**PEC: Annual Corporate Governance Report 2023**

On February 05, 2024, Power Engineering Joint Stock Company announced Report No. 15.01/BC-PEC on the corporate governance in 2023 as follows:

* Vietnamese name: Công Ty Cổ Phần Cơ Khí Điện Lực
* Transaction name: Power Engineering Joint Stock Company
* Head office address: 150 Ha Huy Tap, Yen Vien Town, Gia Lam District, Hanoi City
* Tel: (84)(4)38271498
* Email: evnpec@gmail.com. Website: pecvn.vn
* Charter capital: VND 31,154,090,000
* Securities code (if any): PEC
1. Activities of the General Meeting of Shareholders:

|  |  |  |  |
| --- | --- | --- | --- |
| No. | General Mandate No. | Date of promulgation | Content |
| 1 | 30/NQ-DHDCD | June 30, 2022 | Annual General Mandate 2022 of Power Engineering Joint Stock Company |

1. Activities of the Board of Directors
2. Information about members of the Board of Directors:

|  |  |  |  |
| --- | --- | --- | --- |
| No. | Member of the Board of Directors | Position | Date of appointment/dismissal as member/independent member of the Board of Directors |
| Appointment date | Dismissal date |
| 1 | Le Minh Hai | Chair of the Board of Directors | July 05, 2018 |  |
| 2 | Cap Duc Vuong | Member of the Board of Directors/Deputy General Manager | June 10, 2021 |  |
| 3 | Le Nam Hung | Member of the Board of Directors | June 10, 2021 |  |

1. Board Resolutions/Decisions:
2. Board Resolutions:

|  |  |  |  |
| --- | --- | --- | --- |
| No. | Board Resolution No. | Date | Content |
| 1 | 15.5/NQ-PEC | May 15, 2023 | Resolution on the record date for the list of shareholders with the rights to attend the Annual General Meeting of Shareholders 2023 of Power Engineering Joint Stock Company |

1. The Supervisory Board:
2. Information about members of the Supervisory Board

|  |  |  |  |
| --- | --- | --- | --- |
| No. | Member of the Supervisory Board | Position | Date of appointment/dismissal as member of the Supervisory Board |
| Appointment date | Dismissal date |
| 1 | Mr. Hoang Son Tho | Chief | April 26, 2019 |  |
| 2 | Ms. Phan Thi Thu Hang | Member | June 30, 2018 |  |
| 3 | Ms. Le Thi Ngoc Thuong | Member | June 30, 2018 |  |

1. The Executive Board:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Executive Board | Date of birth | Qualification | Date of appointment/dismissal |
| 1 | Mr. Cap Duc Vuong | September 24, 1981 | Machine Manufacturing Engineer | May 01, 2021 |

1. In charge of accounting:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Executive Board | Date of birth | Qualification | Date of appointment/dismissal |
| 1 | Ms. Vu Thi Le Hoa | February 03, 1979 | Bachelor of Accounting | April 15, 2022 |

1. Training on corporate governance: None
2. Change the list of affiliated persons of the public company as per the regulations of Clause 34, Article 6 of the Law on Securities (2023): None
3. Transactions of internal shareholders and affiliated persons (2023):
4. Share transaction: None
5. Other transactions (transactions between internal/major shareholders, affiliated persons and the Company): None
6. Other significant issues (2023): None

Appendix

List of affiliated persons of the public company (2023) and transactions between affiliated persons of the Company and the Company itself.

1. Transactions of internal shareholders and affiliated persons in 2023:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| No. | Name of organization/individual | Securities trading account (if any) | Position at the Company (if any) | Citizen Identification/Passport No., Date of issue, Place of issue | Contract address: | Number of shares owned at the end of the period | Share ownership rate at the end of the period (%) | Note |
| 1 | Le Minh Hai |  | Chair of the Board of Directors |  |  | 1,130,780 | 36.30 | PDMR |
| 2 | Le Duc Nhuan |  |  |  |  | 0 | 0 | Father (deceased) |
| 3 | Nguyen Thi Hop |  |  |  |  | 0 | 0 | Father (deceased) |
| 4 | Nguyen Thi Thuy Nga |  |  |  |  | 0 | 0 | Wife |
| 5 | Le Nam Hung |  | Member of the Board of Directors |  |  | 773,351 | 24.82 | Offspring  |
| 6 | Le Minh Thuy |  |  |  |  | 0 | 0 | Offspring |
| 7 | Cap Duc Vuong |  | Member of the Board of Directors/Deputy General Manager |  |  | 0 | 0 | PDMR |
| 8 | Dang Thi Xay |  |  |  |  | 0 | 0 | Mother |
| 9 | Cap Van Thinh |  |  |  |  | 0 | 0 | Father |
| 10 | Cap Thi Tuong Vy |  |  |  |  | 0 | 0 | Offspring |
| 11 | Cap Duc Tuan Kiet |  |  |  |  | 0 | 0 | Offspring |
| 12 | Vu Thi Le Hoa |  | In charge of accounting |  |  | 29,989 | 0.96 | PDMR |
| 13 | Nguyen Cung Tu |  |  |  |  | 0 | 0 | Husband |
| 14 | Le Thi Lai |  |  |  |  | 0 | 0 | Mother |
| 15 | Vu Dinh Tao |  |  |  |  | 0 | 0 | Father |
| 16 | Nguyen Vu Ha An |  |  |  |  | 0 | 0 | Offspring |
| 17 | Nguyen Vu Ha My |  |  |  |  | 0 | 0 | Offspring |
| 18 | Nguyen Anh Minh |  |  |  |  | 0 | 0 | Offspring |
| 19 | Hoang Son Tho |  | Chief of the Supervisory Board |  |  | 0 | 0 | PDMR |
| 20 | Tran Thi Hue |  |  |  |  | 0 | 0 | Wife |
| 21 | Hoang Vuong Ton |  |  |  |  | 0 | 0 | Father |
| 22 | Dao Thi Tu |  |  |  |  | 0 | 0 | Mother |
| 23 | Hoang Tran Khanh Linh |  |  |  |  | 0 | 0 | Offspring |
| 24 | Hoang Minh Triet |  |  |  |  | 0 | 0 | Offspring |
| 25 | Phan Thi Thu Hang |  | Member of the Supervisory Board |  |  | 0 | 0 |  |
| 26 | Nguyen Manh Ha |  |  |  |  | 0 | 0 | Husband |
| 27 | Phan Que |  |  |  |  | 0 | 0 | Father |
| 28 | Le Thi Ha |  |  |  |  | 0 | 0 | Mother |
| 29 | Nguyen Phan Thanh An |  |  |  |  | 0 | 0 | Offspring |
| 30 | Nguyen Phan Tue Nhi |  |  |  |  | 0 | 0 | Offspring |
| 31 | Le Thi Ngoc Thuong |  | Member of the Supervisory Board |  |  | 0 | 0 |  |
| 32 | Nguyen Quang Trung |  |  |  |  | 0 | 0 | Husband |
| 33 | Le Xuan Bong |  |  |  |  | 0 | 0 | Father |
| 34 | Tran Thi Huong |  |  |  |  | 0 | 0 | Mother |
| 35 | Nguyen Phuong Minh |  |  |  |  | 0 | 0 | Offspring |
| 36 | Nguyen Quang Minh |  |  |  |  | 0 | 0 | Offspring |