**CST: Annual Corporate Governance Report 2023**

On January 25, 2024, Cao Son Coal Joint Stock Company announced Report No. 972/BC-TCS-HDQT on the corporate governance of the Company in 2023 as follows:

* Cao Son Coal Joint Stock Company
* Cam Tay Ward - Cam Pha City - Quang Ninh Province
* Tel: 02033 862 337; Fax: 02033 863 945
* Charter capital: VND 428,467,730,000
* Securities code: CST
* Corporate Governance Model: The General Meeting of Shareholders, the Board of Directors, the Supervisory Board, and the Manager.
* Internal audit execution: Implemented.

1. Activities of the General Meeting of Shareholders

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| No. | General Mandate No. | Date | Content |
| 1 | 01/NQ-TCS- DHDCD | April 26, 2023 | The following contents were approved at the meeting:   1. Report on production and business results in 2022 and the production and business plan for 2023. 2. Report of the Board of Directors on activities results in 2022 and direction for 2023; 3. The Activity Report of the Supervisory Board; the Supervisory Board's Report on the assessment of the management and operation of the company by the Board of Directors, Manager for 2022; The Supervisory Board's appraisal Report on: The company's production and business results report, annual financial statements, assessment of the management and operation of the company by the Board of Directors, Company Manager 4. The Audited Financial Statements 2022; 5. The profit distribution plan, provision and use of funds; The dividend payment plan for 2022; 6. The Report on remuneration for members of the Board of Directors, the Supervisory Board for 2022 and the approval of the proposed remuneration payment for members of the Board of Directors, Supervisory Board for 2023; 7. Report on selecting an independent audit company for the fiscal year 2023; 8. The General Meeting approves the contracts, transactions of the Company within the authority of the General Meeting of Shareholders 9. Approve on the company's share listing on the HNX exchange; 10. Approve on th amendments, supplements and the promulgation of the Charter of Organization and Operation of Cao Son Coal Joint Stock Company. |

1. The Board of Directors
2. Information about members of the Board of Directors:

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| No. | Member of the Board of Directors | Position | Date of appointment/dismissal as member/independent member of the Board of Directors | |
| Member of the Board of Directors/independent member of the Board of Directors | |
| Appointment date | Dismissal date |
| 1 | Vu Van Khan | Chair of the Board of Directors | August 05, 2020 |  |
| 2 | Pham Quoc Viet | Member of the Board of Directors, Manager | October 01, 2021 |  |
| 3 | Vu Trong Tuan | Member of the Board of Directors, Secretariat of the Party Committee | August 05, 2020 |  |
| 4 | Tran Phuong Nam | Members of the Board of Directors | August 05, 2020 |  |
| 5 | Mai Huy Giap | Members of the Board of Directors, Deputy Manager | April 27, 2022 |  |

1. Board Resolutions/Board Decisions:
   1. Resolution

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| No. | Resolution No. | Date | Content |
| 1 | 01/ NQ-TCS-HDQT | January 11, 2023 | On the approval of the Project for the Renovation and Expansion to Increase the Capacity of Cao Son Coal Mine; on the reports of the Capital Representative of TKV at the Company, the report of the Company's Party Committee on the results of coordination work in 2023 and the Board of Directors' operation plan for 2023; on the implementation of land and waste rock rental work for units within TKV in 2023; on the land management and use report of Cao Son Coal Joint Stock Company as of December 31, 2022, the land use plan for 2023 and the following years; on the proposal for approval of contract signing, transactions; on the implementation of package 7-TB 2022 for the conversion of one 55-60 ton truck into a water spraying truck number 01 as part of the Investment Project for production equipment in 2022; on the promulgation of equipment productivity norms, main material consumption. |
| 2 | 01A/NQ-TCS- HDQT | January 11, 2023 | On the approval of the Project for the Renovation and Expansion to Increase the Capacity of Cao Son Coal Mine. |
| 3 | 01B/NQ-TCS- HDQT | January 01, 2023 | On approving the policy on implementing contracts, transactions between Cao Son Coal Joint Stock Company and related enterprises and persons. |
| 4 | 78-NQLT/DU- HDQT | January 11, 2023 | Joint Resolution between the Party Committee and the Board of Directors of Cao Son Coal Joint Stock Company. |
| 5 | 02/ NQ-TCS-HDQT | January 13, 2023 | On the implementation of land and waste rock leveling work for units within TKV in 2023; on promulgating norms of equipment productivity, main material consumption; on the audit task performance report for 2022; on the inspection and supervision plan for 2023 of Cao Son Coal Joint Stock Company. |
| 6 | 03/ NQ-TCS-HDQT | February 02, 2023 | On the approval of the contractor selection plan for the Project for the Renovation and Expansion to Increase the Capacity of Cao Son Coal Mine. |
| 7 | 04/ NQ-TCS-HDQT | February 15, 2023 | On the production and business situation report for January, the plan for February, and the progress of legal procedures for mining projects; on the proposal for individual awards from the management award fund for 2021; on preparations and contents to be presented at the Annual General Meeting of Shareholders in 2023; on the amendment, supplementation, and promulgation of the Cost Management Regulation to be implemented from 2023; on the approval of adjustments to the main targets of the Production and Business Plan for 2022; on the approval of the investment plan for 2023; on the approval of the Planned Salary Fund, the Management Salary Fund for 2023, and the piece-rate salary level for management staff in 2023; on the recruitment plan for various professions in 2023; on the expected plan for using the Reward Fund, the Welfare Fund of the company in 2023; pn the approval of the Exploration and Survey Plan for 2023; on the approval of adjustments to the Contractor Selection Plan for the Investment Project for production equipment in 2022 of Cao Son Coal Joint Stock Company. |
| 8 | 04B/NQ-TCS- HDQT | February 15, 2023 | On the organization of the Annual General Meeting of Shareholders 2023 |
| 9 | 05/ NQ-TCS-HDQT | February 24, 2023 | On the appointment of Mr. Pham Quoc Viet, the Company Manager, and Mr. Dinh Van Chien, the Chief Accountant, to visit and attend the Conexpro 2023 exhibition and meet with representatives of Caterpillar in the United States. |
| 10 | 06/ NQ-TCS-HDQT | March 01, 2023 | On the approval of the planning of managerial positions at the site, workshop, and department level. |
| 11 | 07/ NQ-TCS-HDQT | March 09, 2023 | On the production and business situation report for February, the results for 2 months, the production plan for March 2023; on the contents to be presented at the Annual General Meeting of Shareholders in 2023; on supplementing the investment plan for construction in 2023; on the report to TKV Corporation on adding real estate business; on the amendment, supplementation, and promulgation of the Staff Management Regulation; on the proposal for awards to individuals from the Management Reward Fund, the business controller in 2021; on promulgating labor norms for medium and major repairs, repairs of equipment parts in Mechanical, Electrical, Transport. |
| 12 | 08/ NQ-TCS-HDQT | March 23, 2023 | On the resolution of difficulties in implementing mineral operation procedures of the Company; on reporting and consulting TKV on contents before presenting at the Annual General Meeting of Shareholders in 2023; on the approval of the salary fund implementation in 2022. |
| 13 | 09/ NQ-TCS-HDQT | April 06, 2023 | On the planning of managerial positions at Cao Son Coal Joint Stock Company; on the approval of the mining plan for 2023-2024 (Mining License No. 1251/GP-BTNMT dated June 26, 2024). |
| 14 | 10/ NQ-TCS-HDQT | April 20, 2023 | On the addition of one item to the agenda of the Annual General Meeting of Shareholders in 2023; on the proposals for the Annual General Meeting of Shareholders in 2023; on the establishment of an Eligibility Verification Committee for the Annual General Meeting of Shareholders in 2023. |
| 15 | 11/ NQ-TCS-HDQT | April 24, 2023 | On the request to return the land whose lease has expired and is outside the planned boundaries of the Company; on the compliance audit report for certain items of the Financial Statements in 2022; Evaluation of production and business effectiveness, capital preservation, development, and use in 2022 by the Company; on the report on the results of the coordination management regulation between the Party Committee, the Board of Directors, and the Manager of the Company in Q1/2023. |
| 16 | 01/NQ-TCS- DHDCD | April 26, 2023 | Annual General Mandate 2023 |
| 17 | 12/ NQ-TCS-HDQT | April 26, 2023 | On the implementation of the Annual General Mandate in 2023. |
| 18 | 13/ NQ-TCS-HDQT | May 09, 2023 | On the adjustment of the Contractor Selection Plan for the Investment Project for production equipment in 2022 - Cao Son Coal Joint Stock Company (second time). |
| 19 | 14/ NQ-TCS-HDQT | May 16, 2023 | On the production and business situation in April, the first four months of the year, and the plan for May 2023; the progress in handling the legal procedures for mining projects; Report No. 2500/TTr-TCS-DM dated April 4, 2023, of the Company Manager on: the development and approval of an additional fire prevention and fighting system design following the recommendations in the fire prevention and fighting inspection report dated March 14, 2023, by the Quang Ninh Provincial Firefighting and Rescue Police Department; on the approval to move forward with the investment construction plan 2023; on the approval of the investment project for vehicles to enhance the capacity for transporting soil and rock by Cao Son Coal Joint Stock Company; on the approval of the contractor selection plan for the investment project of vehicles to enhance the capacity for transporting soil and rock by Cao Son Coal Joint Stock Company; on the application for investment policy for the water supply pipeline to serve the production of Cao Son mine; on the adjustment of the investment plan 2023; on the adjustment of the welfare fund and reward plan 2023; on the promulgation of the Company's Regulations on Documentation and Archives work. |
| 20 | 15/ NQ-TCS-HDQT | May 24, 2023 | On the deployment of the review and supplementation of the company's leadership personnel planning for the 2020 - 2025 and 2025 - 2030 periods; on the approval of the average short-term credit limit plan and some financial rate for 2023; on the approval of the feasibility study report for the investment project on the construction of the drainage system and dust barrier wall for the coal screening area; on the approval of the contractor selection plan adjustment for Package No. 07 - MRNCS: Provide consultancy services for the construction design review following the basic design (BVTC design) within the Project for the Renovation and Expansion to Increase the Capacity of Cao Son Coal Mine; on the application for investment policy for the project of water supply system to serve the production of Cao Son coal mine; on the completion of a part of the central moong area, preparing conditions for the next phase of exploitation at Khe Cham II mine (open-pit). |
| 21 | 16/ NQ-TCS-HDQT | May 30, 2023 | On the appointment of officials and personnel work in the company. |
| 22 | 17/ NQ-TCS-HDQT | June 14, 2023 | On the results of some main production and business targets in May, the first five months, and the task plan for June 2023; on the report on the project preparation progress and the plan for organizing the groundbreaking ceremony of the Steering Committee for the Project of Renovation, Expansion, and Capacity Increase of Cao Son Coal Mine; on the completion of the Appraisal Team for the contractor selection plan of the Project for Renovation, Expansion, and Capacity Increase of Cao Son Coal Mine; on the approval of the proposal for selecting the audit company for the Financial Statements 2023 of Cao Son Coal Joint Stock Company. |
| 23 | 91-NQLT/DU- HDQT | June 14, 2023 | Joint resolution between the Party Committee and the Board of Directors of Cao Son Coal Joint Stock Company. |
| 24 | 18/ NQ-TCS-HDQT | June 23, 2023 | Supplement the investment project for the clean water supply system serving the production of Cao Son coal mine in the Project Preparation section — Investment Plan 2023 - Cao Son Coal Joint Stock Company. |
| 25 | 19/ NQ-TCS-HDQT | July 10, 2023 | On the approval of the salary grade increase for officials. |
| 26 | 20/ NQ-TCS-HDQT | August 10, 2023 | On the results of some main production and business targets in July, the first seven months, and the task plan for August 2023; on the promulgation of the main material consumption norms for Mechanical - Transport equipment; on the appointment of the Executive Manager of the mining license No. 280/GP-BTNMT dated August 7, 2023, within the Project for Renovation, Expansion, and Capacity Increase of Cao Son Coal Mine; on the establishment of the Steering Committee for the implementation of the Project for Renovation, Expansion, and Capacity Increase of Cao Son Coal Mine; on the request for land return of the overlapping areas into the mining field of Khai Thac Khoang San Company - Dong Bac Corporation Branch and the areas outside the boundary of the Company's Planning; on the relocation plan for the conveyor belt serving the waste dumping operation of Cao Son Coal Mine. |
| 27 | 21/ NQ-TCS-HDQT | August 14, 2023 | On approving: the relocation plan for the conveyor belt serving the waste dumping operation of Cao Son Coal Mine. |
| 28 | 22/ NQ-TCS-HDQT | August 21, 2023 | On the approval of the adjustment of the investment plan 2023. |
| 29 | 23/ NQ-TCS-HDQT | August 30, 2023 | On the transfer and rotation of officials within the Company. |
| 30 | 24/ NQ-TCS-HDQT | September 11, 2023 | On the completion of the Appraisal Team for the contractor selection plan of the Investment Project for production equipment in 2022 - Cao Son Coal Joint Stock Company. |
| 32 | 25/ NQ-TCS-HDQT | September 14, 2023 | On the results of some main production and business targets in August, the first eight months, and the task plan for September 2023; on the review of the content on the development of the Company's Strategy in Resolution No. 20/NQ-TCS-HDQT dated November 24, 2020; on Report No. 6571/BC-TCS-DM dated September 7, 2023, of the Company Manager on explaining the land return within the Project for Renovation, Expansion, and Capacity Increase of Cao Son Coal Mine; on the Report dated September 1, 2023, of the Deputy Manager in charge of investment, reporting on the company's construction investment performance 8 months into 2023; on Report No. 6430/BC-TCS-DM dated September 1, 2023, from the Company Manager on concerning the tender packages for converting vehicles into water transport and towing vehicles; on the approval of the contractor selection plan adjustment for the Project for Renovation, Expansion, and Capacity Increase of Cao Son Coal Mine; on the approval of the third adjustment of the contractor selection plan for the Investment Project for production equipment in 2022 - Cao Son Coal Joint Stock Company. |
| 32 | 26/ NQ-TCS-HDQT | September 18, 2023 | On the construction investment plan for 2024; on awarding Commendation Certificates by the Board of Directors to individuals with outstanding achievements in completing documents and procedures for obtaining the exploitation permit for the 'Project of renovation and expansion, increasing the capacity of Cao Son Coal Mine' |
| 33 | 27/ NQ-TCS-HDQT | October 06, 2023 | On the approval to proceed to the next phase of the construction investment plan 2023 |
|  |  |  | On the results of some key production and business indicators for September, the first 9 months, and the plan for tasks in October, Q4/2023; |
| 34 | 28/ NQ-TCS-HDQT | October 12, 2023 | on reviewing the content of task deployment for the Corporate Restructuring; on the progress of the company's share listing on the Hanoi Stock Exchange (HNX); on the report of October 12, 2023 by the representative of TKV's capital at the Company, on the production and business activities and financial situation of the Company for the first 9 months of 2023; on the draft report of October 12, 2023 sent to TKV by the capital representative of TKV at the Company, on compliance with the law and adherence to the decisions of the owner for the first 9 months of 2023; on the internal audit's thematic report for Q3/2023: "Audit on compliance with certain items of the financial statements for the first 6 months of 2023; Assessment of the effectiveness of production and business activities, the ability to preserve, develop, and use capital as of June 30, 2023"; on the draft report of October 12, 2023, by the Party Committee, Board of Directors, and Manager of the Company, on the results of the coordination in company leadership for the first 9 months of 2023; on the promulgation of fuel, oil, and grease consumption standards for equipment. |
| 35 | 29/ NQ-TCS-HDQT | November 03, 2023 | On the implementation of document No. 5211/TKV-KH dated October 25, 2023 by TKV, on supplementing the coal purchase/sale volume in the coal screening blend from Cao Son Coal Joint Stock Company, and document No. 5255/TKV-KCM dated October 27, 2023 by TKV, on preparing the volume adjustment dossier of the Exploitation Permit No. 280/GPKT-BTNMT dated August 7, 2023; on the production and business situation in October, the first 10 months of the year, plan for November 2023; on approving the volume of outsourcing for loading, transporting soil and rock, drilling oversized rock treatment, and transporting soil and rock by conveyor for 2024; on the completion of the project appraisal team, Plan for selecting contractors for the investment project to build the automobile repair and maintenance workshop - Cao Son Coal Joint Stock Company; on reviewing and supplementing the planning of Company's Leadership personnel for the periods 2020-2025 and 2025-2030; |
| 36 | 30/ NQ-TCS-HDQT | November 09, 2023 | on the approval of the Mining Plan 2024 No. 8163/PA-TCS-KTKT dated October 30, 2023; on new labor recruitment in 2023; on the establishment of the Project Appraisal Team and the plan for selecting contractors for the investment project serving production - Cao Son Coal Joint Stock Company. |
| 37 | 31/ NQ-TCS-HDQT | November 24, 2023 | On the results of production and business in November, task deployment for December 2023; on the Investment Project serving production - Cao Son Coal Joint Stock Company; on approving the content adjustment of the budget estimation for topographical mapping and Detailed Planning at the scale of 1/500 for the waste dump area in the Khe Cham II open-pit mine as part of the Project to renovate and expand, increasing the capacity of Cao Son Coal Mine. |
| 38 | 32/ NQ-TCS-HDQT | December 13, 2023 | On the Plan to relocate the rock conveyor line serving the waste dumping work of Cao Son Coal Mine; on the performance report 2023, plan 2024 of the internal audit committee of the company; on approving the adjustment to the investment plan 2024 (after direct review with TKV). |
| 39 | 33/ NQ-TCS-HDQT | December 22, 2023 | On the report to TKV Corporation on the land return request, the land area belonging to the mining field of Cao Son Coal Joint Stock Company for use by Vinacomin - Halong Coal Company in the Khe Cham II-IV mine tunnel exploitation project; on the approval of the revised investment plan 2023 |
| 40 | 34/ NQ-TCS-HDQT | December 29, 2023 | On the Plan for rational use of the Southwest Grinding Office; on the approval of the construction investment plan for 2024; on the restructuring plan - merging of 2 Transport Workshops; on the approval and promulgation of the Internal Audit Plan 2024 of Cao Son Coal Joint Stock Company; on the draft report on the results of inspecting the implementation of contract monitoring and settlement of large repair contracts for fixed assets being equipment in the first 9 months of 2023 |

* 1. Decisions:

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| No. | Document No. | Date | Name and compendium of the document |
| 1 | 266/QD-TCS-HDQT | January 11, 2023 | Decision on the establishment of an Assessment Team for the implementation of earth-moving rental work for units within TKV in 2023. |
| 2 | 267/QD-TCS-HDQT | January 11, 2023 | Decision on the establishment of an Assessment Team for the issuance of productivity standards, main material consumption. |
| 3 | 268/QD-TCS-HDQT | January 11, 2023 | Decision on approving the Project of renovation and expansion to increase the capacity of Cao Son coal mine. |
| 4 | 373/QD-TCS-HDQT | January 13, 2023 | Decision on the approval of promulgating productivity standards, main material consumption. |
| 5 | 496/QD-TCS-HDQT | January 26, 2023 | Decision on the announcement of the financial statements for Q4/2022. |
| 6 | 497/QD-TCS-HDQT | January 26, 2023 | Decision on the explanation of the profit difference after tax compared to the same period last year. |
| 7 | 500/QD-TCS-HDQT | January 26, 2023 | Decision on the announcement of information on the website of the State Securities Commission. |
| 8 | 688/QD-TCS-HDQT | February 03, 2023 | Decision on approving the contractor selection plan for the Project of Renovation, Expansion, and Capacity Increase of Cao Son coal mine. |
| 9 | 1066/QD-TCS-HDQT | February 15, 2023 | Decision on the approval of the salary fund plan, the salary fund for managers in 2023, and the fixed salary level for management staff in 2023. |
| 10 | 1183/QD-TCS-HDQT | February 17, 2023 | Decision on approving the implementation of the exploration and survey plan in 2023. |
| 11 | 1184/QD-TCS-HDQT | February 17, 2023 | Decision on approving the adjustment of some main targets of the production and business plan in 2022. |
| 12 | 1193/QD-TCS-HDQT | February 20, 2023 | Decision on approving the adjustment of the contractor selection plan for the investment project in production equipment in 2022 for Cao Son Coal Joint Stock Company. |
| 13 | 1194/QD-TCS-HDQT | February 20, 2023 | Decision on approving the recruitment plan for labor contracts in various professions in 2023. |
| 14 | 1198/QD-TCS-HDQT | February 20, 2023 | Decision on the planned use of the Reward Fund, welfare fund in 2023. |
| 15 | 1267/QD-TCS-HDQT | February 21, 2023 | Decision on approving the investment and construction plan in 2023. |
| 16 | 1428/QD-TCS-HDQT | February 27, 2023 | Decision on sending staff to visit the Conexpro 2023 exhibition and work with representatives of Caterpillar in the USA. |
| 17 | 1814/QD-TCS-HDQT | March 09, 2023 | Decision on promulgating labor norms for the repair of assemblies of transport equipment. |
| 18 | 1824/QD-TCS-HDQT | March 09, 2023 | Decision on promulgating labor norms for major repair of transport equipment. |
| 19 | 1871/QD-TCS-HDQT | March 10, 2023 | Decision on promulgating the Regulation on cadre management of Cao Son Coal Joint Stock Company. |
| 20 | 2162/QD-TCS-HDQT | March 23, 2023 | Decision on approving the actual salary fund for 2022 of Cao Son Coal Joint Stock Company. |
| 21 | 2563/QD-TCS-HDQT | April 06, 2023 | Decision on approving the mining plan for 2023 -2024 (mining license No. 2451/GP-BTNMT dated June 26, 2014, by the Ministry of Natural Resources and Environment). |
| 22 | 2612/QD-TCS-HDQT | April 07, 2023 | Decision on the approval of the planning of leadership positions at Cao Son Coal Joint Stock Company. |
| 23 | 3079/QD-TCS-HDQT | April 26, 2023 | Decision on promulgating the Charter of Organization and Operation of Cao Son Coal Joint Stock Company. |
| 24 | 3088/QD-TCS-HDQT | April 26, 2023 | Decision on assigning the main targets of the production and business plan for 2023. |
| 25 | 3089/QD-TCS-HDQT | April 26, 2023 | Decision on approving the investment and construction plan in 2023. |
| 26 | 3315/QD-TCS-HDQT | May 09, 2023 | Decision on approving the adjustment of the contractor selection plan for the investment project in production equipment for the year 2022 - Cao Son Coal Joint Stock Company (2nd time). |
| 27 | 3580/QD-TCS-HDQT | May 17, 2023 | Decision on approving the investment project for vehicles to enhance the transport capacity of soil and rock at Cao Son Coal Joint Stock Company. |
| 28 | 3581/QD-TCS-HDQT | May 17, 2023 | Decision on approving the adjusted investment and construction plan in 2023. |
| 29 | 3582/QD-TCS-HDQT | May 17, 2023 | Decision on approving the step change of the investment and construction plan in 2023. |
| 30 | 3583/QD-TCS-HDQT | May 17, 2023 | Decision on the approval of the contractor selection plan for the investment project in vehicles to enhance the transport capacity of soil and rock at Cao Son Coal Joint Stock Company. |
| 31 | 3584/QD-TCS-HDQT | May 17, 2023 | Decision on adjusting the plan for using the Reward Fund and Welfare Fund in 2023. |
| 32 | 3585/QD-TCS-HDQT | May 17, 2023 | Decision on promulgating the Regulations for Documentation and Archives Work of Cao Son Coal Joint Stock Company. |
| 33 | 3929/QD-TCS-HDQT | May 30, 2023 | Decision on approving to forward the construction investment plan for 2023 |
| 34 | 3938/QD-TCS-HDQT | May 30, 2023 | Decision on approving the plan for average short-term credit norms and some financial rate in 2023. |
| 35 | 3960/QD-TCS-HDQT | May 31, 2023 | Decision to approve the adjustment of the contractor selection plan for Package No. 07-MRNCS on providing design review services for construction implementation after basic design (TKBV) within the project for renovation, expansion, and capacity increase of Cao Son coal mine. |
| 36 | 4432/QD-TCS-HDQT | June 16, 2023 | Decision on approving the selection of service providers for auditing and reviewing the financial statements for 2023. |
| 37 | 4544/QD-TCS-HDQT | June 21, 2023 | Decision on the reorganization of the Assessment Team for the contractor selection plan for the package of renovation, expansion, and capacity increase of Cao Son coal mine. |
| 38 | 4663/QD-TCS-HDQT | June 26, 2023 | Decision on approving the addition to the investment and construction plan in 2023. |
| 39 | 5880/QD-TCS-HDQT | August 10, 2023 | Decision on appointing Mr. Nguyen Ngoc Dung as the Executive Manager of the mine from August 10, 2023. |
| 41 | 5882/QD-TCS-HDQT | August 10, 2023 | Decision on the establishment of the Steering Committee for the implementation of the Project for renovation, expansion, and capacity increase of Cao Son coal mine. |
| 42 | 6730/QD-TCS-HDQT | September 11, 2023 | Decision on the reorganization of the Assessment Team for the contractor selection plan for the investment project in production equipment for the year 2022. |
| 43 | 6868/QD-TCS-HDQT | September 14, 2023 | Decision on approving the adjustment of the contractor selection plan for the Project of renovation, expansion, and capacity increase of Cao Son coal mine. |
| 44 | 6880/QD-TCS-HDQT | September 14, 2023 | Decision on approving the third adjustment of the contractor selection plan for the investment project in production equipment for the year 2022 - Cao Son Coal Joint Stock Company. |
| 45 | 6952/QD-TCS-HDQT | September 18, 2023 | Decision on awarding commendation certificates to individuals within the company. |
| 46 | 7487/QD-TCS-HDQT | October 06, 2023 | Decision to approve the step change of the investment and construction plan in 2023. |
| 47 | 8088/QD-TCS-HDQT | October 26, 2023 | Decision on promulgating the Norms for fuel, oil, and grease consumption for equipment. |
| 48 | 8437/QD-TCS-HDQT | November 07, 2023 | Decision on the reorganization of the Assessment Team for the project, and the contractor selection plan for the investment project in the construction of the auto repair and maintenance workshop of Cao Son Coal Joint Stock Company. |
| 49 | 8447/QD-TCS-HDQT | November 07, 2023 | Decision on temporarily assigning the plan for outsourced volume for the year 2024. |
| 50 | 8722/QD-TCS-HDQT | November 16, 2023 | Decision on the establishment of the Assessment Team for the project and the contractor selection plan for the investment project in support of production - Cao Son Coal Joint Stock Company. |
| 51 | 9029/QD-TCS-HDQT | November 27, 2023 | Decision on approving the content adjustment of the estimate for topographic mapping and the preparation of tasks, and the Detailed Planning at the scale of 1/500 for the waste dump area in the Khe Cham II open-pit mine within the project for renovation, expansion, and capacity increase of Cao Son coal mine. |
| 52 | 10388/QD-TCS-HDQT | December 29, 2023 | Decision on approving the plan for rational use of the Southwest Da Mai office. |
| 53 | 10389/QD-TCS-HDQT | December 29, 2023 | Decision on approving the investment and construction plan for 2024. |

1. The Supervisory Board:

Information about the members of the Supervisory Board

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| No. | Member of the Supervisory Board | Position | Date of appointment/dismissal as member of the Supervisory Board | Qualification |
| 1 | Ms. Nguyen Thi Lich | Chief | August 05, 2020 | Bachelor of Economics |
| 2 | Ms. Duong Thi Thu Phong | Member | August 05, 2020 | Electrification Engineer in Mining major; Master of Mine Economics |
| 3 | Ms. Le Thi Kim Dung | Member | August 05, 2020 | Bachelor of Economics |
| 4 | Mr. Nguyen Huy Hoang | Member | August 05, 2020 | Bachelor of Economics, Accounting Engineer |
| 5 | Ms. Dao Thi My | Member | April 27, 2022 | Bachelor of Business Administration  Bachelor of Enterprise Accounting |

1. The Executive Board of Managers:

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| No. | Member of the Board of Executive Managers | Date of birth | Qualification | Date of appointment as member of the Executive Board of Managers | Date of dismissal as member of the Executive Board of Managers |
| 1 | Mr. Pham Quoc Viet - Manager | July 30, 1974 | Mining Engineer | August 05, 2020 |  |
| 2 | Mr. Nguyen Van Sinh - Deputy Manager | February 28, 1966 | Mining Engineer | August 05, 2020 |  |
| 3 | Mr. Mai Huy Giap - Deputy Manager | August 15, 1966 | Electrification Engineer of Mining Enterprises | August 05, 2020 |  |
| 4 | Mr. Do Van Kien - Deputy Manager | June 25, 1977 | Mining engineer, Master of  Economic Management | August 05, 2020 |  |
| 5 | Mr. Nguyen Ngoc Dung - Deputy Manager | November 13, 1978 | Mining Engineer | April 06, 2022 |  |
| 6 | Mr. Nguyen Ngoc Toan -Deputy Manager | October 09, 1979 | Automotive Mechanical Engineer; Master of Development Economics | July 26, 2022 |  |

1. The Chief Accountant

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| Full name | Date of birth | Qualification | Appointment date |
| Mr. Dinh Van Chien | September 01, 1966 | Bachelor of Accounting, Master of Business Administration | August 05, 2020 |

1. Training on corporate governance
2. List of affiliated person of the public Company and transactions between the affiliated person of the Company with the Company itself
3. The Company has transactions between the Company and affiliated persons of the Company, or between the Company and major shareholders, PDMR, and affiliated persons of PDMR (details in the attached Appendix 02):
4. The Company has no subsidiaries, so there is no transaction between PDMR and the Company, affiliated persons of PDMR and subsidiaries, companies controlled by the Company.
5. Transactions between the Company and other entities: None.
   1. Transactions between the Company and the company in which members of the Board of Directors, members of the Supervisory Board and the Executive Manager in the past three (03) years: None.
   2. Transactions between the Company and company that related persons of members of the Board of Directors, members of the Supervisory Board, the Executive Manager and other managers are members of the Board of Directors, the Executive Manager: None.
   3. Other transactions of the Company (if any) which can bring about material or non-material benefits to members of the Board of Directors, members of the Supervisory Board, the Executive Manager and other managers: None.
6. Share transactions of PDMR and affiliated persons of PDMR

Transactions between PDMR and persons related to the shares of the Company: List attached herewith

1. Other significant issues: None.

Appendix 02: CONTRACTS AND TRANSACTIONS BETWEEN THE COMPANY AND MAJOR SHAREHOLDERS AND AFFILIATED PERSONS OF THE COMPANY, BETWEEN THE COMPANY AND PDMR IN 2023

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| No. | Name of organization/individual | Relations with the Company | NSH\* No., Date of issue and Place of issue of NSH | Headquarters address/Contact address | Time of transaction with the Company | Approved General Mandate/Decision, Board Resolution/Decision No.  No. of Resolutions/Decisions approved by the General Meeting of Shareholder/the Board of Directors | Content, quantity, total value of transaction | Note |
| 1 | Cam Pha Coal Trading Joint Stock Company - Vinacomin | Mr. Vu Van  Khan is the Chair of the Board of Directors | Business registration certificate number 5700526333 issued by the Department of Planning and Investment of Quang Ninh province on December 27, 2004 | 170 Tran Phu Street, Ward Cam Tay, Cam Pha City, Quang Ninh | January 01, 2023 | Resolution No. 01B/NQ-TCS- HDQT dated January 11, 2023 | Trading coal in addition to coal products, 03 contracts, total value executed VND 203.5 billion |  |
| 2 | Vinacomin - Mining Geology Joint Stock Company |  | Business registration certificate number 5701436805 issued by the Department of Planning and Investment of Quang Ninh province on | 304 Tran Phu Street, Ward Cam Thanh, Cam Pha City, Quang Ninh | January 01, 2023 | Resolution No. 01B/NQ-TCS- HDQT dated January 11, 2023 | Exploration, geological and geophysical survey. 02 contracts, total value VND 686,430,694 |  |
| 3 | Vinacomin – Mineral Mechanic and Shipbuilding JSC |  |  | Cai Lan Industrial Park, Bai Chay Ward, Ha Long City, Quang Ninh | January 01, 2023 | 01B/NQ-TCS- HDQT dated January 11, 2023 | None. |  |
| 4 | Uong Bi Coal Trading Joint Stock Company |  |  | 48a Trung Vuong Street, Uong Bi City, Quang Ninh | January 01, 2023 | 01B/NQ-TCS- HDQT dated January 11, 2023 | Sightseeing, leisure. 01 contract, value VND 529,416,000 |  |
| 5 | Vinacomin - Viet Bac Mining Industry Holding Corporation |  | Business registration certificate number 0100100015 issued by the Hanoi Authority for Planning and Investment | 1 Phan Dinh Giot Street, Ward Phuong Liet, Thanh Xuan District, Hanoi | January 01, 2023 | 01B/NQ-TCS- HDQT dated January 11, 2023 | None. |  |
| 6 | Vinacomin - Quang Hanh Coal Company |  | Business registration certificate number 55700100256-059 issued by the Department of Planning and Investment of Quang Ninh province on | 302 Tran Phu Street, Ward Cam Thanh, Cam Pha City, Quang Ninh | January 01, 2023 | 01B/NQ-TCS- HDQT dated January 11, 2023 | None. |  |
| 7 | Vinacomin - Hongai Coal Company |  | Business registration certificate number 55700100256-063 issued by the Department of Planning and Investment of Quang Ninh province on | No. 169 Le Thanh Tong Street, Ha Long City, Quang Ninh Province | January 01, 2023 | 01B/NQ-TCS- HDQT dated January 11, 2023 | None. |  |
| 8 | Vinacomin - Geology of Mineral Resources Joint Stock Company | Ms. Le Thi Kim Dung is a Member of the Supervisory Board | Business registration certificate number 0300424948 issued by the Department of Planning and Investment of Ho Chi Minh City on September 22, 2004 | 173 Truong Dinh Street, Ward 9, District 3, Ho Chi Minh City | January 01, 2023 | 01B/NQ-TCS- HDQT dated January 11, 2023 | None. |  |
| 9 | Institute of Energy and Mining Mechanics | Ms. Le Thi Kim Dung is a Supervisor | Business registration certificate number 0100100632 issued by the Hanoi Authority for Planning and Investment on June 19, 2019 | 565 Nguyen Trai Street, Ward Thanh Xuan Nam, Thanh Xuan District, Hanoi | January 01, 2023 | 01B/NQ-TCS- HDQT dated January 11, 2023 | Sale of goods and materials services, 11 contracts, total value VND 4,199,772,000 |  |
| 10 | Vinacomin - Ha Long Coal Company | Ms. Le Thi Kim Dung is a Supervisor | Business registration certificate number 5700100256-062 issued by the Department of Planning and Investment of Quang Ninh province on May 4, 2021 | Group 35, Diem Thuy Area, Cam Dong Ward, Cam Pha City, Quang Ninh | January 01, 2023 | 01B/NQ-TCS- HDQT dated January 11, 2023 | Rental for transformer station operation management, 01 contract, total value: VND 1,176,101,652/year |  |
| 11 | Vinacomin - Cua Ong Coal Preparation Company | Ms. Nguyen Thi Lich is a Supervisor | Tax code 5700100256-033 issued by the Department of Planning and Investment of Quang Ninh province on April 10, 2019 | Group 48, Area 4B2, Cua Ong Ward, Cam Pha City, Quang Ninh | January 01, 2023 | No. 01B/NQ-TCS- HDQT dated January 11, 2023 and No.01/NQ-TCS- DHDCD dated April 26, 2023 | Buying and selling coal, 03 contracts, total value: VND 5,462 billion. |  |
| 12 | Vinacomin – Cam Pha Port And Logistics Company |  |  | Ly Thuong Kiet Street, Cua Ong Ward, Cam Pha City, Quang Ninh | January 01, 2023 | No. 01B/NQ-TCS- HDQT dated January 11, 2023 and No. 01/NQ-TCS- DHDCD dated April 26, 2023 | Buying and selling coal, 01 contract, executed value VND 2,028 billion. |  |
| 13 | Vietnam National Coal and Mineral Industries Group - Vinacomin |  |  | No. 3 Duong Dinh Nghe, Yen Hoa Ward, Cau Giay District, Hanoi. | January 01, 2023 | No. 01B/NQ-TCS- HDQT dated January 11, 2023 and No. 01/NQ-TCS- DHDCD dated April 26, 2023 | Business cooperation contract in 2023, executed value: VND 7,940 billion. |  |
| 14 | Vinacomin - Materials Trading JSC |  |  | Group 1, Area 2, Hong Ha Ward, Ha Long City, Quang Ninh |  |  | Fuel trading contracts in 2023, 02 contracts, executed value VND 1,310 billion. |  |
| 15 | Tan Phu Xuan Joint Stock Company |  |  | Group 2, Phu Tho Ward, Kinh Mon Town, Hai Duong Province. |  | 01B/NQ-TCS- HDQT dated January 11, 2023 and No. 01/NQ-TCS- DHDCD dated April 26, 2023 | The executed value in 2023 is VND 860 billion. |  |

Prepared according to the form at point 2 of Appendix V to Circular No. 96/2020/TT-BTC dated November 16, 2020 of the Ministry of Finance.

Appendix 03: Report on Transactions of PDMR and Affiliated Persons with Company's Share in 2023

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| No. | Transaction conductor | Relations with PMDR | Number of shares owned at the beginning of the period | | Number of shares owned at the end of the period | | Reasons for increase or decrease (buy, sell, convert, reward,...) |
| Number of shares | Rate (%) | Number of shares | Rate (%) |
| 1 | Tran Thi My | Member of the Company’s Supervisory Board | 5,000 | 0.0117 | 0 | 0 | Sell |
| 2 | Pham Phu My | Company’s Secretariat | 92 | 0.00021 | 2,600 | 0.006 | Buy |
| 3 | Pham Thi Hanh | Wife of Mr. Pham Phu My | 84 | 0.00019 | 7,426 | 0.017 | Buy |
|  |  |  |  |  |  |  |  |

 Prepared in accordance with the form at point 2 of section VIII - Appendix V of Circular No. 96/2020/TT-BTC dated November 16, 2020, of the Ministry of Finance.