**SCC: Annual Corporate Governance Report 2023**

On January 29, 2024, SHB Trading Investment Joint Stock Company announced Report No. 02/BC-SCC on corporate governance 2023 as follows:

* Name of public company: SHB Trading Investment Joint Stock Company
* Head office address: Group 9, Tan Hoa Ward, Hoa Binh City, Hoa Binh Province
* Tel: 0916 023 638 Fax: 02183.854138
* Email: congtyhlhb@gmail.com
* Charter capital: VND 48,849,000,000
* Securities code: SCC
* Corporate Governance Model: The General Meeting of Shareholders, the Board of Directors, the Supervisory Board and the General Manager
1. Activities of the General Meeting of Shareholders:

Information about the meetings and General Mandates/Decisions of the General Meeting of Shareholders (including General Mandates approved by collecting opinions via a ballot)

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| --- | --- | --- | --- |
| No. | General Mandate/Decision of the General Meeting of Shareholders No. | Date | Contents |
| 1 | 01-2023/NQ-DHDCD | May 07, 2023 | * Report of the Board of Directors on the production and business results in 2022 and the targets of the development plan for 2023;
* Report of the Supervisory Board in 2022;
* The Audited Financial Statements 2022;
* Select an audit company for the Financial Statements 2023;
* Other contents (if any)
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1. Activities of the Board of Directors:
2. Information about members of the Board of Directors:

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| No. | Member of the Board of Directors | Position | Date of appointment as member of the Board of Directors | Date of dismissal as member of the Board of Directors | Number of attended meetings | Rate | Reason for absence |
| 1 | Nguyen Thi Ha | Chair of the Board of Directors | June 21, 2022 |  | 1 | 100% |  |
| 2 | Tran Anh Anh | Member of the Board of Directors | June 21, 2022 |  | 1 | 100% |  |
| 3 | Nguyen Tu Hao | Member of the Board of Directors | May 29, 2020 |  | 1 | 100% |  |

1. Board Resolutions/Board Decisions:

|  |  |  |  |
| --- | --- | --- | --- |
| No. | Resolution/Decision No. | Date | Contents |
| 1 | 0103/NQ-HDQT/SCC | March 01, 2023 | Convene the Annual General Meeting of Shareholders 2023 |
| 2 | 17/03/2023/NQ-HDQT/SCC | March 17, 2023 | Postpone the Annual General Meeting of Shareholders 2023 |
| 3 | 2003/NQ-HDQT/SCC | March 20, 2023 | Convene the Annual General Meeting of Shareholders 2023 |

1. Supervisory Board:
2. Information about members of the Supervisory Board:

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| No. | Member of the Supervisory Board | Position | Date of appointment as member of the Supervisory Board. | Date of dismissal as member of the Supervisory Board | Number of attended meetings | Rate | Reason for absence |
| 1 | Do Thi Phuong | Chief of the Supervisory Board | June 21, 2022 |  | 1 | 100% |  |
| 2 | Tran Thi Oanh | Member of the Supervisory Board | 2019 (re-appointed) |  | 1 | 100% |  |
| 3 | Bui Thi Dung | Member of the Supervisory Board | June 21, 2022 |  | 1 | 100% |  |

1. Training on corporate governance:
2. List of affiliated persons of the listed Company as prescribed in Clause 34, Article 6 of Law on Securities and transactions between affiliated persons of the Company and the Company itself:
3. Transactions between the Company and affiliated persons of the Company, or between the Company and major shareholders, PDMR, or affiliated persons of PDMR: None.
4. Transactions between the Company’s PDMR, affiliated persons of PDMR and subsidiaries, companies controlled by the Company: None.
5. Transactions between the Company and other entities:
	1. Transactions between the Company and companies in which members of the Board of Directors, members of the Supervisory Board, the Manager (General Manager) and other managers have been founding members or members of Board of Directors, the Executive Manager (General Manager) for the past three (03) years: None.
	2. Transactions between the Company and companies in which affiliated persons of members of the Board of Directors, members of the Supervisory Board, the Manager (the General Manager) and other managers are members of the Board of Directors, the Executive Manager (the General Manager): None.
6. Share transactions of PDMR and affiliated persons of PDMR:
7. Listed company’s share transactions of PDMR and affiliated persons of PDMR:

None.

1. Other significant issues: None.