

**CFS INVESTMENT AND IMPORT EXPORT  
TRADING JOINT STOCK COMPANY**

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No: 01/BCQT-CFS

**THE SOCIALIST REPUBLIC OF VIETNAM**  
**Independence - Freedom – Happiness**

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*Ha Noi, month 01 day 24 year 2025*

**REPORT ON CORPORATE GOVERNANCE**

*(year 2024)*

To: - State Security Commission of Vietnam;  
- HNX Stock Exchange.

- Name of company: CFS INVESTMENT AND IMPORT EXPORT TRADING JOINT STOCK COMPANY
- Address of headoffice: 2nd Floor, FLC Landmark Tower, Le Duc Tho Street, My Dinh 2 Ward, Nam Tu Liem District, Hanoi City, VietNam.
- Telephone: 024.3795.6869 Fax: 024 - 3795 6899 Email: [info@cfscorp.vn](mailto:info@cfscorp.vn)
- Charter capital: 1.653.525.610.000VNĐ
- Stock symbol: **KLF**
- Governance model:  
*General Meeting of Shareholders, Board of Directors, Board of Supervisors, General Director and Director.*
- The implementation of internal audit: *Implemented*

**I. Activities of the General Meeting of Shareholders**

*Information on meetings, resolutions and decisions of the General Meeting of Shareholders (including the resolutions of the General Meeting of Shareholders approved in the form of written comments):*

<i>No.</i>	<i>Resolution/Decision</i>	<i>Date</i>	<i>Content</i>
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	<i>No.</i>		
1	01/2024/NQ-ĐHĐCĐ-CFS	31/01/2024	<p>Resolution of the 2023 Extraordinary General Meeting of Shareholders:</p> <ul style="list-style-type: none"> <li>- Mr. Nguyen Thanh Tung and Mr. Nguyen Duc Cong from the position of members of the Board of Directors from January 31, 2024.</li> <li>- Relieve the position of member of the Supervisory Board for Mrs. Le Thuy Dung, Mrs. Nguyen Thi Thu Hang, and Ms. Dao Thi Phuong from January 31, 2024.</li> <li>- Additional election of the following Mr./Mrs. to hold the position of members of the Board of Directors of the Company for the term 2021 - 2026 from January 31, 2024. <ul style="list-style-type: none"> <li>1. Mr. Nguyen Chi Cong</li> <li>2. Mrs. Dinh Thi Kim Oanh</li> <li>3. Mr. Hoang Duy Tien</li> <li>4. Mrs. Nguyen Thu Hien</li> </ul> </li> </ul> <p>After dismissal and additional election, members of the Board of Directors of the Company for the term 2021 - 2026 include the following:</p> <ul style="list-style-type: none"> <li>1. Mr. Bui Van Ngoc</li> <li>2. Mr. Nguyen Chi Cong</li> <li>3. Mrs. Dinh Thi Kim Oanh</li> <li>4. Mr. Hoang Duy Tien</li> <li>5. Mrs. Nguyen Thu Hien</li> </ul> <ul style="list-style-type: none"> <li>- Additional election of the following named Mr./Mrs. to hold the position of members of the Company's Supervisory Board for the term 2021 - 2026 from January 31, 2024: <ul style="list-style-type: none"> <li>1. Mrs. Nguyen Thi Van Anh</li> </ul> </li> </ul>

		<p>2. Mrs. Nguyen Thi Vui</p> <p>3. Mrs. Nguyen Hoai Thu</p> <p>- Approval of changing the Company's headquarters address (According to the content of Report No.: 03/2024/TTr-HĐQT-CFS dated January 10, 2024).</p> <p>- Approval of amending the Company's Charter (According to the content of Proposal No. 03/2024/TTr-HĐQT-CFS dated January 10, 2024).</p> <p>- Approved the authorization for the Board of Directors to select an independent auditing company to audit the Company's 2023 Financial Reports (According to the content of Proposal No.: 04/2024/TTr-HĐQT-CFS dated October 10 /January 2024).</p> <p>- Approve the finalization of salaries and remunerations of the Board of Directors and Supervisory Board of the company in 2022 and the salary and remuneration plan of the Board of Directors and Supervisory Board in 2023 and 2024 (According to the content Report No.: 04/2024/TTr-HĐQT-CFS dated January 10, 2024).</p>
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**II. Board of Directors (year 2024):**

**1. Information about the members of the Board of Directors:**

No.	Board of Directors' members	Position (Independent members of the Board of Directors, Non-executive members of the Board of Directors)	The date becoming/ceasing to be the member of the Board of Directors	
			Date of appointment	Date of dismissal
1	Mr. Nguyen Chi Cong	Chairman of the Board of Directors	January 31, 2024	
2	Mr. Bui Van Ngoc	Member of the Board of Directors	June 21, 2022	

3	Mrs. Dinh Thi Kim Oanh	Member of the Board of Directors	January 31, 2024	
4	Mr. Hoang Duy Tien	Member of the Board of Directors	January 31, 2024	
5	Mrs. Nguyen Thu Hien	Member of the Board of Directors	January 31, 2024	
6	Mr. Nguyen Thanh Tung	Chairman of the Board of Directors	May 27, 2021	January 31, 2024
7	Mr. Nguyen Duc Cong	Member of the Board of Directors	May 27, 2021	January 31, 2024

**2. Meetings of the Board of Directors:**

<i>No.</i>	<i>Board of Director's member</i>	<i>Number of meetings attended by Board of Directors</i>	<i>Attendance rate</i>	<i>Reasons for absence</i>
1	Mr. Nguyen Chi Cong	9/12	75%	Appointed on January 31, 2024
2	Mr. Bui Van Ngoc	12/12	100%	
3	Mrs. Dinh Thi Kim Oanh	9/12	75%	Appointed on January 31, 2024
4	Mr. Hoang Duy Tien	9/12	75%	Appointed on January 31, 2024
5	Mrs. Nguyen Thu Hien	9/12	75%	Appointed on January 31, 2024
6	Mr. Nguyen Thanh Tung	3/12	25%	Dismissed on January 31, 2024
7	Mr. Nguyen Duc Cong	0/12	0%	Resigned on October 31, 2023

### 3. Supervising the Board of Management by the Board of Directors:

The Board of Directors has effectively carried out direction and supervision through the following activities:

- Resolutions and meeting minutes of the Board of Directors are sent to the General Director for implementation. At the same time, the Board of Directors attended and provided guidance in a number of important meetings of the General Director on the implementation of assigned tasks.
- Monitor and grasp the production and business management process through weekly, monthly, and quarterly reports of the General Director, and provide comments to the General Director on some important issues that arise during the operating process, and carry out assigned duties and powers according to the provisions of the Company's Charter.
- The General Director regularly asks for direction from the Board of Directors to promptly resolve problems that arise during the Company's operations.

### 4. Activities of the Board of Directors' subcommittees (If any):

The Board of Directors has established an Internal Audit Department under the Board of Directors; In addition, the Board of Directors appoints each member of the Board of Directors to be in charge of each issue of salary, bonuses, human resources...

### 5. Resolutions/Decisions of the Board of Directors (year 2024):

No.	Resolution/Decision No.	Date (dd/mm/yyyy)	Content	Approval rate
1	01A/2024/QĐ-CTHĐQT-CFS	08/01/2024	Decide to convene a meeting of the Board of Directors to approve a number of issues under the authority of the Board of Directors.	
2	01/2024/NQ-HĐQT-CFS	10/01/2024	Board of Directors Resolution: Information on the 3rd extraordinary General Meeting of Shareholders in 2023.	100%
3	02/2024/QĐ-CTHĐQT-CFS	15/01/2024	Decision to convene a meeting of the Board of Directors: Approve a number of issues under the authority of the Board of Directors: amend the	

			report for the 2023 extraordinary general meeting of shareholders.	
4	03/2024/NQ-HDQT-CFS	15/01/2024	Resolution of the Board of Directors Approving a number of issues under the authority of the Board of Directors to amend the 2023 extraordinary general meeting of shareholders.	100%
5	04/2024/QĐ-CTHDQT-CFS	19/01/2024	Decision to convene a meeting of the Board of Directors: Approve a number of issues under the authority of the Board of Directors: nominate candidates for the Board of Directors at the 2023 extraordinary congress.	
6	05/2024/NQ-HDQT-CFS	19/01/2024	Resolution of the Board of Directors nominating candidates to elect members of the Board of Directors of the Company for the 2021 - 2026 term at the extraordinary general meeting in 2023	100%
7	06/2024/NQ-HĐQT-CFS	31/01/2024	Resolution to elect Chairman of the Board of Directors of the Company - Mr. Nguyen Chi Cong	100%
8	07/2024/NQ-HDQT-CFS	05/03/2024	Resolution of the Board of Directors: on work assignment within the Company's Board of General Directors	100%
9	08/2024/QĐ-CTHDQT-CFS	04/04/2024	Decision to convene a meeting of the Board of Directors - Approve a number of issues under the authority of the Board of Directors	

10	09/2024/NQ-HDQT-CFS	05/04/2024	Board of Directors Resolution: approving the request to resign from the position of General Director of Mrs. Nguyen Truc Quynh	100%
11	10/2024/NQ-HDQT-CFS	05/04/2024	Board of Directors Resolution: appointing MRs. Dinh Thi Kim Oanh to the position of General Director of the Company	100%
12	09a/2024/QĐ-CTHĐQT-CFS	12/06/2024	Decision to convene a meeting of the Board of Directors: Approve a number of issues under the authority of the Board of Directors - convene a meeting of the Board of Directors on the dismissal of the Internal Auditor	
13	10a/2024/NQ-HĐQT-CFS	13/06/2024	Board of Directors Resolution: Dismissing the position of Head of Internal Audit Department for Mrs. Pham Thi Thu	100%
14	11/2024/NQ-HDQT-CFS	13/06/2024	Resolution of the Board of Directors: Dismissing the position of internal audit member for Mrs. Le Thi Thanh	100%
15	12/2024/QĐ-CTHĐQT-CFS	22/07/2024	Decision to convene a meeting of the Board of Directors: Approve a number of issues under the authority of the Board of Directors - convene a meeting of the Board of Directors on the appointment of Internal Audit members	
16	13/2024/NQ-HDQT-CFS	23/07/2024	Resolution of the Board of Directors on the appointment of Head of Internal Audit Department: Mr. Nguyen Le Thanh	100%

17	14/2024/NQ-HĐQT-CFS	23/07/2024	Resolution of the Board of Directors on the appointment of internal audit member: Mr. Nguyen Manh Tien	100%
18	15/2024/QĐ-CTHĐQT-CFS	18/09/2024	Decision to convene a meeting of the Board of Directors: Approve a number of issues under the authority of the Board of Directors: convene a meeting of the Board of Directors on dismissal and appointment of Chief Accountant	
19	16/2024/NQ-HĐQT-CFS	18/09/2024	Board of Directors Resolution: Approve Mr. Vu Quang Hai's request to resign from the position of Chief Accountant of the company	100%
20	17/2024/NQ-HĐQT-CFS	18/09/2024	Resolution of the Board of Directors: on the appointment of Chief Accountant of the Company Mrs. Tran Thanh Thuy	100%
21	18/2024/QĐ-CTHĐQT-CFS	18/11/2024	Decision to convene a meeting of the Board of Directors: Approve a number of issues under the authority of the Board of Directors - convene a meeting of the Board of Directors on the plan to handle assets at FLC 36 Pham Hung to repay debt	
22	19/2024/NQ-HĐQT-CFS	18/11/2024	Board of Directors Resolution: Approval of the plan to handle assets at FLC 36 Pham Hung to repay debt	100%
23	20/2024/QĐ-CTHĐQT-CFS	09/12/2024	Decision to convene a meeting of the Board of Directors: Approve a number of issues under the	



			authority of the Board of Directors - convene a meeting of the Board of Directors on adjusting the plan for handling assets at FLC 36 Pham Hung to repay debts	
24	21/2024/NQ-HĐQT-CFS	09/12/2024	Board of Directors Resolution: Approval of the plan to reduce the selling or leasing price for assets at FLC 36 Pham Hung to repay the first debt	100%
25	22/2024/QĐ-CTHĐQT-CFS	31/12/2024	Decision to convene a meeting of the Board of Directors: Approve a number of issues under the authority of the Board of Directors - convene a meeting of the Board of Directors on adjusting the plan for handling assets at FLC 36 Pham Hung to repay the second debt	
26	23/2024/NQ-HĐQT-CFS	31/12/2024	Board of Directors Resolution: Approving the plan to reduce the selling or leasing price for assets at FLC 36 Pham Hung to repay the debt for the second time	100%

**III. Board of Supervisors (year 2024):**

**1. Information about members of Board of Supervisors:**

<i>No.</i>	<i>Members of Board of Supervisors</i>	<i>Position</i>	<i>The date becoming/ceasing to be the member of the Board of Supervisors</i>	<i>Qualification</i>
1	Mrs. Nguyen Thi Van Anh	Head of Board of Supervisors	Appointed on January 31, 2024	Bachelor of Economics
2	Mrs. Nguyen Thi Vui	Members of Board of		Bachelor of Business

		Supervisors		Accounting
3	Mrs. Nguyen Hoai Thu	Members of Board of Supervisors	Appointed on January 31, 2024 Appointed on January 31, 2024 Dismissed on May 02, 2024	Master of financial accounting
4	Mrs. Le Thuy Dung	Head of Board of Supervisors	Dismissed on January 31, 2024	Bachelor of Economic Law
5	Mrs. Nguyen Thi Thu Hang	Members of Board of Supervisors	Dismissed on January 31, 2024	Bachelor of Law – Labor Economics
6	Ms. Dao Thi Phuong	Members of Board of Supervisors	Dismissed on January 31, 2024	Bachelor of Banking and Finance

**2. Meetings of Board of Supervisors:**

<i>No.</i>	<i>Members of Board of Supervisors</i>	<i>Number of meetings attended</i>	<i>Attendance rate</i>	<i>Voting rate</i>	<i>Reasons for absence</i>
1	Mrs. Nguyen Thi Van Anh	1/2	50%	100%	Appointed on January 31, 2024
2	Mrs. Nguyen Thi Vui	1/2	50%	100%	Appointed on January 31, 2024
3	Mrs. Nguyen Hoai Thu	1/2	50%	100%	Appointed on January 31, 2024
4	Mrs. Le Thuy Dung	1/2	50%	100%	Dismissed on January 31, 2024
5	Mrs. Nguyen Thi Thu Hang	1/2	50%	100%	Dismissed on January 31, 2024

6	Ms. Dao Thi Phuong	1/2	50%	100%	Dismissed on January 31, 2024
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*3. Supervising Board of Directors, Board of Management and shareholders by Board of Supervisors:*

The Supervisory Board has assigned tasks to members and coordinated well to perform tasks on behalf of shareholders in controlling all production, business, management and operations activities of the Company.

- Check and supervise compliance with the provisions of the Enterprise Law, Securities Law, and Company Charter;

*4. the coordination among the Board of Supervisors, the Board of Management, Board of Directors and other managers:*

- Regularly communicate internally as well as with the Board of Directors and General Director to ensure the Company's activities.

- Resolutions of the Board of Directors and written instructions of the General Director are always sent to the Supervisory Board for timely information and supervision of implementation.

*5. Other activities of the Board of Supervisors(if any):*

**IV. Board of Management**

<i>No.</i>	<i>Members of Board of Management</i>	<i>Date of birth (dd/mm/yyyy)</i>	<i>Qualification</i>	<i>Date of appointment / dismissal of members of the Board of Management /</i>
1	Mrs. Dinh Thi Kim Oanh	21/05/1984	Master	1. Appointed Deputy General Director on November 10, 2023 2. Appointed General Director on April 15, 2024
2	Mrs. Nguyen Truc Quynh	13/03/1988	Bachelor	Dismissed on General Director on April 15, 2024

**V. Chief Accountant**

<i>Name</i>	<i>Date of birth</i>	<i>Qualification</i>	<i>Date of appointment/ dismissal</i>
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	<i>(dd/mm/yyyy)</i>		
Mr. Vu Quang Hai	30/05/1990	Master	Dismissed on September 18, 2024
Mrs. Tran Thanh Thuy	11/04/1981	Bachelor	Appointed on September 18, 2024

**VI. Training courses on corporate governance:**

*Training courses on corporate governance were involved by members of Board of Directors, the Board of Supervisors, Director (General Director), other managers and secretaries in accordance with regulations on corporate governance: Members of the Board of Directors, members of the Supervisory Board, members of the Board of General Directors, Heads of Departments, members of the secretariat, and assistants of the Company participate in internal training courses organized by the company to continuously improve their skills. corporate governance capacity and professional capacity.*

**VII. The list of affiliated persons of the public company (year 2024) and transactions of affiliated persons of the Company)**

**1. The list of affiliated persons of the Company**

<i>No.</i>	<i>Name of organization/individual</i>	<i>Securities trading account (if any)</i>	<i>Position at the Company (if any)</i>	<i>NSH No. *, date of issue, place of issue</i>	<i>Address</i>	<i>Time of starting to be affiliated person (dd/mm/yyyy)</i>	<i>Time of ending to be affiliated person (dd/mm/yyyy)</i>	<i>Reasons</i>	<i>Relationship with the Company</i>
1	Nguyen Chi Cong		Chairman of the Board of Directors	030085000475	51/5 Lang Yen, Thanh Luong, Hai Ba Trung,	31/01/2024			

					Hanoi				
2	Dinh Thi Kim Oanh		Member of the Board of Directors	022184000557	N07-B1, Dich Vong District, Cau Giay, Hanoi	31/01/2024			
			General Director			15/04/2024			
			Deputy General Director			10/11/2023	15/04/2024		
3	Hoang Duy Tien		Member of the Board of Directors	038083015215	Tan Tay Do urban area, Tan Lap commune, Dan Phuong district, Hanoi city.	31/01/2024			
4	Nguyen Thu Hien		Member of the Board of Directors	001188017024	61B Group 3 Lang Thuong, Dong Da, Hanoi.	31/01/2024			
5	Bui Van		Member of the Board of	036084001229	P806, CT2B,	21/06/2022			

	Ngoc		Directors		Van Quan urban area, Phuc La ward, Ha Dong district, Hanoi city				
6	Nguyen Thanh Tung		Chairman of the Board of Directors	040073000038	Group 3, Lang Ha Ward, Dong Da District, Hanoi City	27/05/2021	31/01/2024		
7	Nguyen Duc Cong		Member of the Board of Directors	034081009457	VP5 Building, Linh Dam Peninsula, Hoang Liet, Hoang Mai, Hanoi	27/05/2021	31/01/2024		
8	Nguyen Truc Quynh		General Director	001188036709	194 Bui Street, Cong Vi, Ba Dinh, Hanoi	06/09/2022	15/04/2024		
9	Nguyen		Head of	036180000186	No. 2 Alley	31/01/2024			

	Thi Van Anh		Board of Supervisors		15/24 Phuong Mai, Dong Da, Hanoi				
10	Nguyen Thi Vui		Members of Board of Supervisors	034179004878	Group 20 - Dong Anh town - Dong Anh district - Hanoi city	31/01/2024			
11	Nguyen Hoai Thu		Members of Board of Supervisors	001193017684	No. 27, Group 46, Phuong Lien Ward, Dong Da District, Hanoi	31/01/2024	02/05/2024		
12	Le Thuy Dung		Head of Board of Supervisors	01919101554	Group 12, Dong Quang ward, Thai Nguyen city, Thai Nguyen province	21/06/2022	31/01/2024		
13	Nguyen Thi Thu		Members of Board of Supervisors	038193011313	No. 79 Me Tri Thuong,	21/06/2022	31/01/2024		

	Hang				Me Tri Ward, Nam Tu Liem District, Hanoi.				
14	Dao Thi Phuong		Members of Board of Supervisors	152147805	Hiep Hoa Commune, Vu Thu District, Thai Binh Province	21/06/2022	31/01/2024		
15	Tran Thanh Thuy		Chief Accountant	001181035251		Construction Company No. 2 - TDP Vien 7, Co Nhuc 2 Ward, Bac Tu Liem District, Hanoi City.	18/09/2024		
16	Vu Quang Hai		Chief Accountant	030090002947		Group 25, Commercial Center, Mai Dich, Cau Giay, Hanoi	01/12/2023	18/09/2024	
17	Pham Thi Thu		Internal audit	151673525		Me Linh – Dong Hung – Thai Binh	18/07/2022	13/06/2024	



18	Le Thi Thanh		Internal audit	038191005331		Hoang Quang, Thanh Hoa City, Thanh Hoa	01/08/2023	13/06/2024	
19	Nguyen Le Thanh		Internal audit	027082001015		TDP No. 14, Trung Van, Nam Tu Liem, Hanoi	23/07/2024		
20	Nguyen Manh Tien		Internal audit	017091011232		55/46 Chinh Kinh, Nhan Chinh, Thanh Xuan, Hanoi.	23/07/2024		

*Note: NSH No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on Operations or equivalent legal documents (As for organisations).*

*2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons.*

<i>No.</i>	<i>Name of organization/ individual</i>	<i>Relationship with the Company</i>	<i>NSH No.* date of issue, place of issue</i>	<i>Address</i>	<i>Time of transactions with the Company</i>	<i>Resolution No. or Decision No. approved by General Meeting of Shareholders/ Board of Directors (if any, specifying</i>	<i>Content, quantity, total value of transaction</i>	<i>Note</i>
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						<i>date of issue)</i>		
1	IIAI Agrochem Joint stock Company	Internal stakeholder	4103003108	28 Mac Dinh Chi, Da Kao Ward, District 1, City. HCM	Year 2024		- Sales and service provision: 1.009.109.437 đ - Buy goods and services: 7.902.210.200đ	
2	FLC Group Joint stock Company	Internal stakeholder	0102683813	5th Floor, FLC Landmark Tower, Le Duc Tho Street, My Dinh 2 Ward, Nam Tu Liem District, Hanoi City, Vietnam	Year 2024		Sales and service provision: 2.654.756.500 đ	
3	FLC STONE MINING AND INVESTMENT JOINT STOCK COMPANY	Internal stakeholder	0102370070	3rd Floor, FLC Landmark Tower, Le Duc Tho Street, My Dinh 2 Ward, Nam Tu Liem District, Hanoi City, Vietnam	Year 2024		Sales and service provision: 1.300.000đ	
4	FLCHOMES Real Estate Investment Business and Development	Internal stakeholder	2802383456	FLC Landmark Building, Lane 5 Le Duc Tho Street, My Dinh 2 Ward, Nam Tu	Year 2024		Sales and service provision: 58.185.000đ	

				Liem District, Hanoi City, Vietnam				
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*Note: NSH No.; ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on operations or equivalent legal documents (As for organisations).*

*3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power: No*

<i>No.</i>	<i>Transaction executor</i>	<i>Relationship with internal persons</i>	<i>Position at the listed Company</i>	<i>ID card No. /Passport No., date of issue, place of Issue</i>	<i>Address</i>	<i>Name of subsidiaries or companies which the Company control</i>	<i>Time of transaction</i>	<i>Content, quantity, total value of transaction</i>	<i>Note</i>

*4. Transactions between the Company and other objects: (No)*

*4.1. Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, Director (General Director) have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting).*

*4.2. Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, Director (General Director) and other managers as a member of Board of Directors, Director (General Director or CEO).*

*4.3. Other transactions of the Company (if any) may bring material or non-material benefits for members of Board of Directors, members of the Board of Supervisors, Director (General Director) and other managers.*

### **VIII. Share transactions of internal persons and their affiliated persons (year 2024)**

*1. The list of internal persons and their affiliated persons công ty / Transactions of internal persons and affiliated persons with shares of the Company*

<i>No.</i>	<i>Name</i>	<i>Securities trading</i>	<i>Position at the</i>	<i>ID card No./Passport No.,</i>	<i>Address</i>	<i>Number of shares</i>	<i>Percentage of share</i>	<i>Note</i>
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		<i>account (if any)</i>	<i>company (if any)</i>	<i>date of issue, place of issue</i>		<i>owned at the end of the period</i>	<i>ownership at the end of the period</i>	
1	Nguyen Chi Cong		<b>Chairman of the Board of Directors</b>	030085000475	51/5 Lang Yen, Thanh Luong, Hai Ba Trung, Hanoi	0	0	
1.1	Trinh Phuong Anh			001186019452	51/5 Lang Yen, Thanh Luong, Hai Ba Trung, Hanoi	0	0	
1.2	Nguyen An Khanh			C4277481	51/5 Lang Yen, Thanh Luong, Hai Ba Trung, Hanoi	0	0	
1.3	Nguyen Dang Khoi			Child	51/5 Lang Yen, Thanh Luong, Hai Ba Trung, Hanoi	0	0	
1.4	Nguyen Quang Hop			030035004684	Hung Dao, Tu Ky, Hai Duong	0	0	
1.5	Nguyen Trung Thanh			030078008964	La Tinh Nam Area, Tu Ky Town, Hai Duong	0	0	
1.6	Vu Thi Hong Huong			030178002738	2 Tam Giang, Hai Duong	0	0	

1.7	Trinh Viet Hung			001063034993	51/5 Lang Yen, Thanh Luong, Hai Ba Trung, Hanoi	0	0	
1.8	Nghiem Thi Bich Lien			001163018066	51/5 Lang Yen, Thanh Luong, Hai Ba Trung, Hanoi	0	0	
1.9	FLC Group Joint stock Company			0102683813	5th Floor, FLC Landmark Tower, Le Duc Tho Street, My Dinh 2 Ward, Nam Tu Liem District, Hanoi City, Vietnam	0	0	
1.10	HAI Agrochem Joint stock Company			4103003108	28 Mac Dinh Chi, Da Kao Ward, District 1, City. HCM	0	0	
2	Dinh Thi Kim Oanh		<b>Member of the Board of Directors/ General Director</b>	022184000557	<b>N07-B1, Dich Vong New Urban Area, Cau Giay, Hanoi.</b>	0	0	
2.1	Dinh Van Hung			022056001033	Group 49, area 4 Hong Gai, Ha Long City, Quang Ninh Province	0	0	
2.2	Ngo Bich Ky			022160004383	Group 49, area 4 Hong Gai, Ha Long City, Quang Ninh Province	0	0	

2.3	Hoang Anh			001083012888	No. 34, Alley 94 Ngoc Ha, Doi Can Ward, Ba Dinh District, Hanoi City	0	0	
2.4	Hoang Trong Nghia			001058031469	No. 34, Alley 94 Ngoc Ha, Doi Can Ward, Ba Dinh District, Hanoi City	0	0	
2.5	Do Thi Ngoc Yen			001159041352	No. 34, Alley 94 Ngoc Ha, Doi Can Ward, Ba Dinh District, Hanoi City	0	0	
2.6	Hoang Gia Bach			001219046321	N07-B1, Dich Vong New Urban Area, Cau Giay, Hanoi.	0	0	
2.7	Hoang Minh Chau			001311006165	N07-B1, Dich Vong New Urban Area, Cau Giay, Hanoi.	0	0	
2.8	FLC STONE MINING AND INVESTMENT JOINT STOCK COMPANY			0102370070	3rd Floor, FLC Landmark Tower, Le Duc Tho Street, My Dinh 2 Ward, Nam Tu Liem District, Hanoi City, Vietnam	0	0	
3	Bui Van Ngoc		Member of the Board of Directors	036084001229	P806, CT2B, Van Quan urban area, Phuc La ward, Ha Dong district, Hanoi city	0	0	

3.1	Bui Duc Lam			036050011329	Hamlet 9, Hai Phuc, Hai Hau district, Nam Dinh province	0	0	
3.2	Lam Thi Nam			036152014268	Hamlet 9, Hai Phuc, Hai Hau district, Nam Dinh province	0	0	
3.3	Nguyen Manh Ha			036060003766	Residential group 4, Yen Dinh town, Hai Hau district, Nam Dinh province	0	0	
3.4	Pham Thi Ninh			036162002193	Residential group 4, Yen Dinh town, Hai Hau district, Nam Dinh province	0	0	
3.5	Nguyen Thi Thu Trang			036184006433	P806, CT2B, Van Quan urban area, Phuc La ward, Ha Dong district, Hanoi city	0	0	
3.6	Bui Nguyen Nhat Minh			Child	P806, CT2B, Van Quan urban area, Phuc La ward, Ha Dong district, Hanoi city	0	0	
3.7	Bui Khoi Nguyen			Child	P806, CT2B, Van Quan urban area, Phuc La ward, Ha Dong district, Hanoi city	0	0	
3.8	Bui Thi Quy			036172007973	Hamlet 9, Hai Phuc, Hai Hau district, Nam Dinh province	0	0	

3.9	Do Huy Lai			036065002072	Hamlet 9, Hai Phuc, Hai Hau district, Nam Dinh province	0	0	
3.10	Bui Van Hung			036075009604	Hamlet 9, Hai Phuc, Hai Hau district, Nam Dinh province	0	0	
3.11	Bui Van Hao			036076004506	P1018-R5, Roya City, No. 72A Nguyen Trai, Thuong Dinh Ward, Thanh Xuan District, Hanoi City	0	0	
3.12	Bui Thi Thuy			036179000146	P406, CT1, Nam Xa La, Phuc La ward, Ha Dong district, Hanoi city	0	0	
3.13	Tran Van Ninh			036079003240	P406, CT1, Nam Xa La, Phuc La ward, Ha Dong district, Hanoi city	0	0	
3.14	Bui Thi Thuy			036182022351	Cu Than village, Do Dong commune, Thanh Oai district, Hanoi city	0	0	
3.15	Le Van Toan			001081048260	Cu Than village, Do Dong commune, Thanh Oai district, Hanoi city	0	0	
3.16	Tran Nam Ha			036177001694	Hamlet 9, Hai Phuc, Hai Hau district, Nam Dinh province	0	0	
3.17	Doan Thi Huyen			01355001	P1018-R5, Roya City, No. 72A Nguyen Trai, Thuong Dinh Ward, Thanh Xuan District,	0	0	



					Hanoi City			
4	Hoang Duy Tien		Member of the Board of Directors	038083015215	Tan Tay Do urban area, Tan Lap commune, Dan Phuong district, Hanoi city.	0	0	
4.1	Hoang Duy Ta			038053013423	Thanh Thuy commune, Nghi Son town, Thanh Hoa province	0	0	
4.2	Le Thi Tuy			038153018607	Thanh Thuy commune, Nghi Son town, Thanh Hoa province	0	0	
4.3	Pham Thi Hoa Le Diem			131537971	Tan Tay Do urban area, Tan Lap commune, Dan Phuong district, Hanoi	0	0	
4.4	Hoang Lan Chi			Child	Tan Tay Do urban area, Tan Lap commune, Dan Phuong district, Hanoi	0	0	
4.5	Nguyen Thi Thanh Ha			025160012369	Tien Kien commune, Lam Thao district, Phu Tho province	0	0	
4.6	Hoang Van Dong			038086026554	Thanh Thuy commune, Nghi Son town, Thanh Hoa province	0	0	
4.7	FLCHOMES Real Estate Investment Business and Development			2802383456	FLC Landmark Building, Lane 5 Le Duc Tho Street, My Dinh 2 Ward, Nam Tu Liem District, Hanoi City, Vietnam	0	0	

5	Nguyen Thu Hien		Member of the Board of Directors	001188017024	61B Group 3 Lang Thuong, Dong Da, Hanoi	0	0	
5.1	Nguyen Xuan Duc			025041000421	8 alleys 165/23/2 Thai Ha, Lang Ha, Dong Da, Hanoi	0	0	
5.2	Nguyen Thi Dong			001150001272	8 alleys 165/23/2 Thai Ha, Lang Ha, Dong Da, Hanoi	0	0	
5.3	Do Huy Duc			001085052380	61B Group 3 Lang Thuong, Dong Da, Hanoi	0	0	
5.4	Do The Chan			001058017889	61B Group 3 Lang Thuong, Dong Da, Hanoi	0	0	
5.5	Vu Thi Nguyet Hang			001159037168	61B Group 3 Lang Thuong, Dong Da, Hanoi	0	0	
5.6	Do Hien Anh			Child	61B Group 3 Lang Thuong, Dong Da, Hanoi	0	0	
5.7	Do Duc Anh			Child	61B Group 3 Lang Thuong, Dong Da, Hanoi	0	0	
5.8	Nguyen Xuan Thang			001082043720	8 alleys 165/23/2 Thai Ha, Lang Ha, Dong Da, Hanoi	0	0	

5.9	Ha Thanh Lua			036184002845	8 alleys 165/23/2 Thai Ha, Lang Ha, Dong Da, Hanoi	0	0	
6	Nguyen Thi Van Anh		Head of Board of Supervisors	036180000186	No. 2 Alley 15/24 Phuong Mai, Dong Da, Hanoi	0	0	
6.1	Nguyen Xuan Dai			died		0	0	
6.2	Bui Canh Toan			died		0	0	
6.3	Nguyen Xuan Hung			died		0	0	
6.4	Tran Thi Hoa			013467533	P502 CT1A2 Van Canh Urban Area	0	0	
6.5	Pham Thi Gam			037149000557	No. 2 Alley 15/24 Phuong Mai Dong Da, Hanoi	0	0	
6.6	Bui Canh Hoang			037078000072	No. 2 Alley 15/24 Phuong Mai Dong Da, Hanoi	0	0	
6.7	Bui Tien Dung			001206024589	No. 2 Alley 15/24 Phuong Mai Dong Da, Hanoi	0	0	
6.8	Bui Nguyen Hoang Van			001309058469	No. 2 Alley 15/24 Phuong Mai Dong Da, Hanoi	0	0	
6.9	Bui Hoang Anh			Child	No. 2 Alley 15/24 Phuong Mai Dong Da,	0	0	

					Hanoi			
6.10	Nguyen Hong Quang			036078000125	Vinaconex 7 Building, No. 136 Ho Tung Mau, Nam Tu Liem, Hanoi	0	0	
6.11	Luu Le Thu Trang			031183000277	Vinaconex 7 Building, No. 136 Ho Tung Mau, Nam Tu Liem, Hanoi	0	0	
7	Nguyen Thi Vui		Members of Board of Supervisors	034179004878	SN M56 – Group 20 – Dong Anh Town – Dong Anh District – Hanoi City	0	0	
7.1	Nguyen Quang Tinh			died		0	0	
7.2	Nguyen Thi Ngo			died		0	0	
7.3	Nguyen Quang Hoan			034073023630	Hamlet 7 - An Vinh commune - Quynh Phu district - Thai Binh province	0	0	
7.4	Trinh Thi Tuoi			034179022262	Hamlet 7 - An Vinh commune - Quynh Phu district - Thai Binh province	0	0	
7.5	Nguyen Trong Thi			died		0	0	
7.6	Phan Thi Huong			died		0	0	
7.7	Nguyen Xuan Thang			040076010693	House number M56 - Group 20 - Dong Anh	0	0	

					Town - Dong Anh District - Hanoi City			
7.8	Nguyen Xuan Hoang			040205000240	House number M56 - Group 20 - Dong Anh Town - Dong Anh District - Hanoi City	0	0	
8	Tran Thanh Thuy		<i>Chief Accountant</i>	001181035251	House number M56 - Group 20 - Dong Anh Town - Dong Anh District - Hanoi City	0	0	
8.1	Tran Minh Tan			036054001807	House number M56 - Group 20 - Dong Anh Town - Dong Anh District - Hanoi City	0	0	
8.2	Cao Thi Ty			040145001329	Construction company collective No. 2 - TDP Vien 7, Co Nhuc 2 Ward, Bac Tu Liem, Hanoi	0	0	
8.3	Le Tat Dat			034034000445	Con Town, Hai Hau, Nam Dinh	0	0	
8.4	Le Phuc An			001209050437	Con Town, Hai Hau, Nam Dinh	0	0	
8.5	Le Duc Huy			Child	Construction company collective No. 2 - TDP Vien 7, Co Nhuc 2 Ward, Bac Tu Liem, Hanoi	0	0	
8.6	Dinh Thi Nguyet			037145003279	Construction company collective No. 2 - TDP	0	0	

					Vien 7, Co Nhue 2 Ward, Bac Tu Liem, Hanoi			
8.7	Tran My Hanh			001183030838	Construction company collective No. 2 - TDP Vien 7, Co Nhue 2 Ward, Bac Tu Liem, Hanoi	0	0	
8.8	Nguyen Viet Duc			001083026373	Nam Giang Street - Nho Quan Town - Nho Quan District - Ninh Binh	0	0	
9	Nguyen Le Thanh		Internal audit	027082001015	TDP No. 14, Trung Van, Nam Tu Liem, Hanoi	0	0	
9.1	Nguyen Phan Hao			038039000988	32/23, KP6, Hiep Thanh, District 12, Ho Chi Minh	0	0	
9.2	Le Thi Lan			040145001329	32/23, KP6, Hiep Thanh, District 12, Ho Chi Minh	0	0	
9.3	Bui Cong Luong			034034000445	304 House 1.6, Thai Ha Lane, Lang Ha, Dong Da, Hanoi	0	0	
9.4	Bui Thuy Hoan			034178017478	P1207 - Intracom 1, TDP No. 14, Trung Van, Nam Tu Liem, Hanoi	0	0	
9.5	Nguyen Thanh Trung			Child	P1207 - Intracom 1, TDP No. 14, Trung Van, Nam Tu Liem, Hanoi	0	0	

9.6	Nguyen Khanh Chi			Child	P1207 – Intracom 1, TDP No. 14, Trung Van, Nam Tu Liem, Hanoi	0	0	
9.7	Nguyen Quyet Tam			027080003205	32/23, Quarter 6, Hiep Thanh, District 12, Ho Chi Minh	0	0	
9.8	Nguyen Thi Mo			024181003302	32/23, Quarter 6, Hiep Thanh, District 12, Ho Chi Minh	0	0	
9.9	HAI Agrochem Joint stock Company			0301242080	28 Mac Dinh Chi, Da Kao Ward, District 1, City. HCM	0	0	
9.10	FLC STONE MINING AND INVESTMENT JOINT STOCK COMPANY			0102370070	3rd Floor, FLC Landmark Tower, Le Duc Tho Street, My Dinh 2 Ward, Nam Tu Liem District, Hanoi City, Vietnam	0	0	
10	Nguyen Manh Tien			017091011232	Alley 55/46 Chinh Kinh, Nhan Chinh, Thanh Xuan, Hanoi.	0	0	
10.1	Nguyen Van Truong			001063039907	Luong Son, Hoa Binh	0	0	
10.2	Hoang Thi Lan			017169004476	Luong Son, Hoa Binh	0	0	
10.3	Hoang Thi Thu Lien			036192024112	Nhan Chinh, Thanh Xuan, Hanoi	0	0	
10.4	Nguyen Manh Hieu			017095009252	Smart City Urban Area, Hanoi	0	0	

10.5	Nguyen Thi Thuy Kieu			036197003448	Smart City Urban Area, Hanoi	0	0	
10.6	Hoang Van An			036094013841	Phu Ly City, Ha Nam	0	0	
10.7	Hoang Van Vinh			036066018147	Y Yen, Nam Dinh	0	0	
10.8	Do Thi Tu			036171018418	Y Yen, Nam Dinh	0	0	
10.9	Nguyen Ngoc Tuc An			Child	Nhan Chinh, Thanh Xuan, Hanoi	0	0	

2. Transactions of internal persons and affiliated persons with shares of the company

No.	Transaction executor	Relationship with internal persons	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increasing, decreasing, selling, converting, rewarding, etc.)
			Number of shares	Percentage	Number of shares	Percentage	

**IX. Other significant issues:**

Stock positions of "KLF" stock:

- Suspension of trading according to Decision No. 66/QĐ-SGDHN dated February 5, 2024 due to: TCDKGD is a company that is forced to be delisted due to serious violations of information disclosure obligations as prescribed at point o Clause 1 Article 120 of Decree No. 155/2020/ND-CP dated December 31, 2020 of the Government detailing the implementation of a number of articles of Securities Law, in the case of shares being suspended from trading according to the provisions of Point dd, Clause 1, Article 36 of the Regulation on registration and management of unlisted securities transactions.

- Restriction on transactions according to Decision No. 1054/QĐ-SGDHN dated October 4, 2024 due to: GDKGD delayed submitting the audited 2023 financial statements and reviewed 2024 semi-annual financial statements over 45 days from the end date of the period when



information must be disclosed according to regulations; in the case of stocks that are restricted from trading according to the provisions of Point b, Clause 1, Article 34 of the Regulations on registration and management of unlisted securities transactions. Trading restriction period: Only allowed to trade every Friday from the date the stock is removed from the suspension of trading.

- Warning according to Decision No. 717/QĐ-SGDHN dated July 9, 2024 due to: GDKGD has not held the 2024 Annual General Meeting of Shareholders within the maximum period from the end of the fiscal year according to regulations in Clause 2 Article 139 of the Enterprise Law; in the case of stocks being warned according to the provisions of Point b, Clause 1, Article 33 of the Regulations on Registration and Management of Unlisted Securities Transactions.

*Handwritten signature*  
**CHAIRMAN OF THE BOARD OF DIRECTORS**  
*(Sign, full name and seal)*



**NGUYEN CHI CONG**