 from the Company Manager on the consideration of re-appointment of personnel.
2. The Board of Directors reviews Report No. 1873 /BC-TMD dated June 20, 2023 from the Company Manager on organizing a vacation abroad for outstanding employees who have excellently completed production and business tasks in the first 5 months of 2023
 |
| 29 | 83 /NQ-HDQT | June 23, 2023 | 1 Adjustment of the time for the General Meeting of Shareholders |
| 30 | 85/NQ-HDQT | June 23, 2023 | 1. The Board of Directors reviews Report No. 1830 /BC-TMD dated June 16, 2023 from the Company Manager on the request for approval of the Project and approval to move from contingency to official implementation for the Production Service Investment Project 2023 - Vinacomin - Mong Duong Coal JSC.
2. The Board of Directors reviews Report No. 1837 /BC-TMD dated

June 16, 2023 from the Company Manager on the request for approval of the results of the contractor selection for bidding package No. 03: Supply of ventilation, drainage, safety equipment, and auxiliary equipment for the Production Service Investment Project 2022 - Vinacomin - Mong Duong Coal JSC |
| 31 | 89/NQ-HDQT | June 30, 2023 | Approve overseas travel (Mr. Hiep, Mr. Thuy).2 Approve the results of the contractor selection for the exploration drilling package serving mining in 2023 |
| 32 | 93 /NQ-HDQT | July 03, 2023 | 1. The Board of Directors reviews the assignment of tasks to the members of the Board of Directors for the IV term 2023-2028:
2. The Board of Directors reviews the assignment of tasks to the members of the Board of Directors for the IV term 2023-2028

Decision No. 829/Decision-TKV dated June 5, 2023 by the TKV Group Board on promulgating the additional inspection and supervision plan for 2023 of TKV GroupOn Official Dispatch No. 2393/TKV-KTTC dated June 5, 2023 from TKV “Regarding the payment of dividends for 2022” |
| 33 | 95 /NQ-HDQT | July 03, 2023 | 1. On the reward for management staff in 2022;
2. On the re-appointment of personnel;
3. On the approval of the plan for using the Reward Fund, Welfare Fund of the Company for the year 2023
4. Receive the Report from the Company Manager on the implementation of documents sent to the capital representative of TKV: Document No. 3714 /TKV-KH dated August 2, 2023 from TKV on Guidelines for building the business coordination plan for 2024;
5. Contents within the authority of the Board of Directors;
 |
| 34 | 97 /NQ-HDQT | August 21, 2023 | 1 Plan to organize the Extraordinary General Meeting of Shareholders 2023 |
| 35 | 98 /NQ-HDQT | August 21, 2023 | 1 Approve the content of the plan to enhance transport capacity; |
| 36 | 99 /NQ-HDQT | August 21, 2023. | 1. Approve the plan for personnel completion of independent members of the Board of Directors;
2. Implement the directives of the capital representative and other contents within the authority of the Board of Directors.
* Document No. 3958 /TKV-TN dated August 16, 2023 from TKV Group on the recognition of the Central Coal Warehouse of Vinacomin - Mong Duong Coal JSC.
* Document No. 4009/TKV-KH+KCM dated August 18, 2023 from TKV on guidelines for supplementing the construction of the business coordination plan for 2024.
* Document No. 3952/TKV-KTTC+VTM dated August 16, 2023 on urging the recovery of pre-capital advances for purchasing mine shaft timber from forestry companies.
* Review the system of regulations and procedures; proposing amendments and supplements to ensure all management documents in the Company (including regulations on document work, archiving; regulations on information disclosure on the securities market).
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| 37 | 101 /NQ-HDQT | September 22, 2023 | 1. On the transfer and appointment of personnel;
2. On the Regulations on Archives and Records Management;
3. Approve the Information Disclosure Regulations
4. The Board of Directors receives to Reports on the construction of investment plans, business directions;
5. The Board of Directors receives to reports and gave opinions on the preventive measures the Company implemented after TKV experienced labor accidents and incidents in August 2023.
6. The Board of Directors receives to the Company Manager's report on the implementation of TKV's documents:
* Document No. 458/CD-TKV dated August 22, 2023, on the supervision of the topic "profit distribution, provision and use of funds in 2022, the difference between owner's equity and charter capital as of June 30, 2023, of the subsidiaries with TKV's capital contribution."
* Document No. 1416/QD-TKV dated August 25, 2023, on the issuance of the Production and Business Process Code of Vietnam National Coal - Mineral Industries Group.
* Document No. 4167/TKV-VTM dated August 29, 2023, on requesting opinions on amending and supplementing the regulations on material management;
* Document No. 4203/TKV-DT dated August 31, 2023, on the implementation of procedures related to the legal regulations on investment
* Document No. 4204/TKV-DT dated August 31, 2023, on guidelines for building the investment plan for 2024
* Document No. 4490/TKV-DT dated September 14, 2023, on urging the implementation of the monthly periodic reporting regime on the process of implementing procedures to remove difficulties and obstacles related to land
* Document No. 357/TTDHSX-KCM1 dated September 4, 2023, on the content of the Plan to improve the transport capacity of Vinacomin - Mong Duong Coal JSC
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| 38 | 103 /NQ-HDQT | September 29, 2023 | 1 Approve the results of personnel nominations, independent member candidates for the Board of Directors  |
| 39 | 105 /NQ-HDQT | September 29, 2023 | 1 Report on the results of the implementation of the Technical Mining Plan for layers -250/-550 of the Phase II mining project of Mong Duong coal mine - Mong Duong Coal Company (Adjustment). |
| 40 | 107 /NQ-HDQT | October 05, 2023 | 1 The policy of reappointing personnel for the position of Chief Accountant of the Company. |
| 41 | 109 /NQ-HDQT | October 20, 2023 | Approve the Report on the organization of the Extraordinary General Meeting of Shareholders in 2023 - Vinacomin - Mong Duong Coal JSC |
| 42 | 113 /NQ-HDQT | October 31, 2023. | 1. The Board of Directors reviews on approving the results of the contractor selection for bidding package No. 02 providing hydraulic linkage chain support equipment; part of the 2023 Production Investment Project - Vinacomin - Mong Duong Coal JSC
2. The Board of Directors reviews on approving Report No. 3457/BC-TMD on the approval of the results of the contractor selection for package No. 04 providing transport equipment; part of the Production Investment Project 2023 - Vinacomin - Mong Duong Coal JSC
3. The Board of Directors receives and gives opinions on the production and business results report for October, the direction and tasks for November 2023;
4. The Board of Directors receives to reports and gaves opinions on the implementation of TKV's management documents sent to the Company
* Document No. 5060/TKV-DT dated October 16, 2023, on continuing to promote the 2023 investment work, preparing for the investment plan 2024
* Document No. 5094/TKV-TN dated October 17, 2023, on extending the drilling depth of hole MD615 as part of the Exploration Drilling Plan 2023 - Vinacomin - Mong Duong Coal JSC
* Decision No. 1668/QD-TKV dated October 4, 2023, on the promulgation of the Personnel Management Regulations of Vietnam National Coal - Mineral Industries Group
* Document No. 5152/TKV-KCM dated October 20, 2023, on reviewing and building a general schedule for the implementation of the deep mining technical plan to maintain mine capacity
* Document No. 5171/TKV-KCM+TN dated October 23, 2023, on adjusting the Construction Plan for excavation, waste dumping, and filling in the depression area at the West Wing of Mong Duong mine;
* Document No. 4924/TKV-KCM dated October 6, 2023, on approving the concrete pouring plan for the production tunnels according to the Plan 2024 - Vinacomin - Mong Duong Coal JSC
* Document No. 5097/TKV-KH dated October 18, 2023, on guidelines for outsourcing certain main production processes for coal - mineral consumption in 2024
* Directive No. 205/CT-TKV dated September 29, 2023, on rectifying and strengthening management in the field of material outsourcing, fixed asset repair, construction investment, processing, and coal consumption
* Document No. 5010/TKV-KH dated October 12, 2023, on requesting opinions on the Draft Amendment of the Business Cost Management Regulations
* Decision No. 1670/QD-TKV dated October 4, 2023, on the promulgation of the regulations on exit management for officers, employees in TKV
* Decision No. 1802/QD-TKV dated October 25, 2023, on the implementation plan for "Legal Day of the Socialist Republic of Vietnam" in 2023.
* Document No. 5234/TKV-BV dated October 26, 2023, on the implementation of Directive 825/CD-TTg and Directive 991/CD-TTg of the Prime Minister
* Document No. 5258/TKV-DT dated October 27, 2023, from TKV on strengthening investment management in the Group's companies TKV

5 Draft the assignment of duties for the members of the Board of Directors in fourth term (2023-2028) |
| 43 | 114/NQ-HDQT | November 17, 2023 | 1 Approve the plan to implement the process of reappointing the Company's Chief Accountant for Mr. Pham Cam Hai |
| 44 | 115 /NQ-HDQT | November 17, 2023 | 1 Agree on the results of the process and agreement to report to the competent authorities the proposal for reappointment of the Company's Chief Accountant for Mr. Pham Cam Hai |
| 45 | 117 /NQ-HDQT | November 17, 2023 | 1. The Board of Directors reviews and approves the outsourcing plan 2024 according to Proposal No. 3798/TTr-TMD dated November 15, 2023, by the Company Manager;
2. The Board of Directors reviews and approves the Plan to enhance the transport capacity of Vinacomin - Mong Duong Coal JSC following the submission by the Company Manager (after TKV announced Official Dispatch No. 5597/TKV-KCM dated November 15, 2023);
3. The Board of Directors reviews and approves the content of the adjustment of the mining project for the coal seams of Mong Duong coal mine - Vinacomin - Mong Duong Coal JSC;
4. The Board of Directors receives to reports and gaves opinions on the implementation of TKV's management documents sent to the Company:
* Document No. 5474/TKV-DT dated November 7, 2023, by TKV on the approval of the content of the investment project for the construction of a 35/6 kV substation at Mong Duong coal mine;
* Decision No. 1863/QD-TKV dated November 6, 2023, by TKV on the inspection and management of the investment work for 2022 and the first 6 months of 2023 by TKV's representative at some units;

Procedures, difficulties related to fire prevention and fighting, land management, finance...5. Other tasks within the Board of Directors's authority. |
| 46 | 118 /NQ-HDQT | November 28, 2023 | 1 Approve the Plan to enhance the transport capacity of Vinacomin - Mong Duong Coal JSC |
| 47 | 121 /NQ-HDQT | December 05, 2023 | 1 Approve the results of the evaluation, review, and classification of personnel for 2023: |
| 48 | 123 /NQ-HDQT | December 22, 2023 | 1. The Board of Directors reviews and approves Report No. 4038/BC-TMD dated December 5, 2023, regarding the results of the review, consideration of responsibility related to the implementation of the Technical Mining Plan for layers -250/-550 of the Phase II mining project of Mong Duong coal mine - Mong Duong Coal Company (adjustment);
2. The Board of Directors reviews and approves Report No. 4179/BC-TMD dated December 15, 2023, on requesting approval of the results of the contractor selection for bidding package No. 05: supplying electrical equipment for production as part of the 2023 Production Investment Project - Vinacomin - Mong Duong Coal JSC;
3. The Board of Directors reviews and approves Report No. 4178/BC-TMD dated December 15, 2023, on requesting approval of the results of the contractor selection for bidding package No. 05: supplying ventilation, drainage, safety equipment, and service equipment, as part of the 2023 Production Investment Project - Vinacomin - Mong Duong Coal JSC;
4. The Board of Directors reviews and approves Proposal No. 4238/TTr-TMD dated December 20, 2023, on requesting approval of the 2023 investment plan - Vinacomin - Mong Duong Coal JSC (adjustment);
5. Report on the implementation of the business plan 2023, the direction and tasks for 2024 (according to Document No. 6038/TKV-KH dated December 11, 2023);
6. The Board of Directors receives reports and gives opinions on the implementation of TKV's management documents sent to the Company:
7. Directive No. 132-CT/DU dated December 5, 2023, by the Party Committee of TKV Group on strengthening leadership, management, and protection of resources, mine boundaries, coal and mineral products in the production, processing, transportation, blending, storage, import-export at warehouses, ports, yards, and consumption.
8. Directive No. 135-CT/DU dated December 20, 2023, by the Standing Committee of the Party Committee of TKV on organizing the Lunar New Year 2024 and activities celebrating the Party - celebrating Spring 2024;
 |
| 49 |  | 125/NQ- HDQT dated December 28, 2023 | 1. Not reappointing the position of Chief Accountant to Mr. Pham Cam Hai;
2. Assign Mr. Ha Duc Hieu to hold the position of person in charge of Accountant
 |

Appendix 03.

Internal transactions between the Company and majority shareholders:

Transactions between the Company and companies in which members of the Board of Directors and the Supervisory Board are members of the Board of Directors and the Supervisory Board for the past 3 years. (Announced together with Report No. 390 /BC-TMD, dated January 30, 2024 of the Board of Directors)

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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| No. | Name of individual/institution | Relations with the Company | NSH No., Date of issue,Place of issue | Head office address/Contact address | Time of transaction | General Mandate/Decision of the General Meeting of Shareholder No. or Board Resolution/Decision No. (including date of promulgation, if any) | Content, quantity, total value of transaction: | Note |
| 1 | Vietnam National Coal - Mineral Industries Holding Corporation Limited (including the Branches of the Corporation such as:* Vinacomin - Cua Ong Coal Preparation Company
* Vinacomin - CamPha Port and Logistics Company
* Vinacomin - Environment Company Limited
 | Major shareholder; | Business code:;5700100256October 21, 2014; Hanoi Authority for Planning and Investment | No. 226 Le Duan Street, Dong Da District, Hanoi | From 2023 until there are changes |  | The contract is in principle and has no specific value. For contracts/transactions of specific value, the value entered into must be less than 35% of the total value of assets of the Company in the latest Financial Statements. | Vietnam National Coal - Mineral Industries Holding Corporation Limited owns 65% of the Charter capital of the Company |
| 2 | Vinacomin - Vang Danh Coal Joint Stock Company; | Have the same Chair of the Board of Directors | Business code: 5700101877; April 03, 2007; Department of Planning and Investment of Quang Ninh Province | 185 Nguyen Van Cu, Vang Danh Ward, Uong Bi Town, Quang Ninh Province | From 2023 until change |  | No transaction so far |  |
| 3 | Vinacomin - Ha Lam Coal JSC | Have the same Chair of the Board of Directors | Business code: 5700101637; Hanoi Authority for Planning and Investment | No. 1 Tan Lap - Ha Lam Ward - Ha Long City - Quang Ninh City | From 2023 until there are changes |  | No transaction so far |  |
| 4 | Vinacomin - DeoNai Coal JSC | Have the same Chair of the Board of Directors | Business code: 5700101299; the Department of Planning and Investment of Quang Ninh. | Cam Tay Ward - Cam Pha City - Quang Ninh Province | From 2023 until there are changes |  | No transaction so far |  |
| 5 | Vinacomin Ta Phoi Copper Join Stock Company | Have the same Chief of the Supervisory Board | Business code: 530025299; the Department of Planning and Investment of Lao Cai. | Bac Cuong Ward, Lao Cai City, Lao Cai Province, Vietnam | From 2021 until there are changes |  | No transaction so far |  |
| 6 | Vinaconim- Mineral Mechanic and Shipbuilding Joint Stock Company | Have the same members of the Supervisory Board | Business code: 5700479764; the Department of Planning and Investment of Quang Ninh. | Bai Chay Ward, Ha Long City, Quang Ninh Province | From 2021 until there are changes |  | No transaction so far |  |