**HVT: Annual Corporate Governance Report 2023**

On January 22, 2024, Viet Tri Chemicals JSC announced Report No. 04/BC-HDQT on corporate governance in 2023 as follows:

* Name of public company: Viet Tri Chemicals JSC
* Head office address: Song Thao Street, Tho Son Ward, Viet Tri City, Phu Tho Province.
* Tel: 0210 3911 696
* Fax: 0210 3911 512
* Charter capital: VND 109,880,590,000
* Securities code: HVT
* Corporate Governance Model: The General Meeting of Shareholders, the Board of Directors, the Supervisory Board, and the General Manager.
* Internal audit execution: Unimplemented.
1. Activities of the General Meeting of Shareholders:

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| No. | General Mandate No. | Date | Content |
| 1 | 18/2023/NQ-DHDCD | April 24, 2023 | Annual General Mandate 2023 |

1. The Board of Directors:
2. Information about members of the Board of Directors:

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| --- | --- | --- | --- |
| No. | Full name | Position | Date of appointment/dismissal as member of the Board of Directors |
| Appointment/re-appointment date | Dismissal date |
| 1 |  Mr. Tran Quoc Cuong | Chair of the Board of Directors | June 20, 2020 | April 24, 2023 |
| 2 |  Mr. Nguyen Dinh Khoat | Chair of the Board of Directors | April 24, 2023 |  |
| 3 |  Mr. Van Dinh Hoan | Member of the Board of Directors | April 20, 2019 |  |
| 4 |  Mr. Khuc Ngoc Giang | Member of the Board of Directors | April 26, 2021 | April 24, 2023 |
| 5 |  Mr. Nguyen Huy Quang | Member of the Board of Directors | April 24, 2023 |  |
| 6 |  Mr. Nguyen Van Bach | Member of the Board of Directors | April 20, 2019 |  |
| 7 |  Mr. Pham Ngoc Phu | Member of the Board of Directors | April 20, 2019 |  |

1. Board Resolutions/Decisions:

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| --- | --- | --- | --- |
| No. | Resolution/Decision No. | Date | Content |
| 1 | 01/2023/NQ-HDQT | January 09, 2023 | Resolution on approving the construction investment plan for 2023. |
| 2 | 02/2023/NQ-HDQT | January 09, 2023 | Resolution on approving the major repair plan in 2023 |
| 4 | 03/2023/NQ-HDQT | January 09, 2023 | Resolution on establishing the Technical Economic Report Appraisal Team and Contractor Selection Plan for projects "Preparing for investment according to the investment plan 2023" |
| 5 | 04/2023/NQ-HDQT | January 09, 2023 | Resolution on the meeting of the Board of Directors dated January 09, 2023 |
| 6 | 05/2023/NQ-HDQT | February 16, 2023 | Resolution on holding the Annual General Meeting of Shareholders 2023 |
| 7 | 06/2023/NQ-HDQT | February 17, 2023 | Resolution on approving the plan for a short-term business trip abroad |
| 8 | 07/2023/NQ-HDQT | February 28, 2023 | Resolution on approving the planning for the leaders and managers of the Company in the 2026 - 2031 period |
| 9 | 08/2023/NQ-HDQT | March 01, 2023 | Resolution on authorizing medium-term loans |
| 10 | 09/2023/NQ-HDQT | March 16, 2023 | Resolution on holding the Annual General Meeting of Shareholders 2023 |
| 11 | 10/2023/NQ-HDQT | March 24, 2023 | Resolution on authorizing medium-term loans |
| 12 | 11/2023/NQ-HDQT | March 24, 2023 | Resolution on approving the implemented salary fund in 2023 |
| 13 | 12/2023/NQ-HDQT | March 31, 2023 | Resolution on approving the documents to submit to the Annual General Meeting of Shareholders 2023 |
| 14 | 13/2023/NQ-HDQT | April 14, 2023 | Resolution on receiving resignation letters and supplementing the agenda for the Annual General Meeting of Shareholders 2023 |
| 15 | 14/2023/NQ-HDQT | April 14, 2023 | Resolution on approving the documents to submit to the Annual General Meeting of Shareholders 2023 |
| 16 | 15/2023/NQ-HDQT | April 14, 2023 | Resolution on re-appointing the General Manager, Deputy General Manager of the Company |
| 17 | 16/2023/NQ-HDQT | April 14, 2023 | Resolution on approving the consultation with the Party Executive Committee on personnel to re-appoint the General Manager of the Company |
| 18 | 17/2023/NQ-HDQT | April 14, 2023 | Resolution on approving the remuneration payment for the Company Secretariat in 2022 |
| 19 | 19/2023/NQ-HDQT | April 24, 2023 | Resolution on appointing the Company’s Chief of the Board of Directors |
| 20 | 20/2023/NQ-HDQT | May 18, 2023 | Resolution on adjusting the equipment costs in the feasibility study report and contractor selection plan for the investment project “Technological line for the production of disinfectants for water sources for domestic use and aquaculture from locally available materials with the capacity 9,000 tons/year or 30 tons/day” |
| 21 | 21/2023/NQ-HDQT | May 18, 2023 | Resolution on approving the liquidation of fixed assets |
| 22 | 22/2023/NQ-HDQT | May 18, 2023 | Resolution on approving the settlement to complete the Project "Investment in replacing technology and equipment to produce soda” |
| 23 | 23/2023/NQ-HDQT | May 18, 2023 | Resolution on approving the Report on production and business results in Q1/2023 and the Production and Business Plan for Q2/2023 |
| 24 | 24/2023/NQ-HDQT | May 18, 2023 | Resolution on approving the planning for the Heads and Deputy Heads of departments and equivalent titles of the Company from 2026 to 2031 |
| 25 | 25/2023/NQ-HDQT | May 18, 2023 | Resolution on approving the selection of an independent audit company to audit the Financial Statements 2023 |
| 26 | 26/2023/NQ-HDQT | June 01, 2023 | Resolution on approving the consultation with the Party Executive Committee on reviewing and supplementing the planning for leadership and management staff of the Company for the 2021-2026 period  |
| 27 | 27/2023/NQ-HDQT | June 01, 2023 | Resolution on the meeting of the Board of Directors dated June 01, 2023 |
| 28 | 28/2023/NQ-HDQT | July 24, 2023 | Resolution on paying the remaining dividends of 2023 |
| 29 | 29/2023/NQ-HDQT | July 24, 2023 | Resolution on adjusting the feasibility study report (review and adjust) and contractor selection plan for the investment project “Technological line for the production of disinfectants for water sources for domestic use and aquaculture from locally available materials with the capacity 9,000 tons/year or 30 tons/day” |
| 30 | 30/2023/NQ-HDQT | July 28, 2023 | Resolution on approving the bidding document for EPC Bidding Package: Design, provide technological equipment and implement construction projects |
| 31 | 31/2023/NQ-HDQT | August 10, 2023 | Resolution on approving the establishment of the Bidding Expert Team and the Team to Evaluate the Contractor Selection Results |
| 32 | 32/2023/NQ-HDQT | August 22, 2023 | Resolution on the Board Meeting dated August 22, 2023 |
| 33 | 33/2023/NQ-HDQT | August 28, 2023 | Resolution on the Board Meeting dated August 28, 2023 |
| 34 | 34/2023/NQ-HDQT | August 28, 2023 | Resolution on borrowing working capital to serve production and business activities |
| 35 | 35/2023/NQ-HDQT | September 08, 2023 | Resolution on the EPC bidding package of the investment project “Technological line for the production of disinfectants for water sources for domestic use and aquaculture from locally available materials with the capacity 9,000 tons/year or 30 tons/day” |
| 36 | 36/2023/NQ-HDQT | September 14, 2023 | Resolution on approving the ranked list of contractors participating in the EPC bidding package "Design, supply technological equipment and implement construction projects” |
| 37 | 37/2023/NQ-HDQT | September 20, 2023 | Resolution on amending and supplementing the Company's internal governance regulations |
| 38 | 38/2023/NQ-HDQT | September 21, 2023 | Resolution on approving the contractor selection results for the EPC package "Design, supply technological equipment and implement construction projects" |
| 39 | 39/2023/NQ-HDQT | October 05, 2023 | Resolution on approving the policy of implementing the appointment process of the Company’s Deputy General Manager |
| 40 | 40/2023/NQ-HDQT | October 05, 2023 | Resolution on authorizing medium-term loans |
| 41 | 41/2023/NQ-HDQT | October 05, 2023 | Resolution on the Board Meeting dated October 05, 2023 |
| 42 | 42/2023/NQ-HDQT | October 05, 2023 | Resolution on considering the Report on handling personal responsibility related to environmental incidents at the Company on March 24, 2023 |
| 43 | 43/2023/NQ-HDQT | October 18, 2023 | Resolution on approving the contractor selection for bidding package No. 2 "Geological survey for construction design” of investment project “Technological line for the production of disinfectants for water sources for domestic use and aquaculture from locally available materials with the capacity 9,000 tons/year or 30 tons/day” |
| 44 | 44/2023/NQ-HDQT | October 18, 2023 | Resolution on approving Estimates and Required Documents for “Bidding Package No. 4 - Verification of estimates and construction designs” |
| 45 | 45/2023/NQ-HDQT | November 06, 2023 | Resolution on approving the evaluation results of Technical proposal documents for bidding packages applying online contractor selection according to the one-stage, two-envelope method for Package No. 03 "Supervision of construction and equipment installation” of investment project “Technological line for the production of disinfectants for water sources for domestic use and aquaculture from locally available materials with the capacity 9,000 tons/year or 30 tons/day” |
| 46 | 46/2023/NQ-HDQT | November 06, 2023 | Resolution on approving the contractor selection results for bidding package No. 04 "Verification of estimates and construction designs" of investment project “Technological line for the production of disinfectants for water sources for domestic use and aquaculture from locally available materials with the capacity 9,000 tons/year or 30 tons/day” |
| 47 | 47/2023/NQ-HDQT | November 20, 2023 | Resolution on approving the contractor selection results for bidding package No. 03 "Supervision of construction and equipment installation” of investment project “Technological line for the production of disinfectants for water sources for domestic use and aquaculture from locally available materials with the capacity 9,000 tons/year or 30 tons/day” |
| 48 | 48/2023/NQ-HDQT | December 01, 2023 | Resolution on approving Estimates and Online Bidding Documents for “Bidding Package No. 05 - Construction and Installation Insurance” of investment project “Technological line for the production of disinfectants for water sources for domestic use and aquaculture from locally available materials with the capacity 9,000 tons/year or 30 tons/day” |
| 49 | 49/2023/NQ-HDQT | December 01, 2023 | Resolution on approving the Estimates and Documents of Requirements to appoint the contractor for "Bidding Package No. 06 - Inspection of imported goods" under investment project “Technological line for the production of disinfectants for water sources for domestic use and aquaculture from locally available materials with the capacity 9,000 tons/year or 30 tons/day” |
| 50 | 50/2023/NQ-HDQT | December 01, 2023 | Resolution on approving the Estimate and Documents of Requirements to appoint contractor for "Bidding Package No. 05 - Independent Audit" of the Investment Project "Replacement of electrolysis equipment and rectifier transformer complex ” |
| 51 | 51/2023/NQ-HDQT | December 01, 2023 | Resolution on approving the Estimate and Documents of Requirements to appoint contractor for "Package No. 05 - Independent Audit" under the Investment Project "HC1 Acid Synthesis System with the Capacity of 450 tons/day" |
| 52 | 52/2023/NQ-HDQT | December 05, 2023 | Resolution on the advance dividend payment of 2023 |
| 53 | 53/2023/NQ-HDQT | December 05, 2023 | Resolution on the Board Meeting dated December 05, 2023 |
| 54 | 54/2023/NQ-HDQT | December 12, 2023 | Resolution on the advance dividend payment of 2023 |
| 55 | 55/2023/NQ-HDQT | December 27, 2023 | Resolution on the advance dividend payment of 2023 |
| 56 | 56/2023/NQ-HDQT | December 27, 2023 | Resolution on rewarding the Company's Management Board in 2021 and 2022 |
| 57 | 57/2023/NQ-HDQT | December 28, 2023 | Resolution on the personnel for the position of the Company Secretary |
| 58 | 58/2023/NQ-HDQT | December 29, 2023 | Resolution on the re-appointment of the Deputy General Manager of the Company |
| 59 | 59/2023/NQ-HDQT | December 29, 2023 | Resolution on the re-appointment of the Company’s Deputy General Manager |
| 60 | 01/2023/QD-HDQT | January 09, 2023 | Decision on the establishment of the Technical Economic Report Appraisal Team and Contractor Selection Plan for projects “Preparing to invest according to the investment plan 2023” |
| 61 | 02/2023/QD-HDQT | January 09, 2023 | Decision on promulgating the Internal Expenditure Regulations of the Company |
| 62 | 03/2023/QD-HDQT | February 17, 2023 | Decision on sending employees on short-term business trips abroad |
| 63 | 04/2023/QD-HDQT | April 14, 2023 | Decision on establishing the Shareholder's Eligibility Verification Committee for the Annual General Meeting of Shareholders 2023 |
| 64 | 05/2023/QD-HDQT | May 18, 2023 | Decision on liquidating fixed assets |
| 65 | 06/2023/QD-HDQT | May 18, 2023 | Decision on approving the settlement to complete the project "Investment in replacing technology and equipment to produce soda” |
| 66 | 07/2023/QD-HDQT | July 24, 2023 | Decision on approving adjustments to the feasibility study report (review and adjust) and contractor selection plan for the investment project “Technological line for the production of disinfectants for water sources for domestic use and aquaculture from locally available materials with the capacity 9,000 tons/year or 30 tons/day” |
| 67 | 08/2023/QD-HDQT | July 28, 2023 | Decision on approving the bidding document for EPC Bidding Package: “Design, provide technological equipment and implement construction projects” |
| 68 | 09/2023/QD-HDQT | August 10, 2023 | Decision on the establishment of the Bidding Expert Team |
| 69 | 10/2023/QD-HDQT | August 10, 2023 | Decision on establishing a Team to evaluate contractor selection results |
| 70 | 11/2023/QD-HDQT | August 22, 2023 | Decision on extending the bid record time for EPC package - Design, supply technological equipment and implement construction projects of Investment project “Technological line for the production of disinfectants for water sources for domestic use and aquaculture from locally available materials with the capacity 9,000 tons/year or 30 tons/day” |
| 71 | 12/2023/QD-HDQT | August 28, 2023 | Decision on Bid Opening for Package No. 01: EPC package - Design, supply technological equipment and implement construction projects of investment project “Technological line for the production of disinfectants for water sources for domestic use and aquaculture from locally available materials with the capacity 9,000 tons/year or 30 tons/day” |
| 72 | 13/2023/QD-HDQT | September 08, 2023 | Decision on approving the list of contractors that meet the technical requirements of the EPC Package - Design, supply technological equipment and implement construction projects  |
| 73 | 14/2023/QD-HDQT | September 14, 2023 | Decision on approving the ranked list of contractors participating in the EPC package "Design, supply technological equipment and implement construction projects" |
| 74 | 15/2023/QD-HDQT | September 20, 2023 | Decision on promulgating Regulations on Management and Repair of Fixed Assets |
| 75 | 16/2023/QD-HDQT | September 20, 2023 | Decision on promulgating the Regulation on Financial Management of the Company |
| 76 | 17/2023/QD-HDQT | September 20, 2023 | Decision on promulgating the Debt Management Regulations of Viet Tri Chemicals JSC |
| 77 | 18/2023/QD-HDQT | September 20, 2023 | Decision on promulgating the Regulations on Material Procurement Management of the Company |
| 78 | 19/2023/QD-HDQT | September 20, 2023 | Decision on promulgating the Salary Distribution Regulations of Viet Tri Chemicals JSC |
| 79 | 20/2023/QD-HDQT | September 20, 2023 | Decision on promulgating Regulations on staff management of the Company |
| 80 | 21/2023/QD-HDQT | September 21, 2023 | Decision on approving the contractor selection results for the EPC package "Design, supply technological equipment and implement construction projects" |
| 81 | 22/2023/QD-HDQT | October 05, 2023 | Decision on approving the policy of additional investment projects, the technical-economic report and contractor selection plan for the investment project "Reaction equipment for producing PAC solution 17%” |
| 82 | 23/2023/QD-HDQT | October 05, 2023 | Decision on approving the Documents of Requirements of "Package No. 02 - Geological survey for construction design" |
| 83 | 24/2023/QD-HDQT | October 05, 2023 | Decision on approving E - Bidding documents for Package No. 03 - Supervision of construction and equipment installation |
| 84 | 25/2023/QD-HDQT | October 05, 2023 | Decision on approving raw material consumption norms for 2023 |
| 85 | 26/2023/QD-HDQT | October 18, 2023 | Decision on approving the contractor selection results for Package No. 02 "Geological survey for construction design" of investment project “Technological line for the production of disinfectants for water sources for domestic use and aquaculture from locally available materials with the capacity 9,000 tons/year or 30 tons/day” |
| 86 | 27/2023/QD-HDQT | October 18, 2023 | Decision on approving Estimates and Documents of Requirements of “Bidding Package No. 04 - Verification of estimates and construction designs” |
| 87 | 28/2023/QD-HDQT | November 06, 2023 | Decision on approving the list of contractors that meet technical requirements for Package No. 03 "Supervision of construction and equipment installation” of investment project “Technological line for the production of disinfectants for water sources for domestic use and aquaculture from locally available materials with the capacity 9,000 tons/year or 30 tons/day” |
| 88 | 29/2023/QD-HDQT | November 06, 2023 | Decision on approving the contractor selection results for Package No. 04 "Verification of estimates and construction designs" of the investment project “Technological line for the production of disinfectants for water sources for domestic use and aquaculture from locally available materials with the capacity 9,000 tons/year or 30 tons/day” |
| 89 | 30/2023/QD-HDQT | November 20, 2023 | Decision on approving the contractor selection results for "Package No. 03 - Supervision of construction and equipment installation” of the investment project “Technological line for the production of disinfectants for water sources for domestic use and aquaculture from locally available materials with the capacity 9,000 tons/year or 30 tons/day” |
| 90 | 31/2023/QD-HDQT | December 01, 2023 | Decision on approving the Estimate and Online Bidding Documents for "Package No. 05 - Construction and installation insurance” of the investment project “Technological line for the production of disinfectants for water sources for domestic use and aquaculture from locally available materials with the capacity 9,000 tons/year or 30 tons/day” |
| 91 | 32/2023/QD-HDQT | December 01, 2023 | Decision on approving the Estimate and Documents of Requirements appointing the contractor "Package No. 06 - Inspection of imported goods” of the investment project “Technological line for the production of disinfectants for water sources for domestic use and aquaculture from locally available materials with the capacity 9,000 tons/year or 30 tons/day” |
| 92 | 33/2023/QD-HDQT | December 01, 2023 | Decision on approving the Estimate and Documents of Requirements to appoint contractor for "Package No. 05 - Independent Audit" of Investment Project "Replacement of electrolysis equipment and rectifier transformer complex” |
| 93 | 34/2023/QD-HDQT | December 01, 2023 | Decision on approving the Estimates and Documents of Requirements to appoint contractor for "Package No. 05 - Independent Audit" under the Investment Project "HC1 Acid Synthesis System with the Capacity of 450 tons/day" |
| 94 | 35/2023/QD-HDQT | December 27, 2023 | Decision on rewarding the Company's Management Board in 2021 and 2022 |
| 95 | 36/2023/QD-HDQT | December 28, 2023 | Decision on appointing the Company’s Secretariat |
| 96 | 37/2023/QD-HDQT | December 29, 2023 | Decision on re-appointing the General Manager of the Company |
| 97 | 38/2023/QD-HDQT | December 29, 2023 | Decision on re-appointing the Deputy General Manager of the Company |

1. The Supervisory Board:
2. Information about members of the Supervisory Board:

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| --- | --- | --- | --- | --- |
| No. | Full name | Position | Date of appointment | Qualification |
| 1 | Mr. Le Viet Dung | Chief of the Supervisory Board | April 20, 2019 | Bachelor of Accounting |
| 2 | Ms. Vu Thi Bich Ngoc | Member of the Supervisory Board | April 20, 2019 | Bachelor of Accounting |
| 3 | Ms. Nguyen Thi Hong Hai | Member of the Supervisory Board | April 20, 2019 | Intermediate Accounting |

1. The Executive Board

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| --- | --- | --- | --- | --- |
| No. | Full name | Date of birth | Qualification | Date of appointment/dismissal |
| 1 | Mr. Van Dinh Hoan | April 20, 1966 |  - Chemical Engineer - Master of Business Administration |  Re-appointed: May 18, 2018 |
| 2 | Mr. Nguyen Van Bach | June 14, 1965 | Bachelor of Economics |  Re-appointed: May 18, 2018 |
| 3 | Mr. Dao Hai Linh | August 25, 1979 |  - Chemical Engineer - Master of Economic Management |  Appointed on October 10, 2021 |

1. The Chief Accountant

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| --- | --- | --- | --- | --- |
| No. | Full name | Date of birth | Qualification | Date of appointment/dismissal |
| 1 |  Ms. Le Thi Minh Hoa | September 03, 1972 | Bachelor of Economics | Appointed on March 24, 2021 |

1. Training on corporate governance: None.
2. List and Share transactions of PDMR and affiliated persons of PDMR:
3. Transaction of PDMR and affiliated persons related to the Company’s shares: None
4. Transactions of affiliated persons of the Company and the Company itself:
5. Transactions between Company’s PDMR, affiliated persons of PDMR and subsidiaries or companies controlled by the Company: None
6. Transactions between the Company and affiliated persons of the Company; or between the Company and major shareholders, PDMR, or affiliated persons of PDMR. None.
7. Transactions between the Company and others:
	1. Transactions between company and company that members of the Board of Directors, members of the Supervisory Board, the Manager (General Manager) and other managers have been founding members or members of the Board of Directors, the executive Manager (General Manager) in the last three (03) years (as of the time of making the report): None
	2. Transactions between the Company and companies where the affiliated people of members of the Board of Directors, members of the Supervisory Board, the Manager (General Manager) and other managers are members of the Board of Directors, the Executive Manager (General Manager): None.
	3. Other transactions of the Company (if any) that can bring about material or non-material benefits to members of the Board of Directors, members of the Supervisory Board, the Manager (General Manager) and other managers: None.
8. Other significant issues: None.