**TDN: Annual Corporate Governance Report 2023**

On January 25, 2024, Vinacomin - DeoNai Coal JS announced Report No. 510/BC-TDN on corporate governance in 2023 as follows:

* Name of listed company: Vinacomin - DeoNai Coal JSC
* Head office address: Cam Tay Ward, Cam Pha City, Quang Ninh Province
* Tel: (84.0203) 3864 251 Fax: (84.0203) 3863 942
* Charter capital: VND 294,390,970,000
* Securities code: TDN
* Exchange: HNX.
* Corporate governance model: The General Meeting of Shareholders, the Board of Directors, the Supervisory Board, and the Manager.
* Internal audit function: Implemented.
1. ACTIVITIES OF THE GENERAL MEETING OF SHAREHOLDERS
2. The Company organized the Annual General Meeting of Shareholders on April 24, 2023

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| No. | General Mandate No. | Date | Contents |
| 1 | No. 07/NQ-DHDCD 2023 | April 24, 2023 | 1. Approve the Report on the production and business results and directions for production and business duties in 2023;
2. Approve the Financial Statement 2022;
3. Approve the Profit Distribution Plan 2022;
4. Approve the Report on the activities of the Board of Directors in 2022; Directions and duties in 2023;
5. Approve the Report on salary, remuneration and allowance for member of the Board of Directors, the Supervisory Board, and the managers in 2022; the proposal on allowance, salary and remuneration level in 2023;
6. Approve the report on the results of the Reorganization of Vinacomin - DeoNai Coal JSC;
7. Approve the Report on approving the Annual Transaction Contract with the affiliated persons under the authorities of General Meeting of Shareholders for approval;
8. Approve the Report on the implementation of the Coc Sau - Deo Nai mine cluster mining project;
9. Approve the dismissal of 01 members of the Board of Directors in the term IV (2022-2027);
10. Approve the Report of the independent member of the Board of Directors in 2022, the Orientations and duties for 2023;
11. Approve the Report on activities of the Supervisory Board in 2022;
12. Approve the selection of the list of audit companies for the Financial Statements 2023;
13. Report on the additional election results of the Board of Directors member in the term IV.
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1. The Company organized the Extraordinary Annual General Meeting of Shareholders on December 28, 2023.

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| No. | General Mandate No. | Date | Contents |
| 2 | No. 22/NQ-DHDCD- 2023 | December 28, 2023 | 1. Approve the Consolidation Plan of Vinacomin Coc Sau Coal JSC and Vinacomin - DeoNai Coal JSC (attached Appendix 01);
2. Approve the draft of the Consolidation Contract between Vinacomin Coc Sau Coal JSC and Vinacomin - DeoNai Coal JSC (attached Appendix 02). The General Meeting of Shareholders authorized the Board of Directors to negotiate, supplement, and complete the Consolidation Contract in compliance with the actual situation and relevant legal regulations;
3. Approve the Company's charter after consolidation and supplement the business line of the Consolidated company (attached Appendix 03);
4. Approve the Share Issuance Plan to swap (attached Appendix 04);
5. Approve the Production and Business Plan of the Consolidated company (attached Appendix 05). General Meeting of Shareholders authorized the Board of Directors to coordinate with Vinacomin Coc Sau Coal JSC in implementing the relevant contents to ensure the highest efficiency for the consolidated Company.
6. Approve the expected Profit Distribution Plan 2023 of Vinacomin - DeoNai Coal JSC,
7. Approve the number of members of the Board of Directors is 5, acknowledge the voting results, and decide Mr/Mrs named below are elected to the Board of Directors member of Deo Nai - Coc Sau - TKV Coal JSC (in term of 5 years) from the date the Company officially operates.
8. Approve the number of members of the Supervisory Board is 3, acknowledge the voting results, and decide Mr/Mrs named below are elected to the Supervisory Board member of Deo Nai - Coc Sau - TKV Coal JSC (in term of 5 years) from the date the Company officially operates.
9. Acknowledge the voting results and decide Mr/Mrs named below are elected to Chair of the Board of Directors, Chief of the Supervisory Board of Deo Nai - Coc Sau - TKV Coal JSC (in term of 5 years) from the date the Company officially operates.
10. Acknowledge the voting results and decide Mr: Dang Thanh Binh holds the Manager position of Deo Nai - Coc Sau - TKV Coal JSC (in term of 5 years) from the date the Company officially operates.
11. The General Meeting of Shareholders authorized the Board of Directors of Vinacomin - DeoNai Coal JSC to proactively complete the procedures and documents regarding the consolidation of Vinacomin Coc Sau Coal JSC and Vinacomin - DeoNai Coal JSC.
12. Terms of enforcement:

The Extraordinary General Meeting of Shareholders 2023 of Vinacomin - DeoNai Coal JSC was conducted openly, transparently and legally.This General Mandate takes effect from the date of its signing. The General Meeting of Shareholders authorized the Board of Directors of Vinacomin - DeoNai Coal JSC to have responsibilities in implementing the tasks approved by the General Meeting of Shareholders, ensuring the interests of the shareholders and the Company, and in accordance with the provisions of the Law./. |

1. THE BOARD OF DIRECTORS
2. Information about the Board of Directors members:

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| No. | Board of Directors member | Position | Date of appointment/dismissal as member of the Board of Directors |
| Appointment date | Dismissal date |
| 1 | Mr. Nguyen Trong Tot | Chair of the Board of Directors (Non-executive) | Elected at the Annual General Meeting of Shareholders 2022 from April 25, 2022 (in the term of: 2022-2027) |  |
| 2 | Mr. Dang Thanh Binh | Member of the Board of Directors - Company’s Manager | Elected at the Annual General Meeting of Shareholders 2022 from April 25, 2022 (in the term of: 2022-2027) |  |
| 3 | Mr. Thieu Dinh Giang | Member of the Board of Directors, the Deputy Manager of the Company | Elected at the Annual General Meeting of Shareholders 2023 from April 24, 2023 (in the term of: 2022-2027) |  |
| 4 | Mr. Vu Trong Hung  | Member of the Board of Directors, the Deputy Manager of the Company | Elected at the Annual General Meeting of Shareholders 2022 from April 25, 2022 (in the term of: 2022-2027) |  |
| 5 | Mr. Nguyen Van Hung | Independent member of the Board of Directors (Non-executive) | Elected at the Annual General Meeting of Shareholders 2022 from April 25, 2022 (in the term of: 2022-2027) |  |

1. Board Resolutions:

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| No. | Board Resolution No. | Date | Contents |
| 1 | No. 01/NQ-HDQT | January 16, 2023 | 1. On approving the settlement of remuneration and bonus for the Company’s Manager 2021;
2. On (temporarily) approving a number of production and business plan targets for 2023;
3. On approving of signing the transaction contracts 2023;
4. On approving the Capital Mobilization Plan in 2023, (not yet approved);
5. On approving the structure adjustment of the capital resource on the Investment project of 05 rigid frame dump trucks, with a load capacity of 90+100 tons to transport soil and rock in 2022.
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| 2 | No. 01.1/NQ-HDQT | January 16, 2023 | Resolution on organizing the Annual General Meeting of Shareholders 2023; |
| 3 | No. 01.2/NQ-HDQT | January 16, 2023 | 1. Approve the results of the implementation of the official’s appointment process (Foreman of Vinh Tan 4 Thermal Power Plant);
2. On approving the policy and plan of the official’s appointment (Head of M&E-Transportation Department);
 |
| 4 | No. 02/NQ-HDQT | February 07, 2023 | Approve the result of implementing the appointment process of Head of M&E-Transportation Department; |
| 5 | No. 02.1/NQ-HDQT | February 07, 2023 | Approve the authorization of short-term and long-term loans in 2023 to serve the production and business; |
| 6 | No. 02.2/NQ-HDQT | February 07, 2023 | 1. Consider the Company’s report on sending officials going abroad;
2. Approve the Plan and the Estimate for outsourcing the clean coal powder sieving from non-coal products in 2023 (not yet approved);
3. Approve the Construction Investment Plan 2023 -Vinacomin - DeoNai Coal JSC;
4. Approve the project settlement to complete the Investment project of 05 rigid frame dump trucks, with a load capacity of 90+100 tons to transport soil and rock in 2022 (establish an Assessment team);
5. Some contents on the documents management and implementation of TKV;
 |
| 7 | No. 03/NQ-HDQT | February 21, 2023 | 1. Approve sending Company’s officials on a business trip to USA:
2. On approving the Personnel Plan in introducing and additional electing members of the Board of Directors of the Company to report to the Owner:
3. On approving the draft of the Outsource contract for loading and transporting soil and rock at Deo Nai mine site in 2023:
4. On approving the Decision of the project settlement to complete the Investment project of 05 rigid frame dump trucks, with a load capacity of 90-100 tons to transport soil and rock in 2022 (not yet approved):
5. Approve the implementation progress duties to organize the Annual General Meeting of Shareholders 2023:
6. Approve the adjustment of the Estimate for the contract of the task: Outsourcing the service of providing mid-shift meals and toxic compensation:
7. Approve the implementation of the governance document of TKV:
 |
| 8 | No. 04/NQ-HDQT | March, 16, 2023 | 1. Approve Plan and estimate for renting equipment to loading and unloading serving the production of clean coal from soil, rock, and coal in 2023;
2. On approving the settlement of the Company’s actual salary fund in 2022;
3. On approving the Projects Approval; Adjusting the Construction Investment Plan 2023 from contingency to official (new construction starts) and approving the plan on contractor selection for the Project investing additional equipment and tools to improve production capacity - Vinacomin - DeoNai Coal JSC (establishment of Appraisal team);
4. On the progress report of the Company in Coc Sau - Deo Nai mine cluster mining project and request for approval of the Outline - Estimate and Contractor Selection Plan for the item: Surveying, measuring, drawing, and copy-editing Topographic maps and making a detailed construction planning, Coc Sau - Deo Nai mining cluster mining project (establishment of Appraisal team);
5. On the report of the expected enterprise value as of December 31, 2022 - after audit;
6. On the implementation progress duties to organize the Annual General Meeting of Shareholders 2023;
 |
| 9 | No. 05/NQ-HDQT | March 31, 2023 | 1. On approving the Title planning for leadership officials of the Company in the period 2020-2025 (after review and supplementation) and the period 2025-2030;
2. Approve the project and contractor selection plan for the Project investing additional equipment and tools to improve production capacity; Moving the investment plan of the Project;
3. Approve the Outline - Estimate and Contractor Selection Plan for the item Surveying, measuring, editing maps at 1/500 scale and making a detailed construction planning at 1/500 scale, Preparation works for the Project Coc Sau - Deo Nai mining cluster mining project;
4. Approve (expected) the production and business results of Q1, and orientations and duties of Q2/2023;
5. On unifying the contents of reports and documents the Company has prepared to organize the Annual General Meeting of Shareholders 2023;
6. Approve the settlement of completed project, Project: Investment of 05 rigid frame dump trucks, with a load capacity of 90-100 tons to transport soil and rock in 2022;
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| 10 | No. 06/NQ-HDQT | April 19, 2023 | 1. Approve the List of equivalent titles and positions in Vinacomin - DeoNai Coal JSC (not yet approved);
2. On approving the Company's emulation and reward regulations (establishing an Appraisal team);
3. On approving the Regulations on clerical and archival work of the Company (establishment of the Appraisal Team);
4. On approving the Plan to dredge and strengthen the drainage system at the foot of Nam Deo Nai waste dump in 2023;
5. On approving the Plan and Estimate for dynamic monitoring of the mine bank and waste dump in 2023 at Vinacomin - DeoNai Coal JSC;
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| 11 | No. 06.1/NQ-HDQT | April 19, 2023 | 1. On the proposal of personnel for additional election to the Board of Directors member;
2. On th contents for reporting at the Annual General Meeting of Shareholders 2023;
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| 12 | No. 07/NQ-DHDCD | April 24, 2023 | 1. Approve the Report on production and business results
2. and orientations and duties in 2023;
3. Approve the Financial Statement 2022;
4. Approve the Profit Distribution Plan in 2022;
5. Approve the Report on the activities of the Board of Directors in 2022 - Orientations and duties in 2023;
6. Approve the Report on salary, remuneration and allowance for member of the Board of Directors, the Supervisory Board, and the managers in 2022; the proposal on allowance, salary and remuneration level in 2023;
7. Approve the report on the results of the Reorganization of Vinacomin - DeoNai Coal JSC;
8. Approve the Report on the approval of the Annual Transaction Contract Approval Report
9. with the affiliated persons under the authority of the General Meeting of Shareholders for approval;
10. Approve the Report on the implementation of the Coc Sau - Deo Nai mine cluster mining project;
11. Approve the dismissal of 01 member of the Board of Directors for the term IV (2022-2027);
12. Approve the Report of the Board of Directors on the production and business result in 2022, the orientations and duties for 2023;
13. Approve the Report on activities of the Supervisory Board in 2022;
14. Approve the selection of the list of Audit company for the Financial Statements in 2023;
15. Report the election results of the additional member of the Board of Directors in term IV;
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| 13 | No. 08/NQ-HDQT | May 17, 2023 | 1. On approving the Plan and Estimate of outsourcing clean coal production from coal mixed soil in 2023;
2. On approving the additional approval of outsourcing plan for 2023;
3. On the result of Contractor selection for the item: Construction in 2023 under the Exploration Plan for exploitation in the period of 2019-2020, 5-year Plan 2021-2025;
4. Approve the List of equivalent titles and positions in Vinacomin - DeoNai Coal JSC;
5. On approving the Regulation on emulation and reward of the Company;
6. Approve the Regulations on clerical and archival work of the Company;
7. On the assignment of duties for members of the Board of Directors in term IV (2022-2027);
8. On approving the average short-term credit and number of financial ratios in 2023;
9. Some contents on the documents management and implementation of TKV.
 |
| 14 | No. 09/NQ-HDQT | June 14, 2023 | 1. Regarding the Report on some contents in land management (NKT-DKS waste dump area of Deo Nai Coal Company and Tay Lo Tri area of Dong Bac Corporation);
2. On selling coal from coal mixed soil in 2023;
3. On Plan to use Bonus and Welfare fund in 2023.
4. On strengthening the personnel of the Steering Committee and the Working Group to implement the Company's Restructuring work.
5. On drainage work, and troubleshooting at the furnace door area + 28.
6. On implementing management work and documents of TKV.
 |
| 15 | No. 10/NQ-HDQT | July 18, 2023 | 1. On approving the adjustment of the Contractor selection plan for the Project to invest in equipment for production in 2022, Vinacomin - DeoNai Coal JSC;
2. On the proposal to establish a Steering Committee for the preparation of the Coc Sau - Deo Nai mining cluster mining project;
3. On implementing the management documents of TKV and competent authorities.
 |
| 16 | No. 11/NQ-HDQT | July 24, 2023 | 1. Listen to the Company's report and give opinions on the Restructuring work - Approve the assignment of duties to members of the Steering Committee;
2. On approving the Regulations on Management and Use of the Company's Bonus and Welfare Fund;
3. Listen to the report and give comments on the final summary report of the Deo Nai mine renovation and expansion project (adjusted);
4. Listen to reports and give opinions on Occupational safety and hygiene and Storm prevention in 2023;
5. Listen and give opinions on the implementing progress of the Coc Sau - Deo Nai mining cluster mining project;

Listen to reports and give opinions on the implementation results of the Reports on the completion of Plans in outsourcing some stages serving for production in 2022; |
| 17 | No. 12/NQ-HDQT | August 10, 2023 | 1. On approving the adjustment of the Contractor selection plan for the Project to invest in equipment for production in 2022 - DeoNai Coal JSC;
2. On approving the Regulations on Management and Use of the Company's Bonus and Welfare Fund;
3. On approving to supplement the Outsourcing plan for the soil and rock loading task of the Company in 2023;
4. On approving the Company's Internal Control Report Q2/2023;
* Implement the management and document work of TKV.
 |
| 18 | No. 13/NQ-HDQT | August 30, 2023 | 1. Report on production and business results in August 2023 and the Plan for September 2023;
2. On the settlement of the bonus fund for Company’s Manager in 2022;
3. Approve the Investment and Construction regulation of the Company;
4. On coal selling plan from coal mixed soil in 2023;
5. On supplementing the volume of outsourcing in some stages serving the Company's production in 2023;
6. Regarding the content of the Investment Plan 2023 (adjusted);
* Implement the management and document work of TKV.
 |
| 19 | No. 14/NQ-HDQT | September 19, 2023 | 1. On considering and giving opinions on making the Company's Construction Investment Plan 2024;
2. On considering and giving opinions on making the Company's Production and Business Plan 2024;
3. Implement the management and document work of TKV.
 |
| 20 | No. 15.1/NQ-HDQT | November 03, 2023 | 1. The Board of Directors approved (adjusted) the content of organizing the Extraordinary General Meeting of Shareholders 2023 of Vinacomin - DeoNai Coal JSC:
2. The Board of Directors decided to establish a Steering and Organizing Committee of the Extraordinary General Meeting of Shareholders 2023 with Chair of the Board of Directors of the Company as the Chief. Chair of the Board of Directors signed the decision for implementation. The Company reports and asks for opinions from competent authorities on the content of implementation, voting at the Meeting and information disclosure according to regulations.
 |
| 21 | No. 15.2/NQ-HDQT | November 03, 2023 | 1. Approve the content of hiring a Consultant to carry out the Restructuring works;
2. Approve the plan of works that needs to be coordinated with Vinacomin Coc Sau Coal JSC when implementing restructuring work;
 |
| 22 | No. 16/NQ-HDQT | November 17, 2023 | 1. On approving the Regulations on Management and Use of the Company's Bonus and Welfare Fund;
2. On approving the Regulations on employee management of the Company
3. On considering and giving opinions on the name and headquarters of the Company after the consolidation;
4. On approving the Outsource plan some stages serving for production in 2024
5. On approving the supplement of the plan to outsource VCCN in 2023;
6. On approving the Plan, Estimate, and Outsource contractor selection plan of mid-shift meal services and hazardous training in 2024;
7. On approving the Report on the Internal Audit results in Q3/2023; report on the implementation of work according to the resolutions of the Board of Directors during the period and report on the results of reviewing the issued management regulations;
8. Implement the management and document work of TKV.
 |
| 23 | No. 17/NQ-HDQT | November 23, 2023 | On approving the Regulations on employee management of the Company1. On approving the Plan and Estimate to outsource soil and rock transportation in 2024.
2. On approving the adjustment of the plan to outsource for clean coal production from coal-mixed soil in 2023
3. On approving the draft of Supplier Selection Regulations;
 |
| 24 | No. 18/NQ-HDQT | December 05, 2023 | 1. Regarding documents and content, program for organizing the Extraordinary General Meeting of Shareholders 2023;
2. Regarding the files of the Coc Sau - Deo Nai mining cluster mining project;
 |
| 25 | No. 19/NQ-HDQT | December 22, 2023 | 1. On approving the adjusted construction investment plan for 2023
2. On approving the Report on the internal audit results in Q4, and for the whole year 2023; report on the results of reviewing issued management regulations and the Internal Audit Plan for 2024;
3. Approve the Operational Plan Program for 2024 of the Board of Directors.

Implement the management documents of TKV. |
| 26 | No. 20/NQ-HDQT | 12/22/2023 | According to the content of documents submitted by the Company for review and voting at the Extraordinary General Meeting of Shareholders 2023, which have been reviewed and agreed on documents and reports at the meeting; The members of the Board of Directors discussed, agreed and voted to approve the Resolution as follows:1. The Board of Directors unanimously approved the contents prepared and reported by the Company and submitted to the General Meeting of Shareholders, including:
* The Board of Directors assigned the Company’s Manager to direct the work following the plan to organize the Meeting and disclose information/documents following regulations.
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| 27 | No. 21/NQ-HDQT | December 27, 2023 | 1. Regarding the personnel and election plans at the Meeting
2. Personnel nominated to elect members of the Board of Directors and members of the Supervisory Board
3. Documents implemented at the Meeting and preparation work

for the number and structure of shareholders attending the Meeting |
| 28 | NQ-DHDCD-2023 | December 28, 2023 | 1. Approve the Consolidation Plan of Vinacomin Coc Sau Coal JSC and Vinacomin - DeoNai Coal JSC (attached Appendix 01);
2. Approve the draft of the Consolidation Contract between Vinacomin Coc Sau Coal JSC and Vinacomin - DeoNai Coal JSC (attached Appendix 02). The General Meeting of Shareholders authorized the Board of Directors to negotiate, supplement, and complete the Consolidation Contract in compliance with the actual situation and relevant legal regulations;
3. Approve the Company's charter after consolidation and supplement the business line of the Consolidated company (attached Appendix 03);
4. Approve the Share Issuance Plan to swap (attached Appendix 04);

Approve the Production and Business Plan of the Consolidated company (attached Appendix 05). The General Meeting of Shareholders authorized the Board of Directors to coordinate with Vinacomin Coc Sau Coal JSC in implementing the relevant contents to ensure the highest efficiency for the consolidated Company.1. Approve the expected Profit Distribution Plan 2023 of Vinacomin - DeoNai Coal JSC,
2. Approve the number of members of the Board of Directors is 5, acknowledge the voting results, and decide Mr/Mrs named below are elected to the Board of Directors member of Deo Nai - Coc Sau - TKV Coal JSC (in term of 5 years) from the date the Company officially operates.
3. Approve the number of members of the Supervisory Board is 3, acknowledge the voting results, and decide Mr/Mrs named below are elected to the Supervisory Board member of Deo Nai - Coc Sau - TKV Coal JSC (in term of 5 years) from the date the Company officially operates.
4. Acknowledge the voting results and decide Mr/Mrs named below are elected to Chair of the Board of Directors, Chief of the Supervisory Board of Deo Nai - Coc Sau - TKV Coal JSC (in term of 5 years) from the date the Company officially operates.
5. Acknowledge the voting results and decide Mr: Dang Thanh Binh holds the Manager position of Deo Nai - Coc Sau - TKV Coal JSC (in term of 5 years) from the date the Company officially operates.
6. The General Meeting of Shareholders authorized the Board of Directors of Vinacomin - DeoNai Coal JSC to proactively complete the procedures and documents regarding the consolidation of Vinacomin Coc Sau Coal JSC and Vinacomin - DeoNai Coal JSC.
7. Terms of enforcement
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1. THE SUPERVISORY BOARD
2. Information about the members of the Supervisory Board

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| --- | --- | --- | --- | --- |
| No. | Member of the Supervisory Board | Position | Date of appointment/dismissal as member of the Supervisory Board | Qualification |
| 1 | Ms. Nguyen Thi Luong Anh | Chief | Elected at the Annual General Meeting of Shareholders 2022 from April 25, 2022(Term: 2022-2027) | Bachelor of Economics (Majoring in Business Accounting) |
| 2 | Mr. Tran Van Vang | Surveyor | Elected at the Annual General Meeting of Shareholders 2022 from April 25, 2022(Term: 2022-2027) | Engineer of Factory Electrification; Engineer of Economic and Business Administration |
| 3 | Ms. Nguyen Thi Yen | Surveyor | Elected at the Annual General Meeting of Shareholders 2022 from April 25, 2022(Term: 2022-2027) | Bachelor of Business AdministrationBachelor of Accounting. |

1. THE EXECUTIVE BOARD

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| --- | --- | --- | --- | --- |
| No. | Members of the Executive Board | Date of birth | Qualification | Date of appointment/dismissal as member of the Board of Directors |
| 1 | Mr. Dang Thanh Binh - Manager | November 12, 1977 | Master of Mining - MiningEngineer | Appointed onDecember 15, 2021 |
| 2 | Mr. Thieu Dinh Giang - Deputy Manager | August 12, 1977 | Master of Dynamic Mechanical Engineering - Automotive Mechanical Engineer | Appointed onJanuary 01, 2023 |
| 3 | Mr. Nguyen Ngoc Tung - Deputy Manager | August 08, 1965 | Mining Engineer | Appointed onJune 01, 2020 |
| 4 | Mr. Vu Trong Hung -Deputy Manager | December 22, 1977 | Master of Mining - MiningEngineer | Appointed onAugust 09, 2022 |

1. CHIEF ACCOUNTANT:

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| --- | --- | --- | --- |
| Full name | Date of birth | Qualification | Date of appointment/dismissal as member of the Executive Board |
| Ms. Vu Thi Huong | May 02, 1974 | Mining Economic Engineer, Bachelor of Accounting | Re-appointed on December 07, 2022 |

1. TRAINING ON CORPORATE GOVERNANCE
2. LIST OF AFFILIATED PERSONS OF THE PUBLIC COMPANY (ANNUAL REPORT 2023) AND TRANSACTIONS BETWEEN THE AFFILIATED PERSONS OF THE COMPANY WITH THE COMPANY ITSELF
3. Transactions between the Company and affiliated persons of the Company; or between the Company and major shareholders, PDMR, affiliated persons of PDMR:

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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| No. | Name of individual/institution | Relations with the Company | NSH No.; Date of issue;Place of issue NSH | Head office address/Contact address | Time of transaction with the Company | General Mandate/Decision of the General Meeting of Shareholder/No. or Board Resolution/Decision No. | Content, result of transaction | Note |
| 1 | Vietnam National Coal - Mineral Industries Holding Corporation Limited (TKV).Including the Branches under the Corporation/Limited/one member limited as follow:1. Vinacomin - Cua Ong Coal Company
2. Vinacomin - Cam Pha Port and Logistics Company
3. Vinacomin - Mining Chemical Industrial Holding Corporation Limited
4. Vinacomin - Environment Company Limited
 | Major shareholder | Business code: 5700100256;Hanoi Authority for Planning and Investment | No. 03, Duong Donh Nghe, Cau Giay Ward, Ha Noi  | Annually | Charter of TKV | * Business Coordination Contract; Contract principles;
* Contract to transfer the right to use the VINACOMIN/TKV brand (0.3% of revenue/year of the previous year).
* Contract of trading coal: Contract principles;
* Blasting service contract;
* Environmental treatment contract;

Transaction value:* Cua Ong: VND 2,515 billion
* Logistics: VND 1,608 billion
* Chemical VND 244 billion
* Environment: VND 49 billion
 | * TKV holds 65% of the Charter capital of the Company
* The contract is in principle and has no specific value. For contracts/transactions with specific value, the value entered into must be less than 35% of the total value of assets of the Company in the latest Financial Statements.
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| 2 | Vinacomin - Tourism & Trading Joint Stock Company (including Van Long Branch); | Mrs. Nguyen Thi Luong Anh is currently Chief of the Supervisory Board of Vinacomin - DeoNai Coal JSC; Member of the Supervisory Board of Vinacomin - Tourism & Trading Joint Stock Company | Tax code:100101298 | Floor 8th, Viet A Building, Duy Tan - Dich Vong Hau Ward,Cau Giay DistrictHanoi | Annually | Resolution No. 01/NQ-HDQT dated January 16, 2023of the Board of Directors of Vinacomin - DeoNai Coal JSC on approving the contents of the Board of Directors at the first meeting session of term IV in 2023 | 1. Content of transaction:
* Serving industrial meals for 3 years.
* Trading tires of all kinds
* Transaction value: VND 65.7 billion;
 |  |
| 3 | Vinacomin - Transportation and Miner Commuting Service JSC | Mr. Nguyen Trong Tot is currently Chair of the Board of Directors of Vinacomin - DeoNai Coal JSC; Formerly Chair of the Board of Directors of Vinacomin - Transportation and Miner Commuting Service JSC | Tax code:5700477326 | No. 750 Lane 4, Phuong Mai Street, Phuong Mai Ward, Dong Da District, HanoiHoang Quoc Viet Street, Group 16, Tay Son 2 Area,Cam Son Ward,Cam Pha, Quang Ninh | Annually | Resolution No. 01/NQ-HDQT dated January 16, 2023of the Board of Directors of Vinacomin - DeoNai Coal JSCon approving the contents of the Board of Directors at the first meeting session of term IV in 2023. | 1. Content of transaction: Contract of transporting employees
2. Transaction value: VND 12.63 billion;
 | Mr. Nguyen Trong Tot will resign from the position of Chair of the Board of Directors effective from August 1, 2023; |
| 4 | Institute of Mining Science and Technology - Vinacomin | Mrs Nguyen Thi Luong Anh is currently Chief of the Supervisory Board of Vinacomin - DeoNai Coal JSC; Member of the Supervisory Board of Institute of Mining Science and Technology - Vinacomin | Tax code:0100101594 | No. 3Phan DinhGiot Street, Phuong Liet Ward, Thanh Xuan District, Hanoi | Annually | Resolution No. 01/NQ-HDQT dated January 16, 2023of the Board of Directors of Vinacomin - DeoNai Coal JSC on approving the contents of the Board of Directors at the first meeting session of term IV in 2023 | 1. Contract Purchasing and selling materials (fine manhetite powder - Development of Mining Technology and Equipment Joint Stock Company)
2. Transaction value: VND 04 billion;
 |  |
| 5 | Vinacomin - Coc Sau Coal JSC | Mrs Nguyen Thi Luong Anh is currently Chief of the Supervisory Board of Vinacomin - DeoNai Coal JSC; Chief of the Supervisory Board of Vinacomin - Coc Sau Coal JSC | Business code:5700101002 | Cam Phu WardCam PhaQuang NinhProvince | 2022-2023 | Resolution No. 01/NQ-HDQT dated January 16, 2023of the Board of Directors of Vinacomin - DeoNai Coal JSC on approving the contents of the Board of Directors at the first meeting session of term IV in 2023 | 1. Content of transaction: Electricity purchase and sale contract
2. Transaction value:

VND 2.4 million; |  |
| 6 | Vinacomin - MaoKhe Mechanical Joint Stock Company | Mr. Nguyen Trong Tot was Chair of the Board of Directors of Vinacomin - DeoNai Coal JSC; Chair of the Board of Directors of Vinacomin - MaoKhe Mechanical Joint Stock Company | Tax code:5700477326 | Nguyen Van Cu Mao Khe, Dong Trieu Town, Quang Ninh | Annually | Resolution No. 01/NQ-HDQT dated January 16, 2023of the Board of Directors of Vinacomin - DeoNai Coal JSCon approving the contents of the Board of Directors at the first meeting session of term IV in 2023 | 1. Content of transaction: Equipment repair service contract;
2. Transaction value: VND 13.7 billion.
 | Mr. Nguyen Trong Tot will resign from the position of Chair of the Board of Directors effective from August 1, 2023; |

1. Transactions between PDMR of the Company, affiliated persons of PDMR and subsidiaries, companies under the authority of the Company: None.
2. Transactions between the Company and other entities:
	1. Transactions between the Company and the companies where its members of the Board of Directors, its members of the Supervisory Board, its General Manager or its other managers had been and are founding members of those companies, or, its members of the Board of Directors or its General Manager operating those companies for the past three (03) years (as at the time of reporting) According to Appendix 03 attached.
	2. Transactions between the Company and the companies in which affiliated persons of members of the Board of Directors, members of the Supervisory Board, the Manager and other managers are members of the Board of Directors, the Executive Manager: None.
	3. Other transactions of the Company (if any) that can bring about material or non-material benefits to the members of the Board of Directors, the members of the Supervisory Board, the Manager: None.
3. SHARE TRANSACTIONS OF PDMR AND AFFILIATED PERSONS OF PDMR (ANNUAL REPORT 2023)
4. Transaction of PDMR and persons related to the Company’s shares:

No transaction in 2023

1. OTHER SIGNIFICANT ISSUES: None.