**NVB: Annual Corporate Governance Report 2023**

On January 29, 2024, National Citizen Commercial Joint Stock Bank announced Report No. 450/2024/BC-HDQT.NCB on the corporate governance in 2023 as follows:

* Name of company: National Citizen Commercial Joint Stock Bank
* Head office address: 25 Le Dai Hanh, Le Dai Hanh Ward, Hai Ba Trung District, Hanoi City
* Tel: 024.6269 335 Fax: 024.6269 3535 Email: [vphdqt2@ncb-bank.vn](mailto:vphdqt2@ncb-bank.vn)
* Charter capital: VND 5,601,555,870,000
* Securities code: NVB
* Corporate governance model: The General Meeting of Shareholders, the Board of Directors, the Supervisory Board, and the General Manager.
* Internal audit execution: Implemented.

1. Activities of the General Meeting of Shareholders:

In 2023, National Citizen Commercial Joint Stock Bank (NCB) successfully organized the Annual General Meeting of Shareholders on April 08, 2023; collected the General Meeting of Shareholders’ opinions on May 31, 2023 and successfully organized an Extraordinary General Meeting of Shareholders on November 18, 2023. Accordingly, the General Meeting of Shareholders issued General Mandate 199/2023/NQ-DHDCD, General Mandate 200/2023/NQ-DHDCD dated April 08, 2023, General Mandate 318/2023/NQ-DHDCD dated May 31, 2023,General Mandates 776/2023/NQ-DHDCD, 777/2023/NQ-DHDCD, 778/2023/NQ-DHDCD, and 779/2023/NQ-DHDCD dated November 18, 2023 to approve the following specific contents:

* Report on the performance in 2022 and the orientation in 2023 of the Board of Directors, the Supervisory Board, and the Executive Board;
* NCB's Audited Financial Statements 2022 and 2023 business plan;
* NCB's profit distribution plan 2022;
* Remuneration and operating expenses of the Board of Directors and the Supervisory Board in 2022 and expected operating budget in 2023;
* Amendment and supplement of internal governance regulations of the Bank, regulations on organization and operation of the Board of Directors, regulations on organization and operation of the Supervisory Board; Internal management regulations of the Bank;
* List of selected independent audit companies to audit/review Financial Statements and internal control system for fiscal years 2023 and 2024;
* Plan on private placement and increasing charter capital;
* Dismissal of members of the NCB Supervisory Board for the term 2020-2025;
* Additional election of members of the NCB Supervisory Board for the term 2020-2025;
* Dismissal of members of the NCB Board of Directors for the term 2020-2025;
* Additional election of members of the NCB Board of Directors for the term 2020-2025;
* Plan on changing the location of NCB's Head office;
* Share transfer of Bamboo Airways Joint Stock Company;
* Amendment and supplement to the NCB’s Charter;

1. The Board of Directors
2. Information about members of the Board of Directors

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| No. | Member of the Board of Directors | Position | Date of appointment/dismissal as member/independent member of the Board of Directors | |
| Appointment date | Dismissal date |
| 1 | Ms. Bui Thi Thanh Huong | Chair of the Board of Directors (Non-executive) | July 29, 2021 |  |
| 2 | Mr. Nguyen Tien Dung | Vice Chair of the Board of Directors (Non-executive) | November 09, 2017 Re-appointed on June 26, 2020 | November 18, 2023 |
| 3 | Ms. Truong Le Hien | Member of the Board of Directors (Non-executive) | July 29, 2021 | November 18, 2023 |
| 4 | Ms. Hoang Thu Trang | Vice Chair of the Board of Directors (Non-executive) | Appointment date as member of the Board of Directors: June 18, 2022  Appointment date as Vice Chair of the Board of Directors: November 18, 2023 |  |
| 5 | Ms. Trinh Thanh Mai | Independent member of the Board of Directors (Non-executive) | June 18, 2022 |  |
| 6 | Mr. Duong The Bang | Member of the Board of Directors (Non-executive) | November 18, 2023 |  |
| 7 | Ms. Nguyen Thi Hai Hoa | Member of the Board of Directors (Non-executive) | November 18, 2023 |  |

1. Board Resolutions/Board Decisions in 2023:

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| --- | --- | --- | --- |
| No. | Board Resolution/Board Decision No. | Date | Content |
| 1 | 01/2023/QD-HDQT | January 03, 2023 | Decision on personnel |
| 2 | 06/2023/NQ-HDQT | January 04, 2023 | Regarding the Resolution on debt handling plan |
| 3 | 08/2023/NQ-HDQT | January 04, 2023 | Regarding the Resolution on debt handling plan |
| 4 | 10/2023/NQ-HDQT | January 04, 2023 | Regarding the Resolution on debt handling plan |
| 5 | 12/2023/NQ-HDQT | January 04, 2023 | Regarding the Resolution on debt handling plan |
| 6 | 14/2023/NQ-HDQT | January 04, 2023 | Regarding the Resolution on implementing the Centralized Data Management System Project |
| 7 | 15/2023/QD-HDQT | January 04, 2023 | Decision on personnel |
| 8 | 24/2023/QD-HDQT | January 05, 2023 | Decision on personnel |
| 9 | 16/2023/NQ-HDQT | January 06, 2023 | Regarding the Resolution on handling incidents arising at the Branch |
| 10 | 18/2023/QD-HDQT | January 06, 2023 | Decision on personnel |
| 11 | 21/2023/QD-HDQT | January 07, 2023 | Decision on rewarding units for good performance in 2022 |
| 12 | 22/2023/QD-HDQT | January 07, 2023 | Decision on rewarding individuals for good performance in 2022 |
| 13 | 27/2023/NQ-HDQT | January 10, 2023 | Regarding the Resolution on promulgating regulations on management and use of seal at NCB |
| 14 | 47/2023/QD-HDQT | January 10, 2023 | Decision on personnel |
| 15 | 35/2023/QD-HDQT | January 12, 2023 | Regarding the Decision on amending the unsecured interbank currency transaction limit for a number of financial institutions |
| 16 | 37/2023/QD-HDQT | January 12, 2023 | Regarding the Decision on promulgating regulations on construction and internal documents |
| 17 | 39/2023/QD-HDQT | January 12, 2023 | Decision on personnel |
| 18 | 72/2023/QD-HDQT | January 12, 2023 | Decision on personnel |
| 19 | 43/2023/NQ-HDQT | January 16, 2023 | Regarding the Resolution on promulgating regulations on authority to approve risk treatment for the third time |
| 20 | 78/2023/QD-HDQT | January 19, 2023 | Decision on personnel |
| 21 | 113C/2023/QD-HDQT | January 19, 2023 | Decision on personnel |
| 22 | 51/2023/NQ-HDQT | January 30, 2023 | Regarding the Resolution on payment of contract liquidation fee |
| 23 | 53/2023/NQ-HDQT | January 30, 2023 | Regarding Resolution on approving the policy of establishing the Special individual customer room Hub for centralized lending in Hanoi and Ho Chi Minh |
| 24 | 117/2023/QD-HDQT | January 30, 2023 | Decision on personnel |
| 25 | 120/2023/QD-HDQT | February 01, 2023 | Decision on personnel |
| 26 | 119/2023/QD-HDQT | February 03, 2023 | Decision on personnel |
| 27 | 67/2023/NQ-HDQT | February 13, 2023 | Regarding the Resolution on granting credit to Customers |
| 28 | 73/2023/NQ-HDQT | February 14, 2023 | Regarding the Resolution on organizing the Annual General Meeting of Shareholders 2023 |
| 29 | 75/2023/QD-HDQT | February 14, 2023 | Decision on personnel of AMC |
| 30 | 76/2023/QD-HDQT | February 14, 2023 | Decision on personnel of AMC |
| 31 | 74/2023/QD-HDQT | February 14, 2023 | Decision on personnel of AMC |
| 32 | 83/2023/NQ-HDQT | February 15, 2023 | Regarding the Resolution on granting credit to Customers |
| 33 | 87/2023/QD-HDQT | February 16, 2023 | Regarding the Decision on adjusting the list of members of the Credit Committee |
| 34 | 88/2023/QD-HDQT | February 16, 2023 | Regarding the Decision on adjusting the list of members of the Technology Committee |
| 35 | 94/2023/NQ-HDQT | February 17, 2023 | Regarding the Resolution on payment of contract liquidation fee |
| 36 | 100/2023/NQ-HDQT | February 18, 2023 | Regarding the Resolution on selecting contractor for NCB Office Building Project - 26 Mai Thi Luu, Dakao Ward, District 1, HCM |
| 37 | 109/2023/NQ-HDQT | February 28, 2023 | Regarding the Resolution on the list of personnel expected to be additionally elected as members of the Supervisory Board for the term 2020-2025 |
| 38 | 259/2023/QD-HDQT | March 01, 2023 | Decision on personnel |
| 39 | 263/2023/QD-HDQT | March 01, 2023 | Decision on personnel |
| 40 | 228/2023/QD-HDQT | March 01, 2023 | Decision on personnel |
| 41 | 229/2023/QD-HDQT | March 01, 2023 | Decision on personnel |
| 42 | 122/2023/NQ-HDQT | March 07, 2023 | Regarding the Resolution on granting credit to Customers |
| 43 | 336/2023/QD-HDQT | March 10, 2023 | Decision on personnel |
| 44 | 337/2023/QD-HDQT | March 10, 2023 | Decision on personnel |
| 45 | 338/2023/QD-HDQT | March 10, 2023 | Decision on personnel |
| 46 | 277/2023/QD-HDQT | March 13, 2023 | Decision on personnel |
| 47 | 278/2023/QD-HDQT | March 13, 2023 | Decision on personnel |
| 48 | 139/2023/NQ-HDQT | March 17, 2023 | Regarding the Resolution on the list of personnel expected to be additionally elected as members of the Supervisory Board for the term 2020-2025 |
| 49 | 139/2023/NQ-HDQT | March 17, 2023 | Approve the contents of the Proposal and Report of the Annual General Meeting of Shareholders 2023 |
| 50 | 141/2023/NQ-HDQT | March 17, 2023 | Regarding the Resolution on separating the Legal and Compliance Division and the Debt Handling Center |
| 51 | 142/2023/NQ-HDQT | March 17, 2023 | Regarding the Resolution on merging the priority customer center into the Individual Customer Division |
| 52 | 148/2023/NQ-HDQT | March 17, 2023 | Regarding the Resolution on adjusting the organizational structure chart of National Citizen Commercial Joint Stock Bank |
| 53 | 144/2023/QD-HDQT | March 17, 2023 | Regarding the Decision on adjusting the list of members of the Technology Committee |
| 54 | 405/2023/QD-HDQT | March 24, 2023 | Decision on personnel |
| 55 | 418/2023/QD-HDQT | March 27, 2023 | Decision on personnel |
| 56 | 343/2023/QD-HDQT | March 27, 2023 | Decision on personnel |
| 57 | 162/2023/NQ-HDQT | March 28, 2023 | Regarding the Resolution on granting credit to Customers |
| 58 | 164/2023/NQ-HDQT | March 28, 2023 | Regarding the Resolution on promulgating guarantee regulations - code QC.TD.002 |
| 59 | 424/2023/QD-HDQT | March 28, 2023 | Decision on personnel |
| 60 | 425/2023/QD-HDQT | March 28, 2023 | Decision on personnel |
| 61 | 436/2023/QD-HDQT.01 | March 29, 2023 | Decision on personnel |
| 62 | 436/2023/QD-HDQT.02 | March 29, 2023 | Decision on personnel |
| 63 | 436/2023/QD-HDQT.03 | March 29, 2023 | Decision on personnel |
| 64 | 436/2023/QD-HDQT.04 | March 29, 2023 | Decision on personnel |
| 65 | 437/2023/QD-HDQT | March 29, 2023 | Decision on personnel |
| 66 | 168/2023/NQ-HDQT | March 30, 2023 | Regarding the Resolution on granting credit to Customers |
| 67 | 169/2023/NQ-HDQT | March 30, 2023 | Regarding the Resolution on granting credit to Customers |
| 68 | 171/2023/NQ-HDQT | March 30, 2023 | Regarding the Resolution on granting credit to Customers |
| 69 | 173/2023/NQ-HDQT | March 30, 2023 | Regarding the Resolution on approving the network operation policy |
| 70 | 454/2023/QD-HDQT | March 31, 2023 | Decision on personnel |
| 71 | 455/2023/QD-HDQT | March 31, 2023 | Decision on personnel |
| 72 | 456/2023/QD-HDQT | March 31, 2023 | Decision on personnel |
| 73 | 457/2023/QD-HDQT | March 31, 2023 | Decision on personnel |
| 74 | 458/2023/QD-HDQT | March 31, 2023 | Decision on personnel |
| 75 | 179/2023/NQ-HDQT | April 04, 2023 | Regarding the Resolution on approving the content of the Annual Report 2022 |
| 76 | 191/2023/NQ-HDQT | April 07, 2023 | Regarding the Resolution on approving the policy of implementing domestic factoring services for sellers who are corporate customers |
| 77 | 193/2023/NQ-HDQT | April 07, 2023 | Regarding the Resolution on separating the Legal and Compliance Division and the Debt Handling Center |
| 78 | 195/2023/NQ-HDQT | April 07, 2023 | Regarding the Resolution on promulgating regulations on organization and operation of the Legal and Compliance Division - code QC.BM.041 |
| 79 | 206/2023/NQ-HDQT | April 10, 2023 | Regarding the Resolution on promulgating regulations on organization and operation of the Debt Handling Center - code QC.BM.048 |
| 80 | 517/2023/QD-HDQT | April 10, 2023 | Decision on personnel |
| 81 | 215/2023/NQ-HDQT | April 17, 2023 | Regarding the Resolution on approving the authorization signing |
| 82 | 217/2023/NQ-HDQT | April 17, 2023 | Regarding the Resolution on promulgating the 5th regulations on organization and authority to approve CTD and QC.RR.005 |
| 83 | 219/2023/NQ-HDQT | April 17, 2023 | Regarding the Resolution on debt handling plan |
| 84 | 588/2023/QD-HDQT | April 17, 2023 | Decision on personnel |
| 85 | 480/2023/QD-HDQT | April 17, 2023 | Decision on personnel |
| 86 | 225/2023/NQ-HDQT | April 18, 2023 | Regarding the Resolution on granting credit to Customers |
| 87 | 229/2023/NQ-HDQT | April 21, 2023 | Regarding the Resolution on approving the organization of collecting the General Meeting Of Shareholders’ opinions via a ballot |
| 88 | 235B/2023/NQ-HDQT | April 21, 2023 | CCL plan for the period 2023-2025 |
| 89 | 617/2023/QD-HDQT | April 21, 2023 | Decision on personnel |
| 90 | 618/2023/QD-HDQT | April 21, 2023 | Decision on personnel |
| 91 | 234/2023/NQ-HDQT | April 21, 2023 | Decision on personnel |
| 92 | 235/2023/NQ-HDQT | April 21, 2023 | Decision on personnel |
| 93 | 500/2023/QD-HDQT | April 21, 2023 | Decision on personnel |
| 94 | 503/2023/QD-HDQT | April 21, 2023 | Decision on personnel |
| 95 | 237/2023/QD-HDQT | April 24, 2023 | Regarding the Decision on adjusting the list of members of the Technology Committee |
| 96 | 238/2023/QD-HDQT | April 24, 2023 | Regarding the Decision on adjusting the list of members of the Personnel Committee |
| 97 | 239/2023/QD-HDQT | April 24, 2023 | Regarding the Decision on adjusting the list of members of the Credit Committee |
| 98 | 240/2023/QD-HDQT | April 24, 2023 | Regarding the Decision on adjusting the list of members of the Risk Management Committee |
| 99 | 241/2023/QD-HDQT | April 24, 2023 | Regarding the Decision on adjusting the list of members of the Risk Management Council |
| 100 | 248/2023/NQ-HDQT | April 25, 2023 | Regarding the Resolution of debt handling plan |
| 101 | 520/2023/QD-HDQT | April 26, 2023 | Decision on personnel |
| 102 | 521/2023/QD-HDQT | April 26, 2023 | Decision on personnel |
| 103 | 254/2023/NQ-HDQT | April 28, 2023 | Regarding the Resolution on promulgating financial regulations - code QC.TC.001 |
| 104 | 255/2023/QD-HDQT | April 28, 2023 | Regarding the Decision on promulgating norms for some types of costs at NCB |
| 105 | 256/2023/QD-HDQT | April 28, 2023 | Regarding the Decision on promulgating the table of decentralizing the financial approval authority at NCB |
| 106 | 671/2023/QD-HDQT | April 28, 2023 | Decision on personnel |
| 107 | 538B/2023/QD-HDQT | April 28, 2023 | Decision on personnel |
| 108 | 545/2023/QD-HDQT | April 28, 2023 | Decision on personnel |
| 109 | 258/2023/NQ-HDQT | May 04, 2023 | Regarding the Resolution on organization of collecting the General Meeting Of Shareholders’ opinions via a ballot on transferring 203 million shares of Bamboo Airways Joint Stock Company |
| 110 | 260/2023/NQ-HDQT | May 04, 2023 | Regarding the Resolution on organization of collecting the General Meeting Of Shareholders’ opinions via a ballot on amending and supplementing NCB's Charter related to operational content |
| 111 | 262/2023/NQ-HDQT | May 04, 2023 | Regarding the Resolution on building a development strategy for National Citizen Commercial Joint Stock Bank |
| 112 | 263/2023/NQ-HDQT | May 04, 2023 | Regarding the Resolution on transaction office operations |
| 113 | 264/2023/NQ-HDQT | May 04, 2023 | Regarding the Resolution on transaction office operations |
| 114 | 265/2023/NQ-HDQT | May 04, 2023 | Regarding the Resolution on transaction office operations |
| 115 | 273/2023/NQ-HDQT | May 08, 2023 | Regarding the Resolution on debt handling plan |
| 116 | 279/2023/NQ-HDQT | May 11, 2023 | Regarding the Resolution on debt handling plan |
| 117 | 289/2023/NQ-HDQT | May 17, 2023 | Regarding the Resolution on approving documents to collect shareholders' opinions via a ballot |
| 118 | 296/2023/NQ-HDQT | May 22, 2023 | Regarding the Resolution on approving the list of personnel, which is expected to appoint Mr. Ta Kieu Hung as General Manager |
| 119 | 298/2023/NQ-HDQT | May 23, 2023 | Decision on personnel |
| 120 | 298A/2023/NQ-HDQT | May 23, 2023 | Decision on personnel |
| 121 | 298B/2023/NQ-HDQT | May 23, 2023 | Decision on personnel |
| 122 | 298C/2023/NQ-HDQT | May 23, 2023 | Decision on personnel |
| 123 | 297A/2023/NQ-HDQT | May 23, 2023 | Decision on personnel |
| 124 | 297B/2023/NQ-HDQT | May 23, 2023 | Decision on personnel |
| 125 | 297C/2023/NQ-HDQT | May 23, 2023 | Decision on personnel |
| 126 | 666/2023/QD-HDQT | May 23, 2023 | Decision on personnel |
| 127 | 300/2023/NQ-HDQT | May 25, 2023 | Regarding the Resolution on promulgating regulations on the organizational structure and operations of the Credit Management Division |
| 128 | 301/2023/NQ-HDQT | May 25, 2023 | Regarding the Resolution on promulgating Grassroots Democracy regulations at National Citizen Commercial Joint Stock Bank |
| 129 | 686/2023/QD-HDQT | May 26, 2023 | Decision on personnel |
| 130 | 686A/2023/QD-HDQT | May 26, 2023 | Decision on personnel |
| 131 | 819/2023/QD-HDQT | May 27, 2023 | Decision on personnel |
| 132 | 820/2023/QD-HDQT | May 27, 2023 | Decision on personnel |
| 133 | 688/2023/QD-HDQT | May 27, 2023 | Decision on personnel |
| 134 | 311/2023/NQ-HDQT | May 29, 2023 | Regarding the Resolution on approving the policy of completing the restructuring plan for National Citizen Commercial Joint Stock Bank according to Decision 689/QD-TTg |
| 135 | 855/2023/QD-HDQT | May 31, 2023 | Decision on personnel |
| 136 | 856/2023/QD-HDQT | May 31, 2023 | Decision on personnel |
| 137 | 711/2023/QD-HDQT | May 31, 2023 | Decision on personnel |
| 138 | 328/2023/NQ-HDQT | June 01, 2023 | Regarding the Resolution on promulgating debt restructuring regulations and debt restructuring authority at NCB |
| 139 | 332/2023/NQ-HDQT | June 01, 2023 | Regarding the Resolution on promulgating regulations on organizational structure and operations of the Technology Division - code QC.BM.045 |
| 140 | 877/2023/QD-HDQT | June 02, 2023 | Decision on personnel |
| 141 | 341/2023/NQ-HDQT | June 07, 2023 | Regarding the Resolution on debt handling plan |
| 142 | 348/2023/NQ-HDQT | June 08, 2023 | Regarding the Resolution on establishing the Project Board to develop the National Commercial Joint Stock Bank's development strategy to 2028 |
| 143 | 350/2023/NQ-HDQT | June 08, 2023 | Regarding the Resolution on approving the policy of establishing a digital transformation project |
| 144 | 356/2023/NQ-HDQT | June 09, 2023 | Regarding the Resolution on transaction office operations |
| 145 | 357/2023/NQ-HDQT | June 09, 2023 | Regarding the Resolution on transaction office operations |
| 146 | 358/2023/NQ-HDQT | June 09, 2023 | Regarding the Resolution on transaction office operations |
| 147 | 360/2023/NQ-HDQT | June 09, 2023 | Regarding the Resolution approving the policy on ATM |
| 148 | 361/2023/QD-HDQT | June 09, 2023 | Regarding the establishment of a digital transformation project |
| 149 | 374/2023/QD-HDQT | June 19, 2023 | Regarding the Decision on adjusting the list of members of the Technology Committee |
| 150 | 382/2023/NQ-HDQT | June 23, 2023 | Regarding the Resolution on granting credit to Customers |
| 151 | 384/2023/NQ-HDQT | June 23, 2023 | Regarding the Resolution on granting credit to Customers |
| 152 | 390/2023/NQ-HDQT | June 24, 2023 | Regarding the Resolution on approving partners providing Financial Statements audit/review services, internal control system audit services and owners’ equity audit services for the fiscal year 2023 |
| 153 | 1026/2023/QD-HDQT | June 26, 2023 | Decision on personnel |
| 154 | 831/2023/QD-HDQT | June 26, 2023 | Decision on personnel |
| 155 | 836/2023/QD-HDQT | June 26, 2023 | Decision on personnel |
| 156 | 1268/2023/QD-HDQT | June 27, 2023 | Decision on appointing the General Manager of National Citizen Commercial Joint Stock Bank |
| 157 | 1269/2023/QD-HDQT | June 28, 2023 | Decision on personnel |
| 158 | 407/2023/NQ-HDQT | June 29, 2023 | CCL plan |
| 159 | 409/2023/NQ-HDQT | June 29, 2023 | Regarding the Resolution on promulgating regulations on organization and operation of the Consolidated Human Resources Committee |
| 160 | 854/2023/QD-HDQT | June 29, 2023 | Decision on personnel |
| 161 | 1276/2023/QD-HDQT | June 30, 2023 | Decision on personnel |
| 162 | 1277/2023/QD-HDQT | June 30, 2023 | Decision on personnel |
| 163 | 1280/2023/QD-HDQT | June 30, 2023 | Decision on personnel |
| 164 | 1281/2023/QD-HDQT | June 30, 2023 | Decision on personnel |
| 165 | 1282/2023/QD-HDQT | June 30, 2023 | Decision on personnel |
| 166 | 858/2023/QD-HDQT | June 30, 2023 | Decision on personnel |
| 167 | 413/2023/NQ-HDQT | July 01, 2023 | Regarding the Resolution on promulgating regulations on debt transaction activities at NCB |
| 168 | 421/2023/NQ-HDQT | July 06, 2023 | Regarding the Resolution on signing a contract for consulting fees, payment schedule and implementation plan for the NCB development strategy project |
| 169 | 427/2023/NQ-HDQT | July 07, 2023 | Regarding the Resolution on reissuing and increasing the line of credit for customers |
| 170 | 429/2023/NQ-HDQT | July 07, 2023 | Regarding the Resolution on reissuing and increasing the line of credit for customers |
| 171 | 435/2023/NQ-HDQT | July 11, 2023 | Regarding the Resolution on anti-money laundering regulations at NCB |
| 172 | 441/2023/NQ-HDQT | July 14, 2023 | Regarding the Resolution on reissuing and increasing the line of credit for customers |
| 173 | 1389/2023/QD-HDQT | July 14, 2023 | Decision on personnel |
| 174 | 952/2023/QD-HDQT | July 14, 2023 | Decision on personnel |
| 175 | 449/2023/NQ-HDQT | July 21, 2023 | Regarding the Resolution on granting credit to Customers |
| 176 | 451/2023/NQ-HDQT | July 21, 2023 | Regarding the Resolution on reissuing and increasing the line of credit for customers |
| 177 | 453/2023/NQ-HDQT | July 21, 2023 | Regarding the Resolution on reissuing and increasing the line of credit for customers |
| 178 | 457/2023/NQ-HDQT | July 24, 2023 | Regarding the Resolution on reissuing and increasing the line of credit for customers |
| 179 | 463/2023/NQ-HDQT | July 25, 2023 | Regarding the Resolution on approving the policy of implementing Cloud solution |
| 180 | 471/2023/NQ-HDQT | July 26, 2023 | Regarding the Resolution on reissuing and increasing the line of credit for customers |
| 181 | 473/2023/NQ-HDQT | July 26, 2023 | Regarding the Resolution on regulations on organization and operation of the Technology Committee |
| 182 | 481/2023/NQ-HDQT | July 28, 2023 | Regarding the Resolution on reissuing and increasing the line of credit for customers |
| 183 | 483/2023/NQ-HDQT | July 29, 2023 | Regarding the Resolution on granting credit to Customers |
| 184 | 491/2023/NQ-HDQT | July 31, 2023 | Regarding the Resolution on approving the policy of implementing domestic bill discounting operations for corporate customers |
| 185 | 1552/2023/QD-HDQT | August 01, 2023 | Decision on personnel |
| 186 | 1036/2023/QD-HDQT | August 01, 2023 | Decision on personnel |
| 187 | 1037/2023/QD-HDQT | August 01, 2023 | Decision on personnel |
| 188 | 1548/2023/QD-HDQT | August 01, 2023 | Decision on personnel |
| 189 | 1547/2023/QD-HDQT | August 01, 2023 | Decision on personnel |
| 190 | 1552A/2023/QD-HDQT | August 01, 2023 | Decision on personnel |
| 191 | 507/2023/NQ-HDQT | August 10, 2023 | Regarding the Resolution on granting credit to Customers |
| 192 | 515/2023/NQ-HDQT | August 14, 2023 | Regarding the Resolution on Contract for liquidation (purchase and sale) of tools and assets between NCB & AMC |
| 193 | 522/2023/NQ-HDQT | August 15, 2023 | Regarding the Resolution on debt handling plan |
| 194 | 528/2023/NQ-HDQT | August 17, 2023 | Regarding the bResolution on transaction office operations |
| 195 | 534/2023/NQ-HDQT | August 21, 2023 | Regarding the Resolution on reissuing and increasing the line of credit for customers |
| 196 | 536/2023/NQ-HDQT | August 21, 2023 | Regarding the Resolution on reissuing the line of credit for customers |
| 197 | 542/2023/NQ-HDQT | August 23, 2023 | Regarding the Resolution on continuing to Auction Assets at Lot III-2/01, No. 151A Nguyen Duc Canh (5th time) |
| 198 | 553/2023/NQ-HDQT | August 31, 2023 | Regarding the Resolution on promulgating Guidelines for handling internal violations |
| 199 | 556/2023/NQ-HDQT | August 31, 2023 | Regarding the Resolution on promulgating lending regulations |
| 200 | 558/2023/NQ-HDQT | August 31, 2023 | Regarding the Resolution on promulgating regulations on the organizational structure and operations of AMC |
| 201 | 560/2023/NQ-HDQT | August 31, 2023 | Resolution on approving the implementation of the fraud prevention project - phase 2 |
| 202 | 564/2023/NQ-HDQT | September 01, 2023 | Regarding the Resolution on unsecured interbank currency transaction limits for financial institution in 2024 |
| 203 | 566/2023/NQ-HDQT | September 06, 2023 | Regarding the Resolution on ATM operating policy |
| 204 | 576/2023/NQ-HDQT | September 08, 2023 | Regarding the Resolution on promulgating internal policies on capital adequacy |
| 205 | 582/2023/NQ-HDQT | September 11, 2023 | Regarding the Resolution on changing credit conditions for customers |
| 206 | 1828/2023/QD-HDQT | September 11, 2023 | Decision on personnel |
| 207 | 590/2023/NQ-HDQT | September 15, 2023 | Resolution on approving the plan to organize the Extraordinary General Meeting of Shareholders 2024 |
| 208 | 592/2023/NQ-HDQT | September 15, 2023 | Regarding the Resolution on decentralization matrix for Human Resources - 1st time |
| 209 | 1852/2023/QD-HDQT | September 15, 2023 | Decision on personnel |
| 210 | 596/2023/NQ-HDQT | September 18, 2023 | Regarding the Resolution on increasing the line of credit for customers |
| 211 | 604/2023/NQ-HDQT | September 19, 2023 | Regarding the Resolution on asset management and custody service contract between NCB & AMC for debt settlement assets |
| 212 | 610/2023/NQ-HDQT | September 20, 2023 | Regarding the Resolution on continuing to use the line of credit and changing credit conditions for customers |
| 213 | 612/2023/NQ-HDQT | September 21, 2023 | Regarding the Resolution on amending and supplementing unsecured interbank currency transaction limits with customers |
| 214 | 614/2023/NQ-HDQT | September 21, 2023 | Regarding the Resolution on opening Nostro USD account |
| 215 | 622/2023/NQ-HDQT | September 22, 2023 | Regarding the Resolution on increasing the line of credit for customers |
| 216 | 1976/2023/QD-HDQT | September 22, 2023 | Decision on personnel |
| 217 | 1286/2023/QD-HDQT | September 22, 2023 | Decision on personnel |
| 218 | 631/2023/NQ-HDQT | September 25, 2023 | Regarding the Resolution on authorizing AMC to handle collateral of bond package VPJCH2123001 |
| 219 | 633/2023/NQ-HDQT | September 25, 2023 | Regarding the Resolution on debt handling plan |
| 220 | 635/2023/NQ-HDQT | September 25, 2023 | Regarding the Resolution on the plan to transfer 95% of NCB's shares at Viha Real Estate Joint Stock Company |
| 221 | 639/2023/NQ-HDQT | September 26, 2023 | Regarding the Resolution on the list of personnel expected to elect additional members of the Board of Directors for the term 2020-2025 |
| 222 | 649/2023/NQ-HDQT | September 29, 2023 | Regarding the Resolution on reducing the auction price of Thuan Phat Steel Pipe Joint Stock Company's debt |
| 223 | 651/2023/NQ-HDQT | September 29, 2023 | Regarding the Resolution on increasing the line of credit for customers |
| 224 | 653/2023/NQ-HDQT | September 29, 2023 | Regarding the Resolution on reissuing and increasing the line of credit for customers |
| 225 | 655/2023/NQ-HDQT | September 29, 2023 | Regarding the Resolution on granting overdraft limits to customers |
| 226 | 657/2023/NQ-HDQT | September 29, 2023 | Regarding the Resolution on reissuing and increasing the line of credit for customers |
| 227 | 663/2023/NQ-HDQT | September 30, 2023 | Regarding the Resolution on debt handling plan |
| 228 | 671/2023/NQ-HDQT | October 04, 2023 | Regarding the Resolution on approving regulations on organizational structure and operations of the Capital Resources and Financial Market Division |
| 229 | 677/2023/NQ-HDQT | October 05, 2023 | Regarding the Resolution on promulgating regulations on organizational structure and operations of the Corporate Customer Division |
| 230 | 685/2023/NQ-HDQT | October 07, 2023 | Regarding the Resolution on transaction office operations |
| 231 | 686/2023/NQ-HDQT | October 07, 2023 | Regarding the Resolution on transaction office operations |
| 232 | 687/2023/NQ-HDQT | October 07, 2023 | Regarding the Resolution on transaction office operations |
| 233 | 1999/2023/QD-HDQT | October 11, 2023 | Decision on personnel |
| 234 | 1339/2023/QD-HDQT | October 11, 2023 | Decision on personnel |
| 235 | 1994/2023/QD-HDQT | October 11, 2023 | Decision on personnel |
| 236 | 1336/2023/QD-HDQT | October 11, 2023 | Decision on personnel |
| 237 | 1337/2023/QD-HDQT | October 11, 2023 | Decision on personnel |
| 238 | 693/2023/NQ-HDQT | October 12, 2023 | Regarding the Resolution on promulgating NCB’s risk appetite in 2023 |
| 239 | 694/2023/NQ-HDQT | October 12, 2023 | Regarding the Resolution on promulgating the medium-term risk management policy for the period 2023-2027 |
| 240 | 703/2023/NQ-HDQT | October 13, 2023 | Regarding the Resolution on approving documents for the Extraordinary General Meeting of Shareholders 2023 |
| 241 | 705/2023/NQ-HDQT | October 17, 2023 | Regarding the Resolution on granting credit to Customers |
| 242 | 711/2023/NQ-HDQT | October 20, 2023 | Regarding the Resolution on repair, renovation and business plan for Villa R15 under the luxury villa project Furama Da Nang |
| 243 | 2075/2023/QD-HDQT | October 20, 2023 | Decision on personnel |
| 244 | 717/2023/NQ-HDQT | October 21, 2023 | Regarding the Resolution on increasing loan limits and granting new domestic UPAS LC limits to customers |
| 245 | 734/2023/NQ-HDQT | October 30, 2023 | Regarding the Resolution on making payment for the transfer of 203 million shares of BAV |
| 246 | 740/2023/NQ-HDQT | October 31, 2023 | Regarding the Resolution on increasing loan limits and granting new domestic UPAS LC limits to customers |
| 247 | 1436/2023/QD-HDQT | October 31, 2023 | Decision on personnel |
| 248 | 1475/2023/QD-HDQT | November 03, 2023 | Decision on personnel |
| 249 | 746/2023/NQ-HDQT | November 04, 2023 | Regarding the Resolution on regulations on organization and operation of the Operations Division |
| 250 | 2319/2023/QD-HDQT | November 06, 2023 | Decision on personnel |
| 251 | 1500/2023/QD-HDQT | November 06, 2023 | Decision on personnel |
| 252 | 755/2023/NQ-HDQT | November 10, 2023 | Regarding the Resolution on the list of personnel expected to elect additional members of the NCB’s Board of Directors for the term 2020-2025 |
| 253 | 761/2023/NQ-HDQT | November 14, 2023 | Regarding the Resolution on regulations on construction and issuance of internal documents |
| 254 | 2386/2023/QD-HDQT | November 14, 2023 | Decision on personnel |
| 255 | 1534/2023/QD-HDQT | November 14, 2023 | Decision on personnel |
| 256 | 773/2023/NQ-HDQT | November 17, 2023 | Regarding the Resolution on the policy of researching, building and perfecting the Online mortgage overdraft loan product on mobile banking |
| 257 | 769/2023/NQ-HDQT | November 17, 2023 | Regarding the Resolution on updating documents of the Extraordinary General Meeting of Shareholders 2023 |
| 258 | 769A/2023/NQ-HDQT | November 17, 2023 | Regarding the Resolution on approving the updated of documents for the Extraordinary General Meeting of Shareholders 2023 |
| 259 | 771/2023/NQ-HDQT | November 17, 2023 | Regarding the Resolution on regulations for organizing the Extraordinary General Meeting of Shareholders 2023 |
| 260 | 773B/2023/NQ-HDQT | November 17, 2023 | Regarding the Resolution on supplementing conditions for release of collateral of AA Tay Ninh Corporation |
| 261 | 2413/2023/QD-HDQT | November 17, 2023 | Decision on personnel |
| 262 | 2414/2023/QD-HDQT | November 17, 2023 | Decision on personnel |
| 263 | 1566/2023/QD-HDQT | November 17, 2023 | Decision on personnel |
| 264 | 781/2023/QD-HDQT | November 18, 2023 | Regarding the Decision on terminating the effectiveness of Decision No. 213/2022/QD-HDQT dated June 27, 2022 (terminating the task assignment to the Board of Directors) |
| 265 | 782/2023/NQ-HDQT | November 18, 2023 | Decision on personnel |
| 266 | 783/2023/NQ-HDQT | November 18, 2023 | Decision on personnel |
| 267 | 784/2023/NQ-HDQT | November 18, 2023 | Decision on personnel |
| 268 | 785/2023/QD-HDQT | November 18, 2023 | Decision on personnel |
| 269 | 786/2023/QD-HDQT | November 18, 2023 | Regarding the Decision on assigning tasks to members of the Board of Directors |
| 270 | 787/2023/QD-HDQT | November 18, 2023 | Regarding the Decision on the list of members of the Risk Management Committee |
| 271 | 788/2023/QD-HDQT | November 18, 2023 | Regarding the Decision on the list of members of the Personnel Committee |
| 272 | 789/2023/QD-HDQT | November 18, 2023 | Regarding the Decision on the list of members of the Credit Committee |
| 273 | 790/2023/QD-HDQT | November 18, 2023 | Regarding the Decision on the list of members of the Risk Management Council |
| 274 | 792/2023/NQ-HDQT | November 18, 2023 | Regarding the Resolution on approving the provisional salary of members of the Board of Directors for the term 2020-2025 |
| 275 | 2579/2023/QD-HDQT | November 18, 2023 | Decision on personnel |
| 276 | 801/2023/NQ-HDQT | November 22, 2023 | Regarding the Resolution on approving the reissuance and increase of the line of credit for customers |
| 277 | 810/2023/NQ-HDQT | November 24, 2023 | Regarding the Resolution on granting guarantee each time to customers |
| 278 | 820/2023/NQ-HDQT | November 29, 2023 | Regarding the Resolution on approving the ATM operating policy |
| 279 | 827/2023/NQ-HDQT | November 30, 2023 | Regarding the Resolution on increasing the line of credit and partially changing credit conditions for customers |
| 280 | 829/2023/NQ-HDQT | November 30, 2023 | Regarding the Resolution on increasing the line of credit and partially changing credit conditions for customers |
| 281 | 831/2023/NQ-HDQT | November 30, 2023 | Regarding the Resolution on granting credit to Customers |
| 282 | 2679/2023/QD-HDQT | December 02, 2023 | Decision on personnel |
| 283 | 839/2023/NQ-HDQT | December 04, 2023 | Regarding the Resolution on supplementing collateral conditions for customers |
| 284 | 841/2023/NQ-HDQT | December 05, 2023 | Regarding the Resolution on new granting and partial change in credit conditions for customers |
| 285 | 2698/2023/QD-HDQT | December 05, 2023 | Decision on personnel |
| 286 | 845/2023/NQ-HDQT | December 07, 2023 | Regarding the Resolution on transaction office operations |
| 287 | 847/2023/NQ-HDQT | December 08, 2023 | Regarding the Resolution on approving the list of entrusted debts for National Citizen Bank Asset Management Company Limited (AMC NCB) to manage and collect debts |
| 288 | 849/2023/NQ-HDQT | December 08, 2023 | Regarding the Resolution on granting credit to Customers |
| 289 | 857/2023/NQ-HDQT | December 13, 2023 | Resolution on approving the plan on private placement to increase charter capital: |
| 290 | 864/2023/NQ-HDQT | December 14, 2023 | Resolution on operation plan |
| 291 | 867/2023/NQ-HDQT | December 14, 2023 | Resolution on items and road map to implement the ideas |
| 292 | 869/2023/NQ-HDQT | December 14, 2023 | Resolution on Digital transformation policy |
| 293 | 2786/2023/QD-HDQT | December 15, 2023 | Decision on personnel |
| 294 | 1701/2023/QD-HDQT | December 15, 2023 | Decision on personnel |
| 295 | 878/2023/QD-HDQT | December 18, 2023 | Regarding the Decision on the list of members of the Risk Management Council |
| 296 | 879/2023/QD-HDQT | December 18, 2023 | Decision on the list of members of the Risk Management Council |
| 297 | 890/2023/NQ-HDQT | December 20, 2023 | Regarding the Resolution on granting credit to Customers |
| 298 | 898/2023/NQ-HDQT | December 21, 2023 | Regarding the Resolution on granting credit to Customers |
| 299 | 908/2023/NQ-HDQT | December 26, 2023 | Resolution on promulgating the Regulations on Operation and Organization of the Technology and Science Department |
| 300 | 910/2023/NQ-HDQT | December 26, 2023 | Regarding the Resolution on granting credit to Customers |
| 301 | 912/2023/NQ-HDQT | December 26, 2023 | Resolution on deciding on personnel |
| 302 | 918/2023/NQ-HDQT | December 27, 2023 | Regarding the Resolution on granting credit to Customers |
| 303 | 920/2023/NQ-HDQT | December 27, 2023 | Regarding the Resolution on granting credit to Customers |
| 304 | 935/2023NQ-HĐQT | December 29, 2023 | Resolution on approving the loan policies for NCB employees |
| 305 | 941/2023/NQ-HDQT | December 30, 2023 | Resolution on terminating some Transaction Offices in 2024 |
| 306 | 943/2023/NQ-HDQT | December 30, 2023 | Resolution on Policy on providing new products, new market operations, code: CS.RR.003 v1.o |
| 307 | 945/2023/NQ-HDQT | December 30, 2023 | Resolution on adjusting the method of payment of principal and interest obligations for debts arising from the Debt Sales Contract and appendix signed with Terra Joint - Stock Company |

1. The Supervisory Board
2. Information about members of the Supervisory Board

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Supervisory Board | Position | Date of appointment/dismissal as member of the Supervisory Board | Qualification |
| 1 | Ms. Do Thi Duc Minh | Chief of the Supervisory Board | Appointed as member of the Supervisory Board since April 08, 2023 | Master of Economics |
| 2 | Ms. Vu Kim Phuong | Executive member of the Board of Directors | Appointed as member of the Supervisory Board since April 26, 2015 | Master of Business Administration |
| 3 | Mr. Nguyen Van Quang | Member of the Supervisory Board | Appointed as member of the Supervisory Board since April 08, 2023 | Bachelor of Finance and Banking |
| 4 | Ms. Tran Thi Ha Giang | Chief of the Supervisory Board | Dismissed as member of the Supervisory Board since April 08, 2023 | Master of Business Administration |
| 5 | Ms. Tran Thi Minh Hue | Deputy Chief of the Supervisory Board | Dismissed as member of the Supervisory Board since April 08, 2023 | Master of Finance and Banking |

1. The Executive Board.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Executive Board | Date of birth | Qualification | Date of appointment/dismissal as member of the Supervisory Board |
| 1 | Mr. Ta Kieu Hung - General Manager | December 05, 1980 | Master of Business Administration - French Vietnamese School of Management (CFVG) - National Economics University | Deputy General Manager: December 08, 2022 - April 21, 2023  Acting General Manager: April 21, 2023 - June 27, 2023  General Manager: June 27, 2023 - present |
| 2 | Ms. Hoang Thu Trang - Deputy General Manager | March 09, 1979 | Bachelor of Management Science - Thang Long University | Deputy General Manager: August 03, 2021 - November 18, 2023 |
| 3 | Ms. Pham Thi Hien - Deputy General Manager | January 25, 1973 | Master of Economics in Banking and Finance - French Vietnamese School of Management (CFVG) - National Economics University | November 14, 2022 - present |
| 4 | Mr. Nguyen Dinh Tuan - Deputy General Manager | November 07, 1980 | Master of Economics - National Economics University | May 20, 2019 - April 21, 2023 |
| 5 | Mr. Nguyen Duc Hieu - Deputy General Manager | January 14, 1972 | Master of Finance - Texas A&M University | September 12, 2022 - June 02, 2023 |
| 6 | Ms. Do Thi Duc Minh - Deputy General Manager | August 07, 1975 | Master of Economics - Banking Academy | December 08, 2022 to April 07, 2023 |

1. The Chief Accountant:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Executive Board | Date of birth | Qualification | Date of appointment/dismissal as member of the Executive Board |
| 1 | Bui Thi Khanh Van | November 19, 1980 | Master - National Economics University | December 02, 2022 - present |

1. Training on corporate governance:
2. List of affiliated persons of NCB and transactions between affiliated persons of NCB and NCB
3. Transactions between the Company and affiliated persons of the Company; or between the Company and major shareholders, PDMR, affiliated persons of PDMR:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| No. | Name of organization | Relations with the Bank | NSH No., Date of issue, Place of issue | Address | Time of transaction | General Mandate/Decision of the General Meeting of Shareholders, Board Resolution/Board Decision No. | Transaction content | Notes |
| 1 | National Citizen Bank Asset Management Company Limited | Subsidiaries | 0304767745 first issued by Business Registration Department of Ho Chi Minh City Department of Planning and Investment on December 28, 2006 | 7th Floor, 444 Hoang Hoa Tham, Tay Ho, Hanoi | September 19, 2023 | No. 604/2023/NQ-HDQT dated September 19, 2023 | Asset management and custody service contract between NCB and AMC for debt settlement assets |  |
| 2 | National Citizen Bank Asset Management Company Limited | Subsidiaries | 0304767745 first issued by Business Registration Department of Ho Chi Minh City Department of Planning and Investment on December 28, 2006 | 7th Floor, 444 Hoang Hoa Tham, Tay Ho, Hanoi | September 26, 2023 | No. 631/2023/NQ-HDQT dated September 25, 2023 | Asset handling authorization contract between NCB and AMC |  |
| 3 | National Citizen Bank Asset Management Company Limited | Subsidiaries | 0304767745 first issued by Business Registration Department of Ho Chi Minh City Department of Planning and Investment on December 28, 2006 | 7th Floor, 7.444 Hoang Hoa Tham, Tay Ho, Hanoi | September 25, 2023 | No. 633/2023/NQ-HDQT dated September 25, 2023 | Asset handling authorization contract between NCB and AMC |  |
| 4 | National Citizen Bank Asset Management Company Limited | Subsidiaries | 0304767745 first issued by Business Registration Department of Ho Chi Minh City Department of Planning and Investment on December 28, 2006 | 7th Floor, 444 Hoang Hoa Tham, Tay Ho, Hanoi | September 25, 2023 | No. 635/2023/NQ-HDQT dated September 25, 2023 | Asset handling authorization contract between NCB and AMC |  |
| 5 | National Citizen Bank Asset Management Company Limited | Subsidiaries | 0304767745 first issued by Business Registration Department of Ho Chi Minh City Department of Planning and Investment on December 28, 2006 | 7th Floor, 444 Hoang Hoa Tham, Tay Ho, Hanoi | October 02, 2023 | No. 663/2023/NQ-HDQT dated September 30, 2023 | Asset handling authorization contract between NCB and AMC |  |
| 6 | National Citizen Bank Asset Management Company Limited | Subsidiaries | 0304767745 first issued by Business Registration Department of Ho Chi Minh City Department of Planning and Investment on December 28, 2006 | 7th Floor, 444 Hoang Hoa Tham, Tay Ho, Hanoi | Have not implemented transaction | No. 847/2023/NQ-HDQT dated December 08, 2023 | Asset handling authorization contract between NCB and AMC |  |

1. Transactions between the Company’s PDMR, affiliated persons of PDMR and subsidiaries, companies controlled by the Company: None
2. Transactions between the Company and other entities:
   1. Transactions between the Company and companies that members of the Board of Directors, members of the Supervisory Board, the Manager (General Manager) and other managers have been founding members or members of the Board of Directors or the Executive Manager (General Manager) in the past three years (at the time of making the Report):
3. In 2021 and 2022: None
4. In 2023, NCB has 6 transactions with the company - PDMR of Mr. Duong The Bang - the Chair of the Board of Members of National Citizen Bank Asset Management Company Limited, details are as follows:

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| No. | Name of organization | NSH No., Date of issue, Place of issue | Address | Time of transaction | General Mandate/Decision of the General Meeting of Shareholders, Board Resolution/Board Decision No. | Transaction content |
| 1 | National Citizen Bank Asset Management Company Limited | 0304767745 first issued by Business Registration Department of Ho Chi Minh City Department of Planning and Investment on December 28, 2006 | 7th Floor, 444 Hoang Hoa Tham, Tay Ho, Hanoi | September 19, 2023 | No. 604/2023/NQ-HDQT dated September 19, 2023 | Asset management and custody service contract between NCB and AMC for debt settlement assets |
| 2 | National Citizen Bank Asset Management Company Limited | 0304767745 first issued by Business Registration Department of Ho Chi Minh City Department of Planning and Investment on December 28, 2006 | 7th Floor, 444 Hoang Hoa Tham, Tay Ho, Hanoi | September 26, 2023 | No. 631/2023/NQ-HDQT dated September 25, 2023 | Asset handling authorization contract between NCB and AMC |
| 3 | National Citizen Bank Asset Management Company Limited | 0304767745 first issued by Business Registration Department of Ho Chi Minh City Department of Planning and Investment on December 28, 2006 | 7th Floor, 444 Hoang Hoa Tham, Tay Ho, Hanoi | September 25, 2023 | No. 633/2023/NQ-HDQT dated September 25, 2023 | Asset handling authorization contract between NCB and AMC |
| 4 | National Citizen Bank Asset Management Company Limited | 0304767745 first issued by Business Registration Department of Ho Chi Minh City Department of Planning and Investment on December 28, 2006 | 7th Floor, 444 Hoang Hoa Tham, Tay Ho, Hanoi | September 25, 2023 | No. 635/2023/NQ-HDQT dated September 25, 2023 | Asset handling authorization contract between NCB and AMC |
| 5 | National Citizen Bank Asset Management Company Limited | 0304767745 first issued by Business Registration Department of Ho Chi Minh City Department of Planning and Investment on December 28, 2006 | 7th Floor, 444 Hoang Hoa Tham, Tay Ho, Hanoi | October 02, 2023 | No. 663/2023/NQ-HDQT dated September 30, 2023 | Asset handling authorization contract between NCB and AMC |
| 6 | National Citizen Bank Asset Management Company Limited | 0304767745 first issued by Business Registration Department of Ho Chi Minh City Department of Planning and Investment on December 28, 2006 | 7th Floor, 7.444 Hoang Hoa Tham, Tay Ho, Hanoi | Have not implemented transaction | No. 847/2023/NQ-HDQT dated December 08, 2023 | Asset handling authorization contract between NCB and AMC |

* 1. Transactions between the Company and companies that affiliated persons of members of the Board of Directors, members of the Supervisory Board, the General Manager and other managers are members of the Board of Directors or the Executive General Manager: None
  2. Other transactions of the Company (if any) that can bring about material or non-material benefits to members of the Board of Directors, members of the Supervisory Board, the General Manager and other managers: None

1. Share transactions of PDMR and affiliated persons of PDMR
2. Company’s share transactions of PDMR and affiliated persons: None
3. Other significant issues: None.