**AAS: Board Resolution**

On September 13, 2024, SmartInvest Securities Joint Stock Company announced Resolution No. 18/2024/AAS/NQ-HDQT as follows:

‎‎Article 1. Approve the authorization to attend the meeting and vote of Ms. Ngo Thi Thuy Linh at the Meeting of the Board of Directors of the Company on the plan to implement the Plan on share issuance for dividend payment for existing shareholders. Specifically:

* Authorizing party: Ms. Ngo Thi Thuy Linh - the Chair of the Board of Directors of the Company;
* Authorized party: Mr. Tran Minh Tuan - the Vice Chair of the Board of Directors;
* Content of authorization: Mr. Tran Minh Tuan - Member of the Board of Directors has full authority to represent Ms. Ngo Thi Thuy Linh - the Chair of the Board of Directors to attend the Meeting and vote on issues at the Meeting of the Board of Directors of SmartInvest Securities Joint Stock Company on the plan to implement the Plan on share issuance to pay dividends for existing shareholders according to Annual General Mandate 2024 No. 01/2024/AAS/NQ-DHDCD dated March 25, 2024.
* Authorization period: From the time the Board of Directors meeting takes place until the completion of the meeting of the Board of Directors on the plan to implement the Plan on share issuance to pay dividends for existing shareholders according to Annual General Mandate 2024 No. 01/2024/AAS/NQ-DHDCD dated March 25, 2024.

‎‎Article 2. Approve on implementing the plan on share issuance to pay dividends for existing shareholders according to Annual General Mandate 2024 No. 01/2024/AAS/NQ-DHDCD dated March 25, 2024, of SmartInvest Securities Joint Stock Company, specifically as follows:

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| --- | --- |
| Share name: | Shares of SmartInvest Securities Joint Stock Company |
| Share type: | Common shares |
| Par value: | VND10,000/share. |
| Number of issued shares:* Number of treasury shares
* Number of outstanding shares
 | 229,999,521 shares.0 shares229,999,521 shares |
| Expected number of shares to be issued: | 9,199,980 shares |
| Total expected issued value (at par value): | VND91,999,800,000 |
| Eligible buyers: | Existing shareholders on the list of shareholders at the record date for the list of shareholders to exercise rights to be entitled to dividends by shares provided by the Vietnam Securities Depository and Clearing Corporation. |
| Issuance method: | Dividends will be distributed according to the method of exercising the rights. |
| Capital source for implementation: | From accumulated undistributed profit as of December 31, 2023, according to the Audited Financial Statements 2023 the Company, specifically:* Undistributed profit after tax in the Audited Financial Statements 2023: VND115,538,775,541. (\*)
* Profit used for dividend payment by shares at a rate of 4% according to the plan approved by the Annual General Meeting of Shareholders 2024 on March 25, 2024: VND91,999,800,000.(\*\*)
* Remaining undistributed profit after tax (after deducting Item (\*\*): VND23,538,975,541.
 |
| Right exercise rate: | 4% of the number of outstanding shares at the record date for the list of shareholders entitled to rights, equivalent to the right exercise rate of 25:10 (at the record date for the list of shareholders entitled to rights, shareholders receive 01 additional issued shares for every 25 shares they own.) |
| Principles of rounding and the Plan on handling incurred fractional shares: | After multiplying by the issue rate, the number of issued shares will be rounded to the nearest unit, the decimal part (if any) will be canceled.For example: Shareholder A owns 1,255 shares, the number of shares received is temporarily calculated as 1,255 \* 0.04 = 50.2 shares. Based on the above rounding principle, the actual number of shares received by shareholder A is 50 shares, the fractional shares (0.2 shares) will be canceled. |
| Transfer restrictions: | Additional shares issued to existing shareholders are not restricted from transfer. |
| Issue time: | Expected in Q4/2024, after receiving notice from the State Securities Commission. |
| Registration and listing for additional issued shares: | All actual number of shares successfully issued will be registered for additional securities depository at Vietnam Securities Depository and Clearing Corporation and registered for additional listing at the Hanoi Stock Exchange. |

Article 3: Approve on assigning and authorizing the Vice Chair of the Board of Directors of the Company.

The Board of Directors assigned and authorized Mr. Tran Minh Tuan - the Vice Chair of the Board of Directors to implement the following tasks:

* Decide on specifically amending, supplementing, completing, and regulating the content of the above plan on share issuance and/or amend, and supplement the issuance plan when necessary to ensure a successful issuance;
* Implement tasks/procedures related to the share issuance in accordance with the regulations of the Company's Charter and the provisions of law;
* Implement necessary procedures related to changing the charter capital, the amendment/supplement of the Terms regulating charter capital in the Company’s Charter, amendment and supplement of the Business Registration Certificate of the Company after the completion of the share issuance;
* Decide and implement other necessary issues related to the share issuance.

‎‎Article 4. This Resolution takes effect from the date of its signing. Members of the Board of Directors, the Board of Management of the Company, relevant departments, and individuals are responsible for implementing this Resolution.