**TV3: Annual Corporate Governance Report 2023**

On January 26, 2024, Power Engineering Consulting Joint Stock Company 3 announced Report No. 29/BC-TVD3 on the corporate governance of the Company in 2023 as follows:

* Name of company: Power Engineering Consulting Joint Stock Company 3
* Headquarters address: No 32 Ngo Thoi Nhiem Street, Ward 7, District 3, Hochiminh City
* Tel: 028.22211169 Fax: 028.39307938, Email: pecc3@pecc3.com.vn
* Charter capital: VND 95,173,030,000
* Securities code: TV3
* Corporate governance model: The General Meeting of Shareholders, the Board of Directors, the Supervisory Board, and the General Manager.
* Internal audit execution:
* On June 1, 2021, the Board of Directors promulgated Regulation on Internal Audit attached with Decision No. 0118/QD-HDQT dated June 1, 2021.
* On August 24, 2021, the Board of Directors promulgated Procedure on Internal Audit attached with Decision No. 0182/QD-HDQT dated August 24, 2021.
* On June 8, 2023, Chair of the Board of Directors of the Company approved the 2023 Internal Audit Plan, according to which the internal audit department has audited the following contents:

1. Comply with regulations on management of exploitation and use of software copyright for production purposes;
2. Regulations on procurement of equipment, computers and software for serving the production work.

* On September 7 and 8, 2023, the Company’s Internal Audit department sent a notification text to the Electricity Surveying and Construction Enterprise Branch and the Central Branch, clearly stating the time, location and content which will be audited.
* On December 3, 2021, Internal Audit department completed the audit process at 02 Branches under the Company and agreed on the Audit Minutes.
* On December 8, 2021, Internal Audit department sent the Internal Audit Report to the Board of Directors and General Manager of the Company.
* On October 18, 2023, the Chair of the Board of Directors based on the report of the internal audit department to convene a meeting and issue notice No. 238/TB-HDQT-TVD3, in which clearly stated the content requested the implementation of the Company to meet certain internal audit requirements.

1. Activities of the General Meeting of Shareholders:

On June 22, 2023, Power Engineering Consulting Joint Stock Company 3 held the Annual General Meeting of Shareholders 2023 at the company's headquarters at No. 32 Ngo Thoi Nhiem Street, Vo Thi Sau Ward, District 3, Ho Chi Minh City. Participants attending the Meeting included members of the Board of Directors, the Supervisory Board, 22 shareholders and representatives of shareholders representing 7,277,173 shares, accounting for 76.46% of the total shares of the Company (charter capital at the time of the General Meeting of Shareholders is VND 95,173,030,000). The guests are representatives of Vietnam Electricity‘s Board of Capital Investment Management, VP Invest Company Limited and VACO Auditing Company Limited. The General Meeting of Shareholders announced the following General Mandate:

|  |  |  |  |
| --- | --- | --- | --- |
| No. | General Mandate No. | Date | Main contents |
| 1 | 135/NQ- DHDCD-TVD3 | June 22, 2023 | Article 1: Approve (i) The production and business results in 2022, and (ii) the production and business plan for 2023 of Power Engineering Consulting Joint Stock Company 3 with basic targets as follows:  Article 2: Approve (i) the audited financial results 2022 and (ii) the Financial plan for 2023 with main targets as follows:  Article 3: Approve (i) the Plan on profit distribution 2022 and (ii) the Plan on profit distribution 2023 of the Company as follows:  Article 4: Approve (i) the salary and remuneration settlement for the Board of Directors and the Supervisory Board in 2022 and the plan on salary and remuneration for the Board of Directors and the Supervisory Board in 2023:  Article 5: Authorize the Board of Directors to approve the list of independent audit companies in the period of 2023-2025 based on the proposal of the Supervisory Board, select an independent audit company to audit the Financial Statements of the Company from 2023 to 2025, and dismiss the independent auditor if it is necessary.  Article 6: Approve the investment policy on the construction of the office of EVNPECC3 in Thu Duc City, Ho Chi Minh City, located at Lot No. 8, the administrative center in Thanh My Loi Ward, Thu Duc City, Ho Chi Minh City, to be suitable for the needs in working and the development orientation in the coming time of EVNPECC3.  Assign the Board of Directors of EVNPECC3 to consider, decide, and take full responsibility in accordance with the law in carrying out thriftily and effectively the investment procedures for construction projects of the Head office located at Lot No. 08, the administrative center in Thanh My Loi Ward, Thu Duc City, Ho Chi Minh City in accordance with regulations.  Article 7: Amendments and supplements to the Company's Charter (the 12th time):  Assign the Legal representative to sign and promulgate the Company's Charter (the 12th amendment) and authorize the Legal representative of the Company to carry out necessary procedures in accordance with the law to complete the detailed adjustments of a number of business lines and the Business Registration Certificate.  Article 8: Approve the amendments and supplements and assign the Chair of the Board of Directors to sign and promulgate the Internal regulations on corporate governance of Power Engineering Consulting Joint Stock Company 3  Article 9: The General Meeting of Shareholders 2023 of Power Engineering Consulting Joint Stock Company 3 approved this General Mandate with nine articles and assigned tasks to the Board of Directors to implement. |

1. The Board of Directors
2. Information about members of the Board of Directors:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | Member of the Board of Directors | Position (independent member, non-executive member of the Board of Directors) | Date of appointment/dismissal as member/independent member of the Board of Directors | |
| Appointment date | Date of dismissal |
| 1 | Mr. Nguyen Nhu Hoang Tuan | Chair of the Board of Directors | June 24, 2022. |  |
| 2 | Mr. Lac Thai Phuoc |  | June 24, 2022. |  |
| 3 | Mr. Tran Quoc Dien |  | June 24, 2022. |  |
| 4 | Mr. Tran Le Minh |  | June 24, 2022. |  |
| 5 | Mr. Vo Van Phuong | Independent member of the Board of Directors | June 24, 2022. |  |

1. Board Resolutions:

|  |  |  |  |
| --- | --- | --- | --- |
| No. | Board Resolution No. | Date | Main contents |
| 1 | 02/NQ-HDQT- TVD3 (Regular meeting of the Board of Directors of Q1) | January 06, 2023 | ‎‎Article 1. The Board of Directors records and submits to the General Meeting of Shareholders for approval the production and business results in 2022 and the plan on producition and business for 2023.  ‎‎Article 2. Approve the salary unit price in 2023. ‎‎Article 3. Approve the Regulations on providing and disclosing information, authorizing the Chair of the Board of Directors to sign the promulgation decision.  ‎‎Article 4. Organize the Annual General Meeting of Shareholders 2023 expected at the end of April 2023 and assign the Company to carry out preparations to implement the above plan. |
| 2 | 24/NQ-HDQT-TVD3 (Extraordinary Meeting of the Board of Directors) | February 28, 2023 | ‎‎Article 1. The Annual General Meeting of Shareholders 2023 of Power Engineering Consulting Joint Stock Company 3 will meet on Thursday, April 27, 2023. ‎‎Article 2. Record date for making the list of Securities Owners attending the Annual General Meeting of Shareholders 2023 is Friday, March 24, 2023. |
| 3 | 47/NQ-HDQT-TVD3 (Extraordinary Meeting of the Board of Directors) | March 27, 2023 | ‎‎Article 1. Mr. Tran Quoc Dien stops being the Representative of Power Engineering Consulting Joint Stock Company 3 's capital at Thuan Binh Wind Power Joint Stock Company from the date Thuan Binh Wind Power Joint Stock Company holds the Annual General Meeting of Shareholders 2023.  ‎‎Article 2. Mr. Ho Anh Tung - Head of Power Engineering Consulting Joint Stock Company 3 ‘s Business Planning Department as the Representative of Power Engineering Consulting Joint Stock Company 3 's capital shares is a candidate for Board of Directors member at the 2023 Annual General Meeting of Shareholders of Thuan Dinh Wind Power Joint Stock Company. |
| 4 | 61/NQ-HDQT- TVD3 (Regular meeting of the Board of Directors in Q2) | April 05, 2023 | ‎‎Article 1. Postpone the Annual General Meeting of Shareholders 2023 from April 27, 2023 to June 22, 2023.  ‎‎Article 2. Record the business results in Q1/2023 and directions for implementing the production and business plan in Q2/2023. |
| 5 | 64/NQ-HDQT- TVD3 (Regular meeting of the Board of Directors in Q2) | April 05, 2023 | ‎‎Article 1. Approve the salary settlement in 2022. |
| 6 | 65/NQ-HDQT- TVD3 (Regular meeting of the Board of Directors in Q2) | April 05, 2023 | ‎‎Article 1. Approve the divestment plan of Power Engineering Consulting Joint Stock Company 3 at Central Hydropower Joint Stock Company.  Article 2. Authorizes the Chair of the Board of Directors to promulgate a decision on approving the divestment plan and the plan to ensure the offering meets regulations on the rate of foreign ownership at Central Hydropower Joint Stock Company.  ‎‎Article 3. Assign the General Manager to implement and coordinate with Southern Power Corporation Contact Center in preparing necessary procedures and documents as required by competent authorities until completing the transfer of capital and the parties sign the minutes on liquidating authorization contract. |
| 7 | 66/NQ-HDQT- TVD3 (Regular meeting of the Board of Directors in Q2) | April 05, 2023 | ‎‎Article 1. Approved the plan to ensure the offering meets regulations on the rate of foreign ownership at Central Hydropower Joint Stock Company. |
| 8 | 69/NQ-HDQT-TVD3 (Extraordinary Meeting of the Board of Directors) | April 06, 2023 | ‎‎Article 1. Approve the contents for the Representative of Power Engineering Consulting Joint Stock Company 3 's capital at Thuan Binh Wind Power Joint Stock Company to vote at the Annual General Meeting of Shareholders 2023 of Thuan Binh Wind Power Joint Stock Company. |
| 9 | 85/NQ-HDQT-TVD3 (Extraordinary Meeting of the Board of Directors) | April 28, 2023 | ‎‎Article 1. Mr. Lac Thai Phuoc - Acting General Manager of the Company: Concurrently hold the position of Manager of the Electrical Survey & Construction Enterprise Branch from May 1, 2023 until the Board of Directors has another Resolution, replacing Mr. Nguyen Quoc Hoan, Manager of the Electrical Survey & Construction Enterprise Branch who will retire as regime from May 1, 2023. |
| 10 | 105/NQ-HDQT-TVD3 (Extraordinary Meeting of the Board of Directors) | June 02, 2023 | ‎‎Article 1. Appoint Mr. Nguyen Si Trung - Deputy Manager of Branch of Surveying & Electrical Construction Enterprise, to take on the position of Manager of the Electrical Survey & Construction Enterprise Branch from June 1, 2023 and authorize the Chair of the Board of Directors to sign the appointment decision. |
| 11 | 121/NQ-HDQT- TVD3 (Regular meeting of the Board of Directors in Q3) | June 21, 2023 | ‎‎Article 1. Record the production and business results in Q2/2023 and directions for implementing the production and business plan for Q3. The Board of Directors requested the Company to continue closely following the market, searching for and implementing contracts to ensure the completion of the production and business plan for Q3 and the whole year 2023.  ‎‎Article 2. Approve the results of appraisal of EVN International Joint Stock Company shares as of December 31, 2022 according to the draft appraisal certificate performed by AASC Auditing Firm Company Limited.  Agree on the proposed price to select the reserve price for transferring EVN International Joint Stock Company shares equal to the proposed level in the draft valuation certificate.  ‎‎Article 3. Agree to approve documents for the Annual General Meeting of Shareholders 2023, assign the Chair of the Board of Directors and General to sign the documents and submit to the 2023 Annual General Meeting of Shareholders 2023 on June 22, 2023. |
| 12 | 122/NQ-HDQT- TVD3 (Regular meeting of the Board of Directors in Q3) | June 21, 2023 | ‎‎Article 1. Approve the Company’s contracts signed with Affiliated Persons in QII/2023. Approve the expected list of contracts which the Company will sign with affiliated persons in QIII/2023. |
| 13 | 146/NQ-HDQT-TVD3 (Extraordinary Meeting of the Board of Directors) | June 27, 2023 | ‎‎Article 1. Approve the list of Independent Audit companies for the period of 2023-2025 proposed by the Supervisory Board.  ‎‎Article 2. Assign the Company to carry out procedures according to the provisions of law to select an Auditing Company from the list of companies mentioned above and submit to the Board of Directors for consideration and approval. |
| 14 | 169/NQ-HDQT-TVD3 (Extraordinary Meeting of the Board of Directors) | July 20, 2023 | Article 1. Continue assigning Mr. Lac Thai Phuoc as the Acting General Manager until there is a decision on consolidating the position of the General Manager of the Company.  ‎‎Article 2. Pay dividends in 2022 in cash with the following specific contents:   * Record date to receive dividends: December 08, 2023 * Dividend payment rate in cash: 5% (Shareholders receive VND 500 for every share they own) * Payment time: December 15, 2023 * Payment venue: * For deposited shares: Owners implement the procedures to receive dividends at Depository Members where depository accounts were opened. * For undeposited shares: Owners implement the procedures to receive dividends at the Finance and Accounting Department of Power Engineering Consulting Joint Stock Company 3 from December 15, 2023, on the working days and present Share Certificates, ID card/Citizen identity card (or legal Power of Attorney)   ‎‎Article 3. Establish the Project Management Board for construction investment of EVNPECC3 in Thu Duc City, Ho Chi Minh City (Project Management Board). Authorize the Chair of the Board of Directors to sign the decision on establishing the Project Management Board with its functions and duties.   * Appoint Mr. Tran Quoc Dien - Member of the Board of Directors-cum-Deputy General Manager in charge of the field of investment, communication, and marketing to perform as the Manager of the Project Management Board, from July 20, 2023. * The advisory apparatus supporting the Manager of the Project Management Board will be established and supplemented according to the project investment process. In the investment preparation stage, the personnel of the Project Management Board will work as non-executive personnel; the Project Management Board will be consolidated and work according to the executive regime during the stage of implementing the project. Assign the General Manager to sign decisions on consolidating the remaining position of the Project Management Board, and allowance of the non-executive positions according to the regulations of the Company. |
| 15 | 170/NQ-HDQT-TVD3 (Extraordinary Meeting of the Board of Directors) | July 20, 2023 | ‎‎Article 1. Approve the results of contractor selection for the package of Providing financial statement audit services for the period 2023-2025 of Power Engineering Consulting Joint Stock Company 3, under the project: Audit the financial statements for the 2023-2025 period of Power Engineering Consulting Joint Stock Company 3 with the following contents:   * Name of selected bidder: VACO Auditing Company Limited * Winning bid value before tax: VND 283,500,000 (value-added tax according to regulations). * Type of contract: Full package * Contract execution time: 36 months. Assign the General Manager to sign decision on approving the contractor selection results and complete and sign the contract with the winning contractor mentioned above.   ‎‎Article 2. Approve the "Regulations on decentralization of management in the Company" issued: 02 times and authorize the Chair of the Board of Directors to sign the promulgation decision.  ‎‎Article 3. Apply the salary and remuneration of members of the Board of Directors and Supervisory Board Members in accordance with the salary and remuneration approved by the Annual General Meeting of Shareholders. The above salary is the basis for calculating and implementing prescribed regimes and policies from July 1, 2023. |
| 16 | 194/NQ-HDQT-TVD3 (Extraordinary Meeting of the Board of Directors) | August 23, 2023 | ‎‎Article 1. Approving the Draft Valuation Report and Certificate of Share Valuation at Central Hydropower Joint Stock Company (CHP) as of December 31, 2022 by International Consulting & Auditing Company Limited performed with the appraised value of: VND 73,115/share  ‎‎Article 2. Assign the Company to carry out procedures as the provision of law to divest capital at Central Hydropower Joint Stock Company (CHP). |
| 17 | 215/NQ-HDQT-TVD3 (Extraordinary Meeting of the Board of Directors) | September 27, 2023 | ‎‎Article 1. Approve the policy of allowing to sign the consulting service contracts "Design, supply, installation of hydraulic mechanical equipment and construction works (EPC) - Nam Neun 1 Hydropower Plant Project, Lao People's Democratic Republic" between Phongsubthavy Group CO., LTD and the Joint Venture of Construction Joint Stock Company 47 - Power Engineering Consulting Joint Stock Company 3.  ‎‎Article 2. Assign the General Manager to sign and organize the implementation of the consulting service contract mentioned above. |
| 18 | 222/NQ-HDQT-TVD3 (Extraordinary Meeting of the Board of Directors) | September 29, 2023 | ‎‎Article 1. Approve the plan to transfer Power Engineering Consulting Joint Stock Company 3 's investment capital at Central Hydropower Joint Stock Company (CHP).  ‎‎Article 2. Assign the Company to coordinate with Southern Power Corporation in preparing necessary documentation procedures as required by competent authorities (if any) until completing the transfer of capital and the parties sign the Minutes on liquidating authorization contract. |
| 19 | 229/NQ-HDQT-TVD3 (Regular Board meeting in Q4/2021) | October 05, 2023 | ‎‎Article 1. Record the production and business results in Q3/2023 and directions for implementing the production and business plan in QIV/2023. The Board of Directors requires the Company to continue to closely follow the market, seek and implement contracts, and use costs effectively to ensure the completion of the production and business plan for QIV and the whole year 2023.  ‎‎Article 2. Approve the policy for the Representative to report to Vietnam Electricity for approving the policy of nominating Mr. Lac Thai Phuoc - Member of the Board of Directors, Acting General Manager of the Company - to hold the position of General Manager of Power Engineering Consulting Joint Stock Company 3.  ‎‎Article 3. On the policy of re-appointing leadership and management positions of the Company for Mr. Tran Le Minh - Deputy General Manager of the Company, continues to hold the position of Deputy General Manager of Power Engineering Consulting Joint Stock Company 3 and Mr. Pham Hoang Vinh - Chief Accountant of the Company, continues to hold the position of Chief Accountant of Power Engineering Consulting Joint Stock Company 3: Assign the Company’s Chair of the Board of Directors to preside over the implementation of the re-appointment review process and report to the Board of Directors to approve the policy of reappointing the leadership and management positions mentioned above.  ‎‎Article 4. Approve the Regulations on management and capital investment and the capital representative of Power Engineering Consulting Joint Stock Company 3 at subsidiaries and affiliated companies. Assign the Company’s Chair of the Board of Directors to sign the decision to promulgate the Regulations on management and capital investment and the representative of Power Engineering Consulting Joint Stock Company 3 's capital share in subsidiaries and affiliated companies.  ‎‎Article 5. Approve the content of the Authorization Contract between the Company’s Board of Directors and Mr. Ho Anh Tung, Representative of Power Engineering Consulting Joint Stock Company 3 's capital at Thuan Binh Wind Power Joint Stock Company on the management of Power Engineering Consulting Joint Stock Company 3 's capital at Thuan Wind Power Joint Stock Company. Jar.  Assign the Company’s Chair of the Board of Directors to sign the above Authorization Contract with Mr. Ho Anh Tung. |
| 20 | 230/NQ-HDQT-TVD3 (Regular Board meeting in Q4/2021) | October 05, 2023 | ‎‎Article 1. Approve the contracts that the Company signed with Affiliated Persons in QIII/2023 with a number of 34 contracts and athe total value of contracts is VND 63.74 billion.  ‎‎Article 2. Approve the expected list of contracts that the Company will sign with Affiliated persons in QIV/2023 with the number of about 07 contracts and the total expected value of the contracts is VND 51.85 billion. |
| 21 | 260/NQ-HDQT-TVD3 (Extraordinary Meeting of the Board of Directors) | 11/23/2023 | ‎‎Article 1. Approve the draft consulting service contract agreement (contract agreement) "Design, supply, installation of hydraulic mechanical equipment and construction works (EPC) - Nam Neun Hydropower Plant Project 1, Lao Lao People's Democratic Republic" between Phongsubthavy Group co., LTD and the Joint Venture of Construction Joint Stock Company 47 - Power Engineering Consulting Joint Stock Company 3.  ‎‎Article 2. Assign the General Manager to study the comments of the members of the Board of Directors to complete and sign the contract according to the provisions of the Charter of Power Engineering Consulting Joint Stock Company 3 and the provision of current law and organize the implementation of the above consulting service contract. |
| 22 | 277/NQ-HDQT-TVD3 (Extraordinary Board meeting) | December 26, 2023 | ‎‎Article 1. Approve the policy for the Representative to report to Vietnam Electricity for approving the policy to re-appoint Mr. Pham Hoang Vinh to continue holding the position of Chief Accountant of Power Engineering Consulting Joint Stock Company 3 from January 1, 2024. |

1. The Supervisory Board (Annual Report 2021)
2. Information about members of the Supervisory Board

The Supervisory Board was elected for the 2022-2027 period at the Annual General Meeting of Shareholders 2022 as follows:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Supervisory Board | Position | Date of appointment as member of the Supervisory Board. | Qualification |
| 1 | Ms. Truong Thi Anh Dao | Head of the Supervisory Board | June 24, 2022. | Master of Business Administration |
| 2 | Ms. Nguyen Minh Hieu | Member of the Supervisory Board | June 24, 2022. | Master of Finance |
| 3 | Mr. Nguyen Van Thien | Member of the Supervisory Board | June 24, 2022. | Bachelor of Accounting |

1. The Executive Board

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Members of the Executive Board | Date of birth | Qualification | Date of appointment/dismissal as member of the Executive Board |
| 1 | Mr. Lac Thai Phuoc (Acting General Manager) | January 09, 1967 | Master of Electrical Engineer | Appointed from June 24, 2022. |
| 2 | Mr. Tran Le Minh (Deputy General Manager) | March 2, 1966 | Electrical systems Engineer | Appointed from February 01, 2009. |
| 3 | Mr. Tran Quoc Dien (Deputy General Manager) | July 29, 1970 | Electrical Engineer | Appointed from May 01, 2021. |
| 4 | Mr. Nguyen Cong Thang - Deputy General Manager | March 23, 1970 | Electrical systems Engineer | Appointed from September 01, 2022 |

1. Chief Accountant.

|  |  |  |  |
| --- | --- | --- | --- |
| Full name | Date of birth | Professional Qualification | Appointment date |
| Mr. Pham Hoang Vinh | October 26, 1971 | Bachelor of Finance and Accounting | January 01, 2019 |

1. Training on corporate governance:
2. List of related person of the public Company and transactions between the related person of the Company with the Company itself
3. Transactions between the Company and affiliated persons of the Company; or between the Company and major shareholders, PDMR and affiliated persons:

Unit: Billion VND

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Number | Name of organization/individual | | Affiliated relation with the company | NSH\* No., Date of issue, Place of issue | Headquarters address/Contact address | Time of transaction | General Mandate/Decision of the General Meeting of Shareholder No. or Board Resolution/Decision No. (including date of promulgation, if any) | Contents quantity, total value of transaction | | |
| Content | Quantity | Total transaction value |
| 1 | Project Management Board of Southern Power Projects - Branch of National Power Transmission Corporation | | Affiliated person of the Company |  |  | Q1/2023 | 61/NQ-HDQT-TVD3, dated April 05, 2023 | Board of Members: Surveys, Technical design, construction drawing design, bidding documents for power grid projects | 05 | 13.52 |
| Q2/2023 | 122/NQ-HDQT-TVD3 dated June 21, 2023 | Board of Members: Surveys, Technical design, construction drawing design, bidding documents for power grid projects | 04 | 26.16 |
| Q3/2023 | 230/NQ-HDQT-TVD3, dated October 05, 2023 | Board of Members: Surveys, Technical design, construction drawing design, bidding documents for power grid projects | 03 | 4.81 |
| Q4/2023 | 12/NQ-HDQT-TVD3 dated January 09, 2024 | Board of Members: Surveys, Technical design, construction drawing design, bidding documents for power grid projects | 07 | 7.54 |
| 2 | Central Power Project Management Board - National Power Transmission Corporation | | Affiliated person of the Company |  |  | Q1/2023 | 61/NQ-HDQT-TVD3, dated April 05, 2023 | Board of Members: Surveys, new investments, feasibility study reports of power grid projects. | 01 | 2.32 |
| Q3/2023 | 230/NQ-HDQT-TVD3, dated October 05, 2023 | Board of Members: Surveys, Technical design, construction drawing design, bidding documents for power grid projects | 05 | 31.95 |
| Q4/2023 | 12/NQ-HDQT-TVD3, dated January 09, 2024 | Board of Members: Payment for power grid design and construction | 01 | 5.03 |
| 3 | | Northern Power Project Management Board - Branch of National Power Transmission Corporation | Affiliated person of the Company |  |  | Q2/2023 | 122/NQ-HDQT-TVD3, dated June 21, 2023 | Board of Members: Payment for power grid design and construction | 01 | 0.6 |
| 4 | | Song Bung 2 Hydropower Project Management Board - Power Generation Corporation Branch (EVN Gen 2) | Affiliated person of the Company |  |  | Q3/2023 | 230/NQ-HDQT-TVD3, dated October 05, 2023 | Board of Members: Adjust Total Investment. | 01 | 0.074 |
| 5 | | Da Nhim - Ham Thuan - Da Mi Hydro power joint stock company | Affiliated person of the Company |  |  | Q1/2023 | 61/NQ-HDQT-TVD3, dated 4/5/2023 | Don Duong spillway repair, KS, design, crack repair for Ham Thuan and Da Nhim hydroelectric plants. | 03 | 7.92 |
| Q3/2023 | 230/NQ-HDQT-TVD3, dated October 05, 2023 | Monitoring displacement of Da Nhim Hydropower Plant, Song Pha and Da Nhim MR pipeline | 01 | 4.6 |
| 6 | | Southern Power Project Management Board - Branch of Southern Power Corporation | Affiliated person of the Company |  |  | Q1/2023 | 61/NQ-HDQT-TVD3 dated April 05, 2023. | Board of Members: Verifying power grid projects. | 04 | 0.63 |
| Q2/2023 | 122/NQ-HDQT-TVD3 dated June 21, 2023 | Board of Members: Examine power grid projects | 02 | 1.47 |
| Q3/2023 | 230/NQ-HDQT-TVD3, dated October 05, 2023 | Board of Members: Surveys, Technical design, construction drawing design, bidding documents for power grid projects | 09 | 11.95 |
| 7 | | The Management Board of Electrictity 3 Project - Branch of Vietnam Electricity | Affiliated person of the Company |  |  | Q3/2023 | 230/NQ-HDQT-TVD3, dated October 05, 2023 | Board of Members: Feasibility study report of the O Mon 4 Thermal Power Plant project | 01 | 2.15 |
| 8 | | Thac Mo Hydro Power Joint Stock Company | Affiliated person of the Company |  |  | Q4/2023 | 12/NQ-HDQT-TVD3, dated January 09, 2024 | Board of Members: Evaluation of the H2 generator set after 27 years of operation at Thac Mo Hydro Power Joint Stock Company. | 01 | 3.45 |
| 9 | | Song Ba Ha Hydro Power Joint Stock Company | Affiliated person of the Company |  |  | Q1/2023 | 61/NQ-HDQT-TVD3, dated April 05, 2023 | Board of Members: Deformation monitoring - 13th cycle of Ba Ha River Hydropower Plant. | 01 | 1.17 |
| Q3/2023 | 230/NQ-HDQT-TVD3, dated October 05, 2023 | Board of Members: Consultation on the repair of overflow chambers and repair tunnels, respond to emergency situations, repair dam roofs to reinforce landslides, measure deformation and evaluate Cracks, evaluate higher saturation lines in the design and pits for monitoring Piezometer in the Ba Ha River hydropower dam body. | 06 | 5.56 |
| Q4/2023 | 12/NQ-HDQT-TVD3 dated January 09, 2024 | Board of Members: Measure, monitor deformation and stability of CK 14 item, analyze saturation line to evaluate dam safety and stability. | 01 | 1.7 |
| 10 | | Duyen Hai Thermal Power Company - Power Generation Corporation 1 Branch | Affiliated person of the Company |  |  | Q2/2023 | 122/NQ-HDQT-TVD3, dated June 21, 2023 | Board of Members: Monitoring the movement of mole, subsidence of sea embankments, dikes surrounding DH1 and DH3 thermal power plants | 01 | 0.89 |
| Q3/2023 | 230/NQ-HDQT-TVD3, dated October 05, 2023 | Board of Members: Survey, evaluate the current status, inspect the structure, make technical plans and estimate for repairing the coal warehouse of Duyen Hai 1 Thermal Power Plant. | 01 | 0.75 |
| 11 | | Phu My Thermal Power Company - Power Generaltion Joint Stock Corporation 3 Branch | Affiliated person of the Company |  |  | Q3/2023 | 230/NQ-HDQT-TVD3, dated October 05, 2023 | Board of Members: Feasibility study report on installing transformer busbar and F27 low-voltage load shedding circuit for the 220kV station - Phu My Thermal Power Plant | 01 | 0.4 |
| 12 | | Vinh Tan Thermal Power Company - Power Generaltion Joint Stock Corporation 3 Branch | Affiliated person of the Company |  |  | Q3/2023 | 230/NQ-HDQT-TVD3, dated October 05, 2023 | Board of Members: Feasibility study report for installing inverter control for smoke fans of Vinh Tan 2 Thermal Power Plant. | 01 | 0.36 |
| 13 | | Hoabinh Hydropower Company | Affiliated person of the Company |  |  | Q3/2023 | 230/NQ-HDQT-TVD3, dated October 05, 2023 | Board of Members: Examine and prepare the plan to repair subsidence and displacement monitoring marks. | 01 | 0.47 |
| 14 | | Branch of Power Generation Corporation 1 - Song Tranh Hydropower Joint Stock Company | Affiliated person of the Company |  |  | Q3/2023 | 230/NQ-HDQT-TVD3, dated October 05, 2023 | Board of Members: Major repair of the speed regulation system of generator sets H1, H2 and foundation pit roofs on the left and right shoulders of the downstream of the weir. | 01 | 0.075 |
| 15 | | Buon Kuop Hydro Power Company - Branch of Power Generation Joint Stock Corporation 3 | Affiliated person of the Company |  |  | Q3/2023 | 230/NQ-HDQT-TVD3, dated October 05, 2023 | Monitoring the displacement of Buon Tua Srah, Buon Kuop and Srepok 3 hydropower plants in 2023. | 01 | 1.93 |
| 16 | | DongNai Hydro Power Company - Branch of Power Generation Corporation 1 | Affiliated person of the Company |  |  | Q4/2023 | 12/NQ-HDQT-TVD3, dated January 09, 2024 | Board of Members: Measuring the current status of land areas which no longer needed to serve land restitution in Dak Nong and Lam Dong provinces, Dong Nai 3 and 4 Hydropower plant. | 02 | 2.95 |
| 17 | | Tri An Hydropower Company - Branch of Vietnam Electricity | Affiliated person of the Company |  |  | Q3/2023 | 230/NQ-HDQT-TVD3, dated October 05, 2023 | Board of Members: Vertical and horizontal shifts in the 13th period of 2023 of Tri An hydropower plant. | 01 | 1.22 |
| 18 | | Power Engineering Consulting JSC 2 | Affiliated person of the Company |  |  | Q4/2023 | 12/NQ-HDQT-TVD3, dated January 09, 2024 | Measuring and drawing extracts of p/v map for site clearance of 220kV transmission line connecting An Khanh - Bac Giang Thermal Power Plant to the National Power System. | 01 | 0.52 |
| 19 | | BaRia Thermal Power Joint Stock Company | Affiliated person of the Company |  |  | Q2/2023 | 122/NQ-HDQT-TVD3, dated June 21, 2023 | Board of Members Evaluate the effectiveness of the Tan Thuong Hydropower Project. | 01 | 0.61 |
|  | |  |  |  |  | Q3/2023 | 230/NQ-HDQT-TVD3 dated October 05, 2023 | Board of Members Investment report of gas turbine units GT1 and GT2 (F5) of Ba Ria Thermal Power Joint Stock Company. | 01 | 0.17 |
| 20 | | Power Generation Joint Stock Corporation 2 | Affiliated person of the Company |  |  | Q4/2023 | 12/NQ-HDQT-TVD3, dated January 09, 2024 | Surveys and Feasibility study report of upgrading telecommunications transmission network infrastructure to serve production supervision and management throughout Power Generation Corporation 2 | 01 | 0.4 |
| 21 | | Power Generation Joint Stock Corporation 3 | Affiliated person of the Company |  |  | Q1/2023 | 61/NQ-HDQT-TVD3, dated April 05, 2023 | Board of Members: Feasibility study report for the construction of the Head office of Power Generation Joint Stock Corporation 3 in Ho Chi Minh City | 01 | 0.18 |
| 22 | | Vinh Tan 4 Thermal Power Plant - Branch of Vietnam Electricity | Affiliated person of the Company |  |  | Q3/2023 | 230/NQ-HDQT-TVD3, dated October 05, 2023 | Monitoring subsidence and displacement of construction items of Vinh Tan 4 Thermal Power Plant and Phuoc Thai 1 Solar Power Plant. | 01 | 0.39 |
| 23 | | Ba Ria - Vung Tau Power Company | Affiliated person of the Company |  |  | Q2/2023 | 122/NQ-HDQT-TVD3 dated June 21, 2023 | Board of Members: Feasibility study report for the installation of 2 Long Dien 110kv Station, An Hoi Electric Power Plan | 02 | 0.13 |
| 24 | | Binh Phuoc Electricity Company (tentatively translated) | Affiliated person of the Company |  |  | Q3/2023 | 230/NQ-HDQT-TVD3 dated October 05, 2023 | Board of Members:  Measure and plot the land acquisition plot of the 110kV exposed clearance project from the 220kV Phuoc Long station | 01 | 0.78 |
| 25 | | Se San Hydropower Development Company (tentatively translated) - Branch of Vietnam Electricity | Affiliated person of the Company |  |  | Q2/2023 | 122/NQ-HDQT-TVD3 dated June 21, 2023 | Waterproof treatment of the main dam upstream of the dam from heat gap K1 to K10. | 01 | 0.064 |
| 26 | | Cantho Thermal Power Company - Branch of Power Generation Joint Stock Corporation 2 | Affiliated person of the Company |  |  | Q2/2023 | 122/NQ-HDQT-TVD3 dated June 21, 2023 | 3D digitization of power plants and building a management system of technical and maintenance information for all equipment, structures, and machine elements on a 3D digital platform and Industrial Revolution 4.0. | 01 | 0.045 |
| 27 | | Power Transmission Company No2 | Affiliated person of the Company |  |  | Q2/2023 | 122/NQ-HDQT-TVD3 dated June 21, 2023 | Board of Members: 220kV Dong Ha HM substation: Technical design of rooftop solar power system. | 01 | 0.026 |
| 28 | | Power Transmission Company No3 | Affiliated person of the Company |  |  | Q2/2023 | 122/NQ-HDQT-TVD3 dated June 21, 2023 | Verify the basic design, feasibility study report for the Completion of the electrical connection diagram of PTC3 substations. | 01 | 0.099 |

1. Transactions between Company’s PDMR, affiliated persons of PDMR and subsidiaries or companies controlled by the Company: None
2. Transactions between the Company and other entities/
   1. Transactions between the Company and the companies where members of the Board of Directors, members of the Supervisory Board, the General Manager and other managers have been founding members or members of the Board of Directors, the Executive General Manager for the past three (03) years (as at the time of reporting)

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| No. | Name of organization/individual | Relationship | Business Registration Certificate No., Date of issue, Place of issue | Headquarters address/Contact address | Time of transaction | Approved General Mandate/Decision No. of the General Meeting of Shareholders and Board Resolutions/Decisions (including promulgation date if any) | Content, quantity, total value of transaction |
| 1 | BaRia Thermal Power Joint Stock Company | Affiliated person of PDMR |  |  | Q2/2023 | 122/NQ-HDQT-TVD3, dated June 21, 2023 | * Board of Members Evaluate the effectiveness of Tan Thuong hydroelectric project; * Contract No. 01; * Total transaction value: VND 0.61 billion; |
| Q3/2023 | 230/NQ-HDQT-TVD3 dated October 05, 2023 | * Board of Members Investment report on gas turbine units GT1 and GT2 (F5) of BaRia Thermal Power Joint Stock Company; Contract No.: 01; * Total transaction value: VND 0.17 billion; |

* 1. Transactions between the Company and the companies in which affiliated persons of members of the Board of Directors, members of the Supervisory Board, the Manager (General Manager) and other managers are members of the Board of Directors, the Executive Manager (General Manager): None
  2. Other transactions of the Company (if any) which can bring material or non-material benefits to members of the Board of Directors, members of the Supervisory Board, the General Manager and other managers: None

1. Share transactions of PDMR and affiliated persons of PDMR
2. Share transaction of PDMR and affiliated persons related to the Company's shares:

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| No. | Transaction conductor | Position/Relations with the PDMR | Number of shares owned at the beginning of the period | | Number of shares owned at the end of the period | | Reason for increase/decrease (purchase, sell, or award,...) |
| Number of shares | Rate | Number of shares | Rate |
| 1 | Mr. Tran Quoc Dien | Deputy General Manager | 533,550 | 5.606% | 63,550 | 0.668% | Restructuring Investment portfolio |
| 2 | Ms. Bui Thi Kim Yen | Wife of Deputy General Manager - Tran Quoc Dien | 245,275 | 2.577% | 422,000 | 4.434% | Increase investment rate |

1. Other significant issues: None.