

**NGÂN HÀNG TMCP PHÁT TRIỂN TP.HCM**

HCMC DEVELOPMENT J. S COMMERCIAL BANK

Số: ~~1067~~ /2024/CV-HDBank

V/v: Công bố NQ HĐQT về việc sửa đổi, bổ sung Quy chế phát hành cổ phiếu theo chương trình lựa chọn cho người lao động (ESOP)

*Announcement of BOD's Resolution on amendments and supplements to the Regulation of Employee Stock Ownership Plan (ESOP)*

**CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM**

THE SOCIALIST REPUBLIC OF VIETNAM

**Độc lập - Tự do - Hạnh phúc**

*Independence - Freedom - Happiness*

Tp. Hồ Chí Minh, ngày 01 tháng 07 năm 2024

*Ho Chi Minh City, July 01, 2024*

**CÔNG BỐ THÔNG TIN TRÊN CÔNG THÔNG TIN ĐIỆN TỬ  
CỦA ỦY BAN CHỨNG KHOÁN NHÀ NƯỚC VÀ SGDK TP.HCM**

**DISCLOSURE OF INFORMATION ON WEB PORTALS OF  
THE STATE SECURITIES COMMISSION AND HO CHI MINH CITY STOCK EXCHANGE**

Kính gửi: - Ủy ban Chứng khoán Nhà Nước

To: *State Securities Commission*

- Sở Giao dịch Chứng khoán TP.HCM

*Ho Chi Minh City Stock Exchange*

- |   |   |
|---|---|
| - Tên tổ chức                               | : NGÂN HÀNG TMCP PHÁT TRIỂN TP.HCM (HDBANK)                           |
| - Name of organization                      | : <i>Ho Chi Minh City Development Joint Stock Commercial Bank</i>     |
| - Mã chứng khoán                            | : HDB   |
| - Securities code                           | : <i>HDB</i>  |
| - Địa chỉ trụ sở chính                      | : 25 bis Nguyễn Thị Minh Khai, P. Bến Nghé, Q. 1, Tp.HCM              |
| - Head office address                       | : <i>25 Bis Nguyen Thi Minh Khai, Ben Nghe Ward, District 1, HCMC</i> |
| - Điện thoại                                | : (028) 62 915 916  |
| - Telephone                                 | : <i>(028) 62 915 916</i>   |
| - Người được ủy quyền công bố thông tin     | : Phạm Văn Đầu – Giám đốc Tài chính                                   |
| - Authorized person to disclose information | : <i>Pham Van Dau – Chief Financial Officer</i>                       |
| - Loại thông tin công bố                    | : (X) 24h   |
| - Type of disclosed information             | : <i>(X) 24hours</i>  |

**Nội dung công bố thông tin:**

**Contents of disclosed information:**

Thực hiện nghĩa vụ báo cáo, công bố thông tin của công ty niêm yết theo Thông tư số 96/2020/TT-BTC ngày 16/11/2020 của Bộ Tài chính, Ngân hàng TMCP Phát triển TP.HCM (HDBank) công bố Nghị quyết Hội đồng Quản trị số 346/2024/NQ-HĐQT ngày 01/07/2024 về việc sửa đổi, bổ sung Quy chế phát hành cổ phiếu theo chương trình lựa chọn cho người lao động (ESOP) với nội dung cụ thể như sau:

*In compliance with the obligation of reporting and information disclosure of listed companies in accordance with Circular No. 96/2020/TT-BTC dated 16/11/2020 of the Ministry of Finance, Ho Chi Minh City Development Joint Stock Commercial Bank – HDBank announces the BOD's Resolution No. 346/2024/NQ-HDQT dated July 01, 2024 on amendments and supplements to the Regulation of Employee Stock Ownership Plan (ESOP) with detail as follows:*

- Sửa đổi, bổ sung khoản 3 Điều 9 Quy chế ESOP về xử lý cổ phiếu của các CBNV nghỉ việc trong thời gian hạn chế chuyển nhượng.

*Amendments and supplements on Section 3, Article 9 on handling of shares owned by employees who resigned during the transfer restriction period.*

Thông tin này được công bố trên trang thông tin điện tử của HDBank tại đường dẫn:

*This information will be published on HDBank's website at:*

Đường dẫn tiếng Việt: <https://www.hdbank.com.vn/vi/investor/thong-tin-nha-dau-tu/quan-he-co-dong/cong-bo-thong-tin-thong-tin-khac>



English Link: <https://www.hdbank.com.vn/en/investor/thong-tin-nha-dau-tu/quan-he-co-dong/cong-bo-thong-tin-thong-tin-khac>

HDBank đính kèm Nghị quyết nêu trên và cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.

*HDBank attaches the above mentioned Resolution and commits that the information stated above is true and takes full responsibility legally for the contents of the information disclosed.*

Nơi nhận:

Recipients:

- Ủy ban Chứng khoán Nhà nước
- State Securities Commission
- Sở Giao dịch Chứng khoán Tp.HCM
- Ho Chi Minh City Stock Exchange
- Lưu: Văn thư
- Filed: BOD's Secretary

NGÂN HÀNG TMCP PHÁT TRIỂN TP.HCM  
HCMC DEVELOPMENT J.S.COMMERCIAL BANK  
GIÁM ĐỐC TÀI CHÍNH  
CHIEF FINANCIAL OFFICER



PHẠM VĂN ĐÀU



## RESOLUTION

*Ref: the amendments to the Regulation on the issuance of shares under the Employee Stock Ownership Plan of Ho Chi Minh City Development Joint Stock Commercial Bank*

### THE BOARD OF DIRECTORS OF HO CHI MINH CITY DEVELOPMENT JOINT STOCK COMMERCIAL BANK

- Pursuant the Law on Credit Institutions No. 47/2010/QH12 dated June 29, 2010 và the Law No. 17/2017/QH14 dated November 20, 2017 on amending, supplementing a number of Articles of the Law on Credit Institutions;
- Pursuant to the Law on Enterprises No. 68/2014/QH13 dated November 26, 2014;
- Pursuant to the Charter of Ho Chi Minh Development Joint Stock Commercial Bank (HDBank);
- Pursuant to the Decision No. 255/2023/QĐ-CTHDQT dated June 21, 2023 on the authorization to Mr. Nguyen Thanh Do – Vice Chairman of the Board of Directors;
- Pursuant to the Resolution No. 03/2020/NQ-DHDCD dated February 06, 2020 on the plan on selling HDBank treasury shares to employees;
- Pursuant to the Resolution No. 04/2020/NQ-HDQT dated February 06, 2020 on implementing the plan on selling HDBank treasury shares to employees;
- Pursuant to the Resolution No. 04/2021/NQ-HDQT dated March 10, 2021 on the amendments, supplements to the Regulation on the issuance of shares under the Employee Stock Ownership Plan (ESOP) of HDBank in 2020;
- Pursuant to the Minutes of counting Board Members' written votes dated June 28, 2024 on the Opinion Collection Form No. 069/2024/PLYK-VP.HDQT,

### HEREBY RESOLVES:

**Article 1.** To approve the amendments to the Regulation on the issuance of shares under the Employee Stock Ownership Plan as follows:

Item	Current Provision	Amendment
<b>Clause 3, Article 9 of 2020 ESOP Regulation</b> (amended, supplemented by the Resolution of the Board of Directors No. 04/2021/NQ-HDQT dated March 10, 2021)	The Labor Union of Ho Chi Minh City Development Joint Stock Commercial Bank shall repurchase ESOP shares from employees (at a price not exceeding the original issued price) when the following cases occur during the transfer restriction period specified in this Regulation: <ul style="list-style-type: none"><li>- Resigned employees;</li><li>- Employees being disciplined in the form of dismissal;</li><li>- Employees being sentenced to prison according to the law;</li><li>- Other cases shall be handled according to the Decision of the Board of Directors</li></ul>	Shares issued under this program owned by employees who resigned during the transfer restriction period shall be handled according to the decisions of the Board of Directors.

**Article 2.** This Resolution takes effect from the signing date.

Other contents of the Regulation on the issuance of shares under the Employee Stock Ownership Plan which are not amended, supplemented by this Resolution would remain in effect.

**Article 3.** Members of the Board of Directors, Board of Management, relevant Divisions/ Centers/ Departments/ Business Units and individuals are responsible for implementing this Resolution.

**Recipients:**

- The BOS, Internal Audit Dept.;
- As in Article 3;
- Filed at the BOD Office.

**FOR ON BEHALF OF  
THE BOARD OF DIRECTORS  
ON BEHALF OF THE CHAIRMAN  
VICE CHAIRMAN**

*(Signed and sealed)*

**NGUYEN THANH DO**