**BAB123032: Annual Corporate Governance 2023**

On January 29, 2024, Bac A Commercial Joint Stock Bank announced Report No. 14/2024/BC-HDQT on corporate governance in 2023 as follows:

* Name of company: Bac A Commercial Joint Stock Bank
* Headquarters address: No. 117 Quang Trung Street, Quang Trung Ward, Vinh City, Nghe An Province
* Tel: 0238.3844277
* Fax: 0238.3841757
* Email: [bacabank\_congbothongtin@baca-bank.vn](mailto:bacabank_congbothongtin@baca-bank.vn)
* Charter capital on December 31, 2023: VND 8,334 billion
* Securities code: BAB
* Corporate governance model: General Meeting of Shareholders, Board of Directors, Supervisory Board, and General Manager
* Internal audit execution: Implemented

1. Activities of the General Meeting of Shareholders:

Bac A Commercial Joint Stock Bank held the Annual General Meeting of Shareholders and approved the Annual General Mandate dated April 22, 2023.

|  |  |  |  |
| --- | --- | --- | --- |
| No. | General Mandate/Decision No. | Date | Content |
| 1 | 02/2023/NQ-DHDCD | April 22, 2023 | Annual General Mandate 2023 |

1. The Board of Directors:
2. Information about members of the Board of Directors:

Information about members of Bac A Commercial Joint Stock Bank’s Board of Directors as of December 31, 2023 is as follows:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Board of Directors | Position (independent member of the Board of Directors, non-executive member of the Board of Directors) | Date of appointment/dismissal as member/independent member of the Board of Directors | |
| Appointment date | Dismissal date |
| 1 | Ms. Tran Thi Thoang | Chair | April 22, 2019 |  |
| 2 | Ms. Thai Huong | Vice Chair | April 22, 2019 |  |
| 3 | Mr. Vo Van Quang | Member | April 22, 2019 |  |
| 4 | Mr. Dang Thai Nguyen | Member | April 22, 2019 |  |
| 5 | Mr. Nguyen Huu Phang | Independent member | April 22, 2019 |  |

1. Board Resolutions/Board Decisions:

Board Resolutions/Board Decisions approved in 2023 include:

|  |  |  |  |
| --- | --- | --- | --- |
| No. | Board Resolution/Board Decision No. | Date | Content |
| 1 | 04/2023/QD-HDQT | January 05, 2023 | Approve the plan to issue deposit certificates. |
| 2 | 06/2023/NQ-HDQT | January 09, 2023 | Correct the plan to increase charter capital in 2022 |
| 3 | 07/2023/QD-HDQT | January 09, 2023 | Change location and name of Nguyen Thi Thap Transaction Office - Phan Dang Luu Branch |
| 4 | 08/2023/QD-HDQT | January 09, 2023 | Change address without relocation of An Phu Transaction Office - Phan Dang Luu Branch |
| 5 | 10/2023/NQ-HDQT | January 10, 2022 | Approve the business plan for 2023 |
| 6 | 12/2023/NQ-HDQT | January 12, 2023 | Stipulate granting credit without collateral |
| 7 | 13/2023/QD-HDQT | January 12, 2023 | Stipulate granting credit without collateral |
| 8 | 15/2023/NQ-HDQT | January 30, 2023 | Approve the record date for exercising the right to buy shares to increase charter capital in round 2 of 2022 |
| 9 | 23/2023/QD-HDQT | March 01, 2023 | Plan the BAB Annual General Meeting of Shareholders 2023 |
| 10 | 27/2023/NQ-HDQT | March 03, 2023 | Change address without relocation of Transaction Office No. 9 - Ha Thanh Branch |
| 11 | 29/2023/QD-HDQT | March 07, 2023 | Approve contents related to BAB international credit card products |
| 12 | 31/2023/QD-HDQT | March 10, 2023 | Promulgate regulations on management and use of Bac A Commercial Joint Stock Bank’s seal |
| 13 | 32/2023/NQ-HDQT | March 17, 2023 | Approve the issuance of international credit cards for BAB personnel in round 1 |
| 14 | 33/2023/QD-HDQT | March 21, 2023 | Change address without relocation of Transaction Office No. 9 - Ha Thanh Branch |
| 15 | 36/2023/QD-HDQT | March 24, 2023 | Relocate Dien Bien Phu Transaction Office headquarters - Da Nang Branch |
| 16 | 38/2023/QD-HDQT | March 27, 2023 | Announce the results of share offering, fractional share handling, and undistributed shares according to the public offering of BAB in 2022 |
| 17 | 40/2023/QD-HDQT | March 31, 2023 | Convene and approve the agenda and documents of the BAB Annual General Meeting of Shareholders 2022 |
| 18 | 47B/2023/QD-HDQT | April 04, 2023 | Approve the Annual Report 2023 |
| 19 | 48/2023/QD-HDQT | April 05, 2023 | Amend and supplement a number of articles in the regulations on foreign exchange management for payment and money transfer products and services in the BAB system promulgated with Decision No. 109/2022/QD-HDQT dated August 22, 2022 |
| 20 | 50/2023/NQ-HDQT | April 06, 2023 | Relocate Transaction Office No. 26 - Ha Thanh Branch |
| 21 | 51/2023/NQ-HDQT | April 06, 2023 | Relocate Van Giang Transaction Office - Hung Yen Branch |
| 22 | 52/2023/NQ-HDQT | April 06, 2023 | Relocate Yen Lac Transaction Office - Vinh Phuc Branch |
| 23 | 54/2023/QD-HDQT | April 07, 2023 | Amend the content of charter capital in the Operating License |
| 24 | 55/2023/QD-HDQT | April 13, 2023 | Change address and name of Phan Dang Luu Transaction Office - Hue Branch |
| 25 | 58/2023/QD-HDQT | April 17, 2023 | Update agenda and documents of the Annual General Meeting of Shareholders 2023 |
| 26 | 59B/2023/QD-HDQT | April 19, 2023 | Suspend the effect of Clause 12, Article 4 of regulations on corporate bond trading at Bac A Bank |
| 27 | 61/2023/QD-HDQT | April 26, 2023 | Promulgate the BAC A BANK Charter |
| 28 | 64/2023/QD-HDQT | May 08, 2023 | Amend and supplement a number of articles in the Decision on the BAB debt purchase and sale contract attached to Decision No. 70/2021-HDQT dated April 28, 2021 |
| 29 | 66/2023/NQ-HDQT | May 24, 2023 | Relocate Ca Mau Branch |
| 30 | 67/2023/NQ-HDQT | May 24, 2023 | Relocate Yen Bai Branch |
| 31 | 68/2023/NQ-HDQT | May 24, 2023 | Relocate Thanh Hoa Branch |
| 32 | 69/2023/NQ-HDQT | May 24, 2023 | Relocate Bac Cuong Transaction Office - Lao Cai Branch |
| 33 | 70/2023/NQ-HDQT | May 24, 2023 | Relocate Ben Cat Transaction Office - Binh Duong Branch |
| 34 | 72/2023/QD-HDQT | May 25, 2023 | Approve and adjust the restructuring plan associated with bad debt handling for the 2021-2025 period of BAB |
| 35 | 73/2023/QD-HDQT | June 07, 2023 | Relocate Ben Cat Transaction Office - Binh Duong Branch |
| 36 | 74/2023/QD-HDQT | June 07, 2023 | Relocate Thanh Hoa Branch |
| 37 | 76/2023/NQ-HDQT | June 15, 2023 | Change address without relocation of Dong Nai Branch |
| 38 | 77/2023/QD-HDQT | June 15, 2023 | Rename Hoai Duc Transaction Office - Cau Giay Branch |
| 39 | 78/2023/QD-HDQT | June 15, 2023 | Rename Thanh Tri Transaction Office - Thai Ha Branch |
| 40 | 80/2023/QD-HDQT | June 16, 2023 | Promulgate regulations on money laundering prevention and combat of BAB |
| 41 | 90/2023/QD-HDQT | July 24, 2023 | Change address without relocation of Dong Nai Branch |
| 42 | 92/2023/NQ-HDQT | July 25, 2023 | Approve the plan for private placement of BAB bonds in 2023 |
| 43 | 93/2023/QD-HDQT | July 28, 2023 | Implement issues related to the establishment and relocation of Phu My Transaction Office - Vung Tau Branch |
| 44 | 96/2023/NQ-HDQT | August 01, 2023 | Relocate Bac A Bank headquarters - Dien Bien Branch |
| 45 | 97/2023/QD-HDQT | August 10, 2023 | Relocate Bac A Bank headquarters - Hai Phong Branch |
| 46 | 99/2023/QD-HDQT | August 11, 2023 | Amend the BAC A BANK Charter after increasing capital |
| 47 | 100/2023/QD-HDQT | August 15, 2023 | Establish and relocate Dien Bien Branch |
| 48 | 101/2023/QD-HDQT | August 18, 2023 | Establish and relocate Ca Mau Branch |
| 49 | 102/2023/QD-HDQT | August 22, 2023 | Establish and relocate Yen Bai Branch |
| 50 | 103/2023/QD-HDQT | August 28, 2023 | Relocate Ngoc Lam Transaction Office - Hang Dau Branch |
| 51 | 107/2023/QD-HDQT | August 28, 2023 | Promulgate regulations on granting credit without collateral |
| 52 | 108/2023/QD-HDQT | August 28, 2023 | Promulgate regulations on loan |
| 53 | 110/2023/NQ-HDQT | September 08, 2023 | Establish Quang Nam Branch |
| 54 | 111/2023/NQ-HDQT | September 08, 2023 | Establish Dak Nong Branch |
| 55 | 112/2023/NQ-HDQT | September 08, 2023 | Establish Tay Ninh Branch |
| 56 | 113/2023/NQ-HDQT | September 08, 2023 | Establish Son La Branch |
| 57 | 114/2023/NQ-HDQT | September 08, 2023 | Establish Co Loa Transaction Office - Dong Anh Branch |
| 58 | 115/2023/NQ-HDQT | September 08, 2023 | Establish Ba Vi Transaction Office - Thai Ha Branch |
| 59 | 116/2023/NQ-HDQT | September 08, 2023 | Establish LaGi Transaction Office - Binh Thuan Branch |
| 60 | 117/2023/NQ-HDQT | September 08, 2023 | Establish Song Cau Transaction Office - Phu Yen Branch |
| 61 | 118/2023/NQ-HDQT | September 08, 2023 | Establish Phu Xuyen Transaction Office - Thanh Tri Branch |
| 62 | 119/2023/NQ-HDQT | September 08, 2023 | Establish Thach That Transaction Office - Hoai Duc Branch |
| 63 | 120/2023/NQ-HDQT | September 08, 2023 | Establish Cam Ranh Transaction Office - Khanh Hoa Branch |
| 64 | 121/2023/NQ-HDQT | September 08, 2023 | Establish Yen VienTransaction Office - Hang Dau Branch |
| 65 | 125/2023/QD-HDQT | October 03, 2023 | Appoint Ms. Thai Thi Nga as Deputy General Manager |
| 66 | 127/2023/NQ-HDQT | October 06, 2023 | Approve the proposed personnel appointment of the General Manager title for the 2024-2029 term |
| 67 | 131/2023/NQ-HDQT | October 10, 2023 | Approve the relocation policy of Buon Ma Thuot Transaction Office - Dak Lak Branch |
| 68 | 133/2023/QD-HDQT | October 12, 2023 | Relocate Transaction Office No. 19 - Cau Giay branch |
| 69 | 135/2023/NQ-HDQT | October 13, 2023 | Approve the trading registration at Hanoi Stock Exchange for private bonds issued by BAB in 2021, 2022, 2023 |
| 70 | 137/2023/NQ-HDQT | October 23, 2023 | Increase charter capital in 2023 |
| 71 | 138/2023/QD-HDQT | October 23, 2023 | Establish and relocate Hai Hau Transaction Office - Nam Dinh Branch |
| 72 | 139/2023/QD-HDQT | November 08, 2023 | Establish and relocate Buon Ma Thuot Transaction Office - Dak Lak Branch |
| 73 | 139B/2023/QD-HDQT | November 14, 2023 | Promulgate regulations on valuable papers |
| 74 | 141/2023/NQ-HDQT | November 30, 2023 | Approve contents related to the second public offering of BAB bonds |
| 75 | 142/2023/QD-HDQT | December 01, 2023 | Establish and relocate Tu Son Transaction Office - Bac Ninh Branch |
| 76 | 146/2023/NQ-HDQT | December 04, 2023 | Approve the registration dossier for the second public offering of BAB bonds |
| 77 | 149/2023/NQ-HDQT | December 05, 2023 | Relocate Khanh Hoa Branch |
| 78 | 152/2023/NQ-HDQT | December 06, 2023 | Increase charter capital in round 1 of 2023 |
| 79 | 158/2023/NQ-HDQT | December 20, 2023 | Approve the record date to run for members of the Board of Directors and Supervisory Board for the 2024-2029 term |
| 80 | 160/2023/NQ-HDQT | December 21, 2023 | Select an organization to audit and review the Financial Statements and the Internal Audit System’s operations for 2024 |
| 81 | 162/2023/NQ-HDQT | December 22, 2023 | Relocate Dak Lak Branch |
| 82 | 163/2023/QD-HDQT | December 25, 2023 | Relocate Tay Son Transaction Office - Ha Noi Branch |
| 83 | 165/2023/QD-HDQT | December 27, 2023 | Appoint General Manager for the 2024-2029 term |
| 84 | 166/2023/QD-HDQT | December 27, 2023 | Relocate Ngo Quyen Transaction Office - Hai Phong Branch |
| 85 | 168/2023/NQ-HDQT | December 28, 2023 | Approve the record date to receive dividend payment of 2022 by shares |
| 86 | 170/2023/QD-HDQT | December 29, 2023 | Approve contracts between BAB with subsidiaries and affiliated persons of members of the Board of Directors in 2024 |
| 87 | 171/2023/QD-HDQT | December 29, 2023 | Relocate Da Nang Branch |

1. The Supervisory Board:
2. Information about members of the Supervisory Board:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Supervisory Board | Position | Date of appointment as member of the Supervisory Board | Qualification |
| 1 | Mr. Pham Hong Cong | Chief of the Supervisory Board | April 22, 2019 | Bachelor |
| 2 | Ms. Truong Thi Kim Thu | Executive member of the Supervisory Board | April 22, 2019 | Bachelor |
| 3 | Mr. Thai Dinh Long | Executive member of the Supervisory Board | April 22, 2019 | Bachelor |

1. The Board of Management:

Bac A Commercial Joint Stock Bank’s Board of Management as of December 31, 2023 is as follows:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Board of Management | Date of birth | Qualification | Appointment date |
| 1 | Ms. Thai Huong | October 12, 1958 | Bachelor of Economics | September 01, 1994 |
| 2 | Mr. Dang Trung Dung | June 01, 1974 | Master of Economics | July 28, 2016 |
| 3 | Mr. Nguyen Trong Trung | April 14, 1966 | Bachelor of Economics | May 15, 2003 |
| 4 | Mr. Chu Nguyen Binh | January 02, 1977 | Doctor of Economics | March 01, 2008 |
| 5 | Mr. Truong Vinh Loi | October 30, 1972 | Master of Economics | May 30, 2008 |
| 6 | Mr. Vo Van Quang | July 12, 1959 | Doctor of Economics | July 01, 2009 |
| 7 | Mr. Nguyen Viet Hanh | October 16, 1976 | Bachelor of Economics | March 05, 2015 |
| 8 | Mr. Le Ngoc Hong Nhat | May 10, 1976 | Master of Economics | May 01, 2016 |
| 9 | Mr. Nguyen Ai Dan | October 10, 1970 | Bachelor | June 01, 2017 |
| 10 | Ms. Thai Thi Nga | April 29, 1971 | Bachelor | October 03, 2023 |

1. The Chief Accountant:

Bac A Commercial Joint Stock Bank’s Chief Accountant as of December 31, 2023 is Ms. Nguyen Hong Yen.

|  |  |  |  |
| --- | --- | --- | --- |
| Full name | Date of birth | Qualification | Appointment date |
| Ms. Nguyen Hong Yen | October 22, 1979 | Bachelor of Economics | May 16, 2011 |

1. Training on corporate governance:
2. List of affiliated persons of the public company and transactions between affiliated persons of the Company and the Company itself:
3. Transactions between the Company and its affiliated persons, or between the Company and major shareholders, PDMR, affiliated persons of PDMR as of December 31, 2023:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| No. | Name of organization/individual | Relations with the Bank | NSH No. | Headquarters/Contact address | Time of transaction with the Bank | General Mandate/Decision of the General Meeting of Shareholders or Board Resolution/Decision No. (specify promulgation date, if any) | Total transaction value (VND million) | Note |
| 1 | Bac A Money Transfer Company Limited | Subsidiary | 0107983828 | No. 9, Dao Duy Anh, Phuong Lien, Dong Da, Hanoi |  |  | 102,075 | Deposits at BAB |
| 2 | BACABANK Assets Management Company Limited | Subsidiary | 0104507588 | No. 67, Nguyen Thi Dinh Street, Trung Hoa Ward, Cau Giay District, Hanoi |  |  | 55,250 | Deposits at BAB |
| 3 | Tran Thi Thoang | Chair of the Board of Directors |  |  |  |  | 2 | Deposits at BAB |
| 4 | Thai Huong | Vice Chair of the Board of Directors-cum-General Manager |  |  |  |  | 8,789 | Deposits at BAB |
| 5 | Vo Van Quang | Member of Board of Directors-cum-Deputy General Manager |  |  |  |  | 12 | Deposits at BAB |
| 6 | Dang Thai Nguyen | Member of the Board of Directors |  |  |  |  | 13 | Deposits at BAB |
| 7 | Nguyen Huu Phang | Member of the Board of Directors |  |  |  |  | 229 | Deposits at BAB |
| 8 | Nguyen Trong Trung | Deputy General Manager |  |  |  |  | 15 | Deposits at BAB |
| 9 | Chu Nguyen Binh | Deputy General Manager |  |  |  |  | 518 | Deposits at BAB |
| 10 | Le Ngoc Hong Nhat | Deputy General Manager |  |  |  |  | 1,144 | Deposits at BAB |
| 11 | Le Ngoc Hong Nhat | Deputy General Manager |  |  |  |  | 10 | Other credits at BAB |
| 12 | Truong Vinh Loi | Deputy General Manager |  |  |  |  | 107 | Deposits at BAB |
| 13 | Nguyen Viet Hanh | Deputy General Manager |  |  |  |  | 48 | Deposit at BAB |
| 14 | Nguyen Ai Dan | Deputy General Manager |  |  |  |  | 665 | Deposits at BAB |
| 15 | Nguyen Ai Dan | Deputy General Manager |  |  |  |  | 88 | Other credits at BAB |
| 16 | Thai Thi Nga | Deputy General Manager |  |  |  |  | 5,095 | Deposits at BAB |
| 17 | Truong Thi Kim Thu | Member of the Supervisory Board |  |  |  |  | 461 | Deposits at BAB |
| 18 | Pham Hong Cong | Member of the Supervisory Board |  |  |  |  | 136 | Deposits at BAB |
| 19 | Thai Dinh Long | Member of the Supervisory Board |  |  |  |  | 2 | Deposits at BAB |

1. Transactions between Company’s PDMR, affiliated persons of PDMR and subsidiaries, companies controlled by the Company:

None.

1. Transactions between the Company and other entities:
   1. Transactions between the Company and companies where members of the Board of Directors, members of the Supervisory Board, the Manager (General Manager), and other managers have been founding members or members of the Board of Directors, the Executive Manager (General Manager) for the past three (03) years (at the time of reporting):

None.

* 1. Transactions between the Company and companies where members of the Board of Directors, members of the Supervisory Board, the Manager (General Manager), and other managers are members of the Board of Directors, the Executive Manager (General Manager):

None.

* 1. Other transactions of the Company (if any) which can bring about material or non-material benefits to members of the Board of Directors, members of the Supervisory Board, the Manager (General Manager), and other managers:

None.

1. Share transactions of PDMR and affiliated persons of PDMR:
2. Company’s share transactions of PDMR and affiliated persons:

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| No. | Transaction conductor | Relations with PMDR | Number of shares owned at the beginning of the period | | Number of shares owned at the end of the period | | Reason for increase or decrease |
|  |  |  | Number of shares | Rate (%) | Number of shares | Rate (%) |  |
| 1 | Tran Thi Thoang | Chair of the Board of Directors | 25,900,554 | 3.184 | 25,900,554 | 3.108 |  |
| 1.1 | Dao Dinh Phat | Husband | 11,890,771 | 1.462 | 11,890,771 | 1.427 |  |
| 1.2 | Dao Phuong Thao | Daughter | 3,453,349 | 0.425 | 3,453,349 | 0.414 |  |
| 2 | Thai Huong | Vice Chair of the Board of Directors-cum-General Manager | 34,935,547 | 4.295 | 37,963,547 | 4.555 | Purchase of additional shares |
| 2.1 | Le Hong Truong | Older brother-in-law | 3,789,887 | 0.465 | 3,789,887 | 0.455 |  |
| 2.2 | Hoang Ngoc Hoa | Younger brother-in-law | 21,578,374 | 2.653 | 22,098,374 | 2.652 | Purchase of additional shares |
| 2.3 | Nguyen Van Danh | Younger brother-in-law | 25,444,911 | 3.128 | 25,824,911 | 3.099 | Purchase of additional shares |
| 2.4 | Nguyen Trong Trung | Younger brother-in-law | 30,840,012 | 3.792 | 31,280,012 | 3.753 | Purchase of additional shares |
| 2.5 | Thai Thi Thanh Binh | Younger sister | 6,355,806 | 0.781 | 6,975,806 | 0.837 | Purchase of additional shares |
| 3 | Vo Van Quang | Member of Board of Directors-cum-Deputy General Manager | 541,626 | 0.067 | 621,626 | 0.075 | Purchase of additional shares |
| 4 | Dang Thai Nguyen | Member of the Board of Directors | 2,265,765 | 0.279 | 2,465,765 | 0.296 | Purchase of additional shares |
| 5 | Nguyen Huu Phang | Independent member of the Board of Directors | - | - | - | - |  |
| 5.1 | Nguyen Thi Thu Thuy | Daughter | 101,854 | 0.013 | 101,854 | 0.012 |  |
| 6 | Pham Hong Cong | Chief of the Supervisory Board | 1,538,042 | 0.189 | 1,698,042 | 0.204 | Purchase of additional shares |
| 7 | Truong Thi Kim Thu | Member of the Supervisory Board | 2,624,078 | 0.323 | 2,624,078 | 0.315 |  |
| 8 | Dang Trung Dung | Permanent Deputy General Manager | - | - | - | - |  |
| 8.1 | Thai Thi Thanh Binh | Wife | 6,355,806 | 0.781 | 6,975,806 | 0.837 | Purchase of additional shares |
| 9 | Chu Nguyen Binh | Deputy General Manager | 1,486,852 | 0.183 | 1,706,852 | 0.205 | Purchase of additional shares |
| 10 | Le Ngoc Hong Nhat | Deputy General Manager | 1,300,997 | 0.160 | 1,480,997 | 0.178 | Purchase of additional shares |
| 11 | Nguyen Trong Trung | Deputy General Manager | 30,840,012 | 3.792 | 31,280,012 | 3.753 | Purchase of additional shares |
| 11.1 | Nguyen Thi Hong Thuy | Younger sister | 8,284,294 | 1,018 | 8,284,294 | 0.994 |  |
| 11.2 | Nguyen Trong Dung | Younger brother | 440,142 | 0.054 | 440,142 | 0.053 |  |
| 12 | Thai Thi Nga | Deputy General Manager | - | - | - | - |  |
| 12.1 | Le Hong Truong | Older brother-in-law | 3,789,887 | 0.465 | 3,789,887 | 0.455 |  |
| 12.2 | Thai Huong | Older sister | 34,935,547 | 4.295 | 37,963,547 | 4.555 | Purchase of additional shares |
| 12.3 | Nguyen Van Danh | Older brother-in-law | 25,444,911 | 3,128 | 25,824,911 | 3.099 | Purchase of additional shares |
| 12.4 | Hoang Ngoc Hoa | Older brother-in-law | 21,578,374 | 2.653 | 22,098,374 | 2.652 | Purchase of additional shares |
| 12.5 | Thai Thi Thanh Binh | Younger sister | 6,355,806 | 0.781 | 6,975,806 | 0.837 | Purchase of additional shares |
| 13 | Nguyen Hong Yen | Chief Accountant | - | - | - | - |  |
| 13.1 | Nguyen Hong Tien | Father | 14,781,610 | 1.817 | 14,781,610 | 1.774 |  |
| 13.2 | Tran Anh Dung | Husband | 2,787,851 | 0.343 | 3,127,851 | 0.375 | Purchase of additional shares |

1. Other significant issues: None.