**NCG: Annual Corporate Governance Report 2023**

On January 30, 2024, Nova Consumer Group Joint Stock Company announced Report No. 01/2024-BC-NCG on the Corporate Governance in 2023 as follows:

* Name of company: Nova Consumer Group Joint Stock Company
* Headquarters address: No. 315, Nam Ky Khoi Nghia Street, Vo Thi Sau Ward District 3, Ho Chi Minh City, Vietnam
* Tel: 028 38466888 Fax: Email:
* Charter capital: VND 1,197.843,250,000
* Securities code: NCG
* Corporate governance model:
* The General Meeting of Shareholders, the Board of Directors, the General Manager/ Manager and the Audit Committee under the Board of Directors.
* Internal audit execution: Implemented.

1. Activities of the General Meeting of Shareholders

Information about meetings and General Mandates/Decisions of the General Meeting of Shareholders (including General Mandates approved by collecting opinions via a ballot)

|  |  |  |  |
| --- | --- | --- | --- |
| No. | General Mandate/Decisions of the General Meeting of Shareholders No. | Date | Content |
| 1 | 01/2023/NQ/DHDCD-NCG | May 11, 2023 | -Approve amending and supplementing to General Mandate No. 11 18/2022/NQ/DHDCD dated May 30, 2022. |
| 2 | 02/2023/NQ/DHDCD-NCG | June 28, 2023 | Approve the Company's Report on business results in 2022. |
| 3 | 03/2023/NQ/DHDCD-NCG | June 28, 2023 | Approve the Separate and Consolidated Financial Statements 2022 audited by PWC (Vietnam) Limited. |
| 4 | 04/2023/NQ/DHDCD-NCG | June 28, 2023 | - Approve the Profit Distribution Plan in 2022 |
| 5 | 05/2023/NQ/DHDCD-NCG | June 28, 2023 | Approve the Company’s business plan for 2023 |
| 6 | 06/2023/NQ/DHDCD-NCG | June 28, 2023 | Approve authorizing the Board of Directors to select one of the auditing companies including PwC (Vietnam) Limited. Deloitte Vietnam Audit Company Limited, KPMG Limited and Ernst & Young Vietnam Limited to audit the Company's Financial Statements in the fiscal year 2023. |
| 7 | 07/2023/NQ/DHDCD-NCG | June 28, 2023 | The remuneration of the Board of Directors paid in 2022.  Approve the remuneration of the Board of Directors in 2023 |
| 8 | 08/2023/NQ/DHDCD-NCG | June 28, 2023 | Approve continuing to implement the Company's plan to issue ESOP shares in 2023.  Approve the plan on the share issuance for employees in 2023 (ESOP). |
| 9 | 09/2023/NQ/DHDCD-NCG | June 28, 2023 | Approve purchasing the liability insurance for Managers and Executives. |
| 10 | 10/2023/NQ/DHDCD-NCG | June 28, 2023 | Approve the amendments to the Company’s Charter. |
| 11 | 11/2023/NQ/DHDCD-NCG | June 28, 2023 | Approve the resignation letter of Board of Directors member dated May 15, 2023 of Ms. Le Hoang Thanh Thao. |

1. The Board of Directors (Annual Report);
2. Information about members of the Board of Directors:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Board of Directors | Position (independent member, non-executive member of the Board of Directors) | Date of appointment/dismissal as member/independent member of the Board of Directors | |
| Appointment date | Date of dismissal |
| 1 | Mr. Nguyen Hieu Liem | Chair of the Board of Directors | June 25, 2021 |  |
| 2 | Mr. Nguyen Quang Phi Tin | Member of the Board of Directors | April 06, 2022 |  |
| 3 | Ms. Tran Thi Thu Thao | Independent member of the Board of Directors | February 11, 2022 |  |
| 4 | Ms. Le Hoang Thanh Thao | Non-executive member of the Board of Directors | May 31, 2022 | June 28, 2023 |

1. Board Resolutions/Board Decisions (Semi-annual Report):

|  |  |  |  |
| --- | --- | --- | --- |
| No. | Board Resolution/Board Decision No. | Date | Content |
| 1 | 01A/2023/NQ/HDQT-NCG | January 03, 2023 | Approve the change of Committee members under the Board of Directors. |
| 2 | 01/2023/NQ/HDQT-NCG | January 10, 2023 | Approve the guarantee for Anova Farm Joint Stock Company at Shinhan Bank Vietnam Limited |
| 3 | 01B/2023/NQ/HDQT-NCG | January 10, 2023 | Change the Head of Office of Nova Consumer Group Joint Stock Company |
| 4 | 02/2023/NQ/HDQT-NCG | February 21, 2023 | Through transactions with subsidiaries and joint ventures in 2023 |
| 5 | 03/2023/NQ/HDQT-NCG | February 27, 2023 | Approve the plan on paying dividends of 2021 in cash |
| 6 | 04/2023/NQ/HDQT-NCG | March 02, 2023 | Approve the plan to organize the Annual General Meeting of Shareholders 2023 |
| 7 | 05/2023/NQ/HDQT-NCG | March 13, 2023 | Approve the guarantee for Anova Farm Joint Stock Company at Military Commercial Joint Stock Bank - North Saigon Branch |
| 8 | 06/2023/NQ/HDQT-NCG | April 03. 2023 | Approve the extension of organizing the Annual General Meeting of Shareholders in 2023 |
| 9 | 07/2023/NQ/HDQT-NCG | April 10, 2023 | Approve recording the list of shareholders to collect shareholders’ opinions via a ballot on adjusting the Annual General Mandate No. 11 18/2022/NQ- DHDCD-NCG dated May 31, 2022. |
| 10 | 08/2023/NQ/HDQT-NCG | May 05, 2023 | Approve the plan to organize the Annual General Meeting of Shareholders 2023 |
| 11 | 08A/2023/NQ/HDQT-NCG | May 10, 2023 | Approve the policy of establishing the Department of Environment and Society |
| 12 | 09/2023/NQ/HDQT-NCG | May 18, 2023 | Implement share trading registration at UPCOM |
| 13 | 10/2023/NQ/HDQT-NCG | May 23, 2023 | * Dismiss Ms. Nguyen Ngoc Anh from the position of Person in charge of corporate governance. * Dismiss Mr. Nguyen Minh Hai from the position of Deputy General Manager and Financial Manager * Appoint Mr. Nguyen Vinh Huy to hold the position of Financial Manager |
| 14 | 11/2023/NQ/HDQT-NCG | June 22, 2023 | * Approve the guarantee for Anova Feed Joint Stock Company at Bank for Foreign Trade of Vietnam - South Saigon Branch |
| 15 | 12/2023/NQ/HDQT-NCG | July 03, 2023 | Approve the remuneration for the members of the Board of Directors from July 2023 |
| 16 | 13/2023/NQ/HDQT-NCG | August 03, 2023 | Approve the amended and supplemented Charter. |
| 17 | 14/2023/NQ/HDQT-NCG | August 16, 2023 | Approve adjusting the method of receiving dividends of 2021 in cash |
| 18 | 15/2023/NQ/HDQT-NCG | August 17, 2023 | Approve the guarantee for Thanh Nhon Corporation for credit extensions at Vietnam Joint Stock Commercial Bank for Industry and Trade - Nhon Trach Branch |
| 19 | 16/2023/NQ/HDQT-NCG | October 18, 2023 | Approve the first trading day and reference price on the first trading day of NCG shares at UPCOM |
| 20 | 17/2023/NQ/HDQT-NCG | October 31, 2023 | Approve adjusting the first trading day and method for determining reference price on the first trading day of NCG shares at UPCOM |
| 21 | 18/2023/NQ/HDQT-NCG | November 20, 2023 | Approve the guarantee for Anova Biotech Joint Stock Company for credit extensions at Shinhan Bank Vietnam Limited |
| 22 | 19/2023/NQ/HDQT-NCG | November 30, 2023 | Approve the guarantee for Anova Feed Joint Stock Company for credit extensions at Vietnam Joint Stock Commercial Bank for Industry and Trade - Nhon Trach Branch |
| 23 | 21/2023/NQ/HDQT-NCG | December 14, 2023 | Approve the transaction with affiliated parties |
| 24 | 22/2023/NQ/HDQT-NCG | December 15, 2023 | Approve the guarantee for Thanh Nhon Joint Stock Company for credit extensions at Bank for Foreign Trade of Vietnam - Ho Chi Minh Branch |
| 25 | 23/2023/NQ/HDQT-NCG | December 26, 2023 | Approve the capital contribution to establish Nova AI Mall Joint Stock Company |
| 26 | 24/2023/NQ/HDQT-NCG | December 29, 2023 | Approve the cancellation of Board Resolution No. 23/2023/NQ/HDQT-NCG dated December 26, 2023 |

1. The Audit Committee (Annual report)

Information about members of the Audit Committee:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Audit Committee | Position | Date of appointment/dismissal as member of the Audit Committee | Qualification |
| 1 | Ms. Tran Thi Thu Thao | Chair of the Audit Committee | Appointed on February 11, 2022 | Bachelor of Economics, majoring in Corporate Finance |
| 2 | Mr. Nguyen Hieu Liem | Member | Appointed on December 26, 2022 | Bachelor of Accounting Major and Animal Husbandry |

1. The Executive Board.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Executive Board | Date of birth | Qualification | Date of appointment/dismissal as member of the Supervisory Board |
| 1 | Mr. Nguyen Quang Phi Tin - Member of the Board of Directors and the General Manager | February 10, 1975 | Bachelor of Economics | Appointed on March 18, 2022 |
| 2 | Mr. Nguyen Minh Hai - Fianancial Manager -cum- Deputy General Manager | August 08, 1979 | Master of Business Administration | Appointed on September 23, 2021 and dismissed on May 23, 2023 |
| 3 | Mr. Nguyen Vinh Huy - Financial Manager | September 28, 1985 | Bachelor of Accounting and Auditing | Appointed on May 23, 2023 |

1. Chief Accountant

|  |  |  |  |
| --- | --- | --- | --- |
| Full name | Date of birth | Qualification | Date of appointment / dismissal |
| Do Thi My Nhung | 1992 | Bachelor of Accounting and Auditing | Appointment date: July 22, 2022 |

1. Training on corporate governance
2. List of affiliated persons of the public company (Annual report) and transactions between the affiliated persons of the Company and the Company itself
3. Transactions between the Company and related persons of the Company, or between the Company and major shareholders, PDMR, or related persons of PDMR

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| No. | Name of organization/individual | Relations with the Company | NSH\* No., Date of issue and Place of issue of NSH | Headquarters address/Contact address | Time of transaction with the Company | General Mandate/Decision of the General Meeting of Shareholder No. or Board Resolution/Decision approved No. (including date of promulgation, if any) | Content, quantity, total value of transaction | Note |
| 1 | Anova Farm Joint Stock Company | Subsidiary | 0312540579 | No. 315, Nam Ky Khoi Nghia Street, Vo Thi Sau Ward, District 3, Ho Chi Minh City, Vietnam | January 10, 2023 | Board Resolution No. 01/2023/NQ/HDQT-NCG dated January 10, 2023 | Approve the guarantee for the credit extension of VND 35,000,000,000 from Anova Farm Joint Stock Company at Shinhan Bank Vietnam Limited |  |
| 2 | Anova Farm Joint Stock Company | Subsidiary | 0312540579 | No. 315, Nam Ky Khoi Nghia Street, Vo Thi Sau Ward, District 3, Ho Chi Minh City, Vietnam | March 13, 2023 | Board Resolution No. 05/2023/NQ/HDQT-NCG dated March 13, 2023 | Approve a credit guarantee of VND 100,000,000,000 from Anova Farm Joint Stock Company at Military Commercial Joint Stock Bank - North Saigon Branch |  |
| 3 | Anova Feed Joint Stock Company | Subsidiary | 1101550146 | ANOVA Group Industrial Cluster, Hamlet 4, Long Cang Commune, Can Duoc District, Long An Province, Vietnam | June 22, 2023 | Board Resolution No. 11/2023/NQ/HDQT-NCG dated March 22, 2023 | Approve the guarantee for the credit extension of VND 332,000,000,000 from Anova Feed Joint Stock Company at Bank for Foreign Trade of Vietnam - South Saigon Branch |  |
| 4 | Anova Farm Joint Stock Company | Subsidiary | 0312540579 | No. 315, Nam Ky Khoi Nghia Street, Vo Thi Sau Ward, District 3, Ho Chi Minh City, Vietnam | March 16, 2023 | Board Resolution No. 02/2023/NQ/HDQT-NCG dated February 21, 2023 | Approve borrowing from Anova Farm Joint Stock Company with the amount of VND 8,000,000,000 |  |
| 5 | Anova Farm Joint Stock Company | Subsidiary | 0312540579 | No. 315, Nam Ky Khoi Nghia Street, Vo Thi Sau Ward, District 3, Ho Chi Minh City, Vietnam | March 24, 2023 | Board Resolution No. 05/2023/NQ/HDQT-NCG dated March 13, 2023 | Approve giving the loan of VND 1,000,000,000 to Anova Farm Joint Stock Company |  |
| 6 | Vietnam Sugarcane and Sugar Corporation - Joint Stock Company | Subsidiary | 0300673461 | No. 54 Le Quoc Hung Street, Ward 13, District 4, Ho Chi Minh City | June 15, 2023 | Board Resolution No. 02/2023/NQ/HDQT-NCG dated February 21, 2023 | Approve borrowing from Vietnam Sugarcane and Sugar Corporation - Joint Stock Company with the amount of VND 53,318,000,000 |  |
| 7 | Thanh Nhon Joint Stock Company | Subsidiary |  | No. 315, Nam Ky Khoi Nghia Street, District 3, Ho Chi Minh City | August 17, 2023 | Board Resolution No. 15/2023/NQ/HDQT-NCG dated August 17, 2023 | Approve the guarantee for the credit extension of VND 100,000,000,000 of Thanh Nhon Joint Stock Company at Vietnam Joint Stock Commercial Bank For Industry And Trade - Nhon Trach Branch |  |
|  |
| 8 | Anova Biotech Joint Stock Company | Subsidiary |  | No. 315, Nam Ky Khoi Nghia Street, District 3, Ho Chi Minh City | November 20, 2023 | Board Resolution No. 18/2023/NQ/HDQT-NCG dated November 20, 2023 | Approve the guarantee for the credit extension of VND 100,000,000,000 of Thanh Nhon Joint Stock Company at Shinhan Bank Vietnam Limited |  |
| 9 | Anova Feed Joint Stock Company | Subsidiary |  | ANOVA Group Industrial Cluster, Hamlet 4, Long Cang Commune, Can Duoc District, Long An Province, Vietnam | November 30, 2023 | Board Resolution No. 19/2023/NQ/HDQT-NCG dated November 30, 2023 | Approve the guarantee for the credit extension of VND 550,000,000 from Anova Feed Joint Stock Company at Vietnam Joint Stock Commercial Bank for Industry and Trade - Nhon Trach Branch |  |
| 10 | Thanh Nhon Joint Stock Company | Subsidiary |  | No. 315, Nam Ky Khoi Nghia Street, District 3, Ho Chi Minh City | December 15, 2023 | Board Resolution No. 22/2023/NQ/HDQT-NCG dated December 15, 2023 | Approve the guarantee for a credit extension of VND 70,000,000,000 from Thanh Nhon Joint Stock Company at Bank for Foreign Trade of Vietnam - Ho Chi Minh City Branch |  |

1. Transactions between Company’s PDMR, affiliated persons of PDMR and subsidiaries or companies controlled by the Company
2. Transactions between the Company and other entities/
   1. Transactions between the Company and the company in which members of the Board of Directors, members of the Supervisory Board, the Manager (the General Manager) and other managers have been founding members or members of the Board of Directors, the Executive Manager (the General Manager) for the past three (03) years (as at the time of reporting).

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| No. | Business code | Name of Company | Members of the Board of Directors are founding members/Members of the Board of Directors/Manager/General Manager | Transaction content | Number of contract/agreement/document | Number of contract/agreement/document | Total value (Unit: ) VND) | Note |
| 1 | 0300673461 | Vietnam Sugercane and Sugar Corporation - JSC | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Service fee payable from January 2023 to December 2023 |  |  | 2,923,292,308 |  |
| 2 | 0300673461 | Vietnam Sugercane and Sugar Corporation - JSC | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Lend | 2023- 1/HDV/ VINASUGAR | June 15, 2023 | 53,318,000,000 |  |
| 3 | 0300673461 | Vietnam Sugercane and Sugar Corporation - JSC | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Lend | 2023-2/HDV/ VINASUGAD | December 29, 2023 | 2,681,400,000 |  |
| 4 | 0300673461 | Vietnam Sugercane and Sugar Corporation - JSC | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Loan interest |  |  | 818,275,443 |  |
| 5 | 0300673461 | Vietnam Sugercane and Sugar Corporation - JSC | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Loan collection |  |  | 47,574,520,000 |  |
| 6 | 0305054306 | Thanh Nhon Corporation |  | Service fee payable from January 2023 to December 2023 |  |  | 2,097,229,908 |  |
| 7 | 0305054306 | Thanh Nhon Corporation |  | Dividend payable in 2022 | 01/BBHDCD-TN/23 | June 16, 2023 | 908,643,740 |  |
| 8 | 0305054306 | Thanh Nhon Corporation |  | Dividend collection in 2022 |  |  | 908,643,740 |  |
| 9 | 0312150071 | Anova Biotech Joint Stock Company | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Service fee payable from January 2023 to December 2023 |  |  | 1,057,772,308 |  |
| 10 | 0312150071 | Anova Biotech Joint Stock Company | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Lend | 01-2023/HDV/BIOTECH-NCG | August 14, 2023 | 2,000,000,000 |  |
|  |
| 11 | 0312150071 | Anova Biotech Joint Stock Company | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Lend | 02-2023/HDV/BIOTECH-NCG | December 11, 2023 | 2,000,000,000 |  |
| 12 | 0312150071 | Anova Biotech Joint Stock Company | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Lend | 03-2023/HDV/BIOTECH-NCG | December 25, 2023 | 3,000,000,000 |  |
| 13 | 0312150071 | Anova Biotech Joint Stock Company | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Loan interest |  |  | 26,983,562 |  |
| 14 | 0312150071 | Anova Biotech Joint Stock Company | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Loan collection |  |  | 2,000,000,000 |  |
| 15 | 0312150071 | Anova Biotech Joint Stock Company | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Dividend payable in 2022 | 002/2023/BBH-HDCD/ANBT | June 22, 2023 | 1,842,182,421 |  |
| 16 | 0312150071 | Anova Biotech Joint Stock Company | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Payment of dividend payable in 2022 |  |  | 1,842,182,421 |  |
| 17 | 0312150071 | Anova Biotech Joint Stock Company | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Prepayment of dividend payable in 2023 | 003/2023/BBH-HDCD/ANBT | September 6, 2023 | 9,880,200,000 |  |
| 18 | 0312150071 | Anova Biotech Joint Stock Company | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Prepayment of dividend collection in 2023 |  |  | 9,880,200,000 |  |
| 19 | 0101527480 | Anova Biotech Joint Stock Company |  | Service fee payable from January 2023 to December 2023 |  |  | 45,692,308 |  |
| 20 | 3700471585 | Anova Joint Venture Company Limited | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Service fee payable from January 2023 to December 2023 |  |  | 1,595,972,308 |  |
| 21 | 3700471585 | Anova Joint Venture Company Limited | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Loan collection |  |  | 16,000,000,000 |  |
| 22 | 3700471585 | Anova Joint Venture Company Limited | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Dividend payable in 2022 | Dividend distribution document without number | June 08, 2023 | 18,001,159,382 |  |
| 23 | 3700471585 | Anova Joint Venture Company Limited | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Payment of dividend payable in 2022 |  |  | 18,001,159,382 |  |
| 24 | 0312540579 | Anova Farm Joint Stock Company | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Service fee payable from January 2023 to December 2023 |  |  | 833,382,308 |  |
| 25 | 0312540579 | Anova Farm Joint Stock Company | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Loan to Farm | 2023-01/HDV/FARM | March 24, 2023 | 1,000,000,000 |  |
| 26 | 0312540579 | Anova Farm Joint Stock Company | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Loan recovery from Farm |  |  | 1,000,000,000 |  |
| 27 | 0312540579 | Anova Farm Joint Stock Company | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Loan interest |  |  | 12,232,877 |  |
| 28 | 0312540579 | Anova Farm Joint Stock Company | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Lend | 2023-01/HDV/NCG-FARM | March 16, 2023 | 8,000,000,000 |  |
| 29 | 0312540579 | Anova Farm Joint Stock Company | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Loan interest |  |  | 8,328,767 |  |
| 30 | 0312540579 | Anova Farm Joint Stock Company | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Loan collection |  |  | 8,000,000,000 |  |
| 31 | 1101550146 | Anova Feed Joint Stock Company | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Service fee payable from January 2023 to December 2023 |  |  | 2,072,796,924 |  |
| 32 | 1101550146 | Anova Feed Joint Stock Company | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Warehouse leased to Nova Consumer Group Joint Stock Company from January 2023 to December 2023 |  |  | 13,100,000 |  |
| 33 | 1101550146 | Anova Feed Joint Stock Company | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Lend | 01-2023/HDV/FEED-NCG | December 22, 2023 | 7,000,000,000 |  |
| 34 | 1101550146 | Anova Feed Joint Stock Company | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Loan interest |  |  | 12,753,425 |  |
| 35 | 1101550146 | Anova Feed Joint Stock Company | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Loan collection |  |  | 7,000,000,000 |  |
| 36 | 1101550146 | Anova Feed Joint Stock Company | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Payment discount | 009/PL-HDTV/2023 | December 15, 2023 | 24,445,460 |  |
| 37 | 0302532427 | Anova Pharma Joint Stock Company |  | Service fee payable from January 2023 to December 2023 |  |  | 721,580,308 |  |
| 38 | 0302532427 | Anova Pharma Joint Stock Company |  | Loan recovery from Anova Pharma |  |  | 1,000,000,000 |  |
| 39 | 0302532427 | Anova Pharma Joint Stock Company |  | Loan interest |  |  | 9,528,768 |  |
| 40 | 0302532427 | Anova Pharma Joint Stock Company |  | Dividend payable in 2022 | 03/2023-BBHCD | June 08, 2023 | 3,749,980,504 |  |
| 41 | 0302532427 | Anova Pharma Joint Stock Company |  | Payment of dividend payable in 2022 |  |  | 3,749,980,504 |  |
| 42 | 0302532427 | Anova Pharma Joint Stock Company |  | Prepayment of dividend payable in 2023 |  | August 21, 2023 | 11,960,338,286 |  |
| 43 | 0302532427 | Anova Pharma Joint Stock Company |  | Prepayment of dividend collection in 2023 |  |  | 11,960,338,286 |  |
| 44 | 0300808221 | Công ty Liên doanh Bio-Pharmachemie (tentatively translated as “Bio-Pharmachemie Joint Venture Company”) | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Service fee payable from January 2023 to December 2023 |  |  | 313,920,000 |  |
| 45 | 0300808221 | Bio-Pharmachemie Joint Venture Company | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Car leased to Nova Consumer Group Joint Stock Company from January 2023 to December 2023 | Contract without number signed on May 28, 2022 (From June 01, 2022 to May 31, 2023) | Contract without number signed on May 29, 2023 (From June 01, 2023 to May 31, 2024) | 390,000,000 |  |
| 46 | 0300808221 | Bio-Pharmachemie Joint Venture Company | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Dividend payable in 2022 | Dividend distribution document without number | April 28, 2023 | 25,706,037,195 |  |
| 47 | 0300808221 | Bio-Pharmachemie Joint Venture Company | Members of the Board of Directors of public organizations are already Chair of the Board of Directors | Payment of dividend payable in 2022 |  |  | 25,706,037,195 |  |

* 1. Transactions between the Company and companies in which the affiliated person of members of the Board of Directors, members of the Audit Committee, the Manager (the General Manager) and other managers are members of the Board of Directors, the Executive Manager (the General Manager): None
  2. Other transactions of the Company (if any) that can bring about material or non-material benefits to members of the Board of Directors, members of the Audit Committee, and the Manager (General Manager) and other managers: None

1. Share transactions between PDMR and affiliated persons of PDMR (Annual report)
2. Company’s share transaction of PDMR and affiliated persons:

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| No. | Transaction conductor | Relations with PMDR | Number of shares owned at the beginning of the period | | Number of shares owned at the end of the period | | Reasons for increase/decrease (purchase, sale, conversion, reward, etc. .) |
| Number of shares | Rate | Number of shares | Rate |
| 1 | Foremost Worldwide Limited (Majjor shareholder) | None | 12,772,000 | 10.66% | 7,061,791 | 5.8954% | Selling shares |

1. Other significant issues: None