

Số: 01/BC-AAH

*Bac Giang, January 22, 2025*

**REPORT ON  
CORPORATE GOVERNANCE  
(year 2024)**

To: - State Securities Committee;  
- Stock Exchange.

- Company Name: **HOP NHAT JOINT STOCK COMPANY**
- Head Office Address: Van Non Hamlet, Luc Son Commune, Luc Nam District, Bac Giang Province, Vietnam
- Phone: 02043589599 Fax: 02043589981
- Email: thanhophnhat@gmail.com
- Charter Capital: 1.179.000.000.000 đồng
- Stock Code: *AAH*
- Corporate governance model:
  - + General Meeting of Shareholders, Board of Directors, Board of Supervisors, and General Director
- On the Implementation of Internal Audit Function: Implemented

**I. Activities of the General Meeting of Shareholders**

Details on the meetings and Resolutions/Decisions of the General Meeting of Shareholders (including Resolutions approved through the process of gathering written opinions):

No	Resolution/Decision No.	Date	Content
1.	01/2024/NQ-DHDCD-HN	29/04/2024	<ol style="list-style-type: none"><li>1. Approval of the Report from the Board of Directors and each individual of Board members on corporate governance activities of Hop Nhat Joint Stock Company in 2023</li><li>2. Approval of the Report from the Supervisory Board about Hop Nhat Joint</li></ol>

			<p>Stock Company in 2023 and the plan for 2024</p> <p>3. Approval of the Report of the Board of General Directors on business results in 2023 and business plan for 2024</p> <p>4. Approval of the audited financial statements of the company for 2023</p> <p>5. Approval of authorization for the Board of Directors to select an auditing firm for the 2024 financial statements</p> <p>6. Approval of the remuneration for members of the Board of Directors and Supervisory Board in 2023 and the plan for 2024.</p> <p>7. Approval of the production and business results, profit distribution plan, and dividend payment for 2023, as well as the plan for 2024.</p> <p>8. Approval of authorization for the Board of Directors to decide on specific matters that arise under the decision-making authority of the General Meeting of Shareholders during the process of implementing approved tasks.</p> <p>9. Approval of dismissing member of Supervisory Board - Ms. Le Thi Xuyen</p> <p>10. Approval for electing Ms. Nguyen Thi An as a new member of the Supervisory Board.</p>
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## II. Board of Directors (Annual Report):

### 1. Information about members of the Board of Directors (BOD):

No	BOD Members	Position (Independent or Non-Executive BOD Member)	Start/End Date as BOD/Independent BOD Member	
			Appointment Date	Dismissal Date



1.	Mr. Dang Quoc Lich	Chairman	31/05/2012	
2.	Mr. Dao Ngoc Thao	Board Member	03/08/2022	
3.	Mr. Pham Huu Bao	Board Member	03/08/2022	
4.	Mr. Vi Thanh Chinh	Independent Member of BOD	03/08/2022	
5.	Mr. Dong Khanh Du	BOD Member	03/08/2022	

## 2. Board of Directors meetings:

No	BOD members	Number of Meetings Attended by BOD	Attendance Rate	Reasons for Not Attending Meetings
1.	Mr. Dang Quoc Lich	9/9	100%	
2.	Mr. Dao Ngoc Thao	9/9	100%	
3.	Mr. Pham Huu Bao	9/9	100%	
4.	Mr. Vi Thanh Chinh	9/9	100%	
5.	Mr. Dong Khanh Du	9/9	100%	

## 3. Supervisory activities of the Board of Directors (BOD) for the Board of General Director:

In accordance with the Charter and Corporate Governance Regulations of Hop Nhat Joint Stock Company, the Board of Directors monitored the Board of General Director's activities when implementing the company's business operations through the following activities:

- Consistently supervising the Board of General Director in the execution of resolutions and decisions from the Board of Directors, as well as the implementation of the production and business plans approved during the 2024 Annual General Meeting. The Board of Directors required the Board of General Director to regularly report the implementation results at each stage and business cycle, suggesting prompt remedies to enhance output and operational efficiency;
- Regularly organizing and participating in progress meetings with the Board of General Director and Executive Board to assess task completion rates and work outcomes;
- Thoroughly overseeing manufacturing processes, exploitation, and quality control management;

- Closely following the implementation of the company's projects and works managed by the Board of General Director, providing strategic guidance and positive support to help achieve the established objectives;
- Supervising and inspecting the human resource management of the Board of General Director, ensuring the execution of welfare policies, rewards, discipline, and employee assistance to preserve a quality, stable, and sustainable workforce while safeguarding the legitimate rights and benefits of workers;
- Monitoring the General Director's activities to ensure strict compliance with the Enterprise Law, Securities Law, the company's Charter, and all relevant legal documents, management directives, and internal governance policies;

**4. Activities of Sub-Committees under the Board of Directors (if any): None.**

**5. Resolutions/Decisions of the Board of Directors (Annual Report):**

No.	Resolution/Decision Number	Date	Content	Approval Rate
1.	04/QD-HN	02/01/2024	Dismissal of Chief Accountant Position for Ms. Nguyen Thi Duan	100%
2.	05/QD-HN	02/01/2024	Appointment of Mr. Doan Van Cuong as Chief Accountant	100%
3.	0803/2024/NQ-HDQT	08/03/2024	Regarding the record date for preparing the list of shareholders eligible to attend the 2024 Annual General Meeting of Shareholders	100%
4.	2603/2024/NQ-HDQT	26/03/2024	Approval of transactions between the company and related parties of insiders	100%
5.	2604/2024/NQ-HDQT	26/03/2024	Approval for Investment of up to 49% of Equity in Thien Lam Dat Joint Stock Company	100%
6.	0704/2024/NQ-HDQT	07/04/2024	Agree on the time and venue for the General Meeting of	100%



			Shareholders	
7.	084/2024/NQ-HDQT	08/05/2024	Approval of related-party transaction regarding the Mechanical Workshop Construction Contract	100%
8.	16.05(01)/QD-AAH	16/05/2024	Approval of the 2024 fixed asset repair and upgrading plan	100%
9.	2106/2024/NQ-HDQT	21/06/2024	The selection of the auditing firm	100%
10.	1608/2024/QD-AAH	16/08/2024	Change of the company's Chief Accountant	100%
11.	1609/2024/QD-AAH	16/08/2024	Signing a Labor Contract and Appointing Mr. Nguyen Quang Trung as Chief Accountant	100%
12.	171/2024/QD-AAH	17/10/2024	Appointing Mr. Dang Van Long as Deputy General Director of the Company	100%
13.	172/2024/QD-AAH	17/10/2024	Appointing Mr. Tran Xuan Giang as Deputy General Director of the Company	100%

### III. Board of Supervisors/ Audit Committee (Annual Report)

- Information about the members of the Board of Supervisors (BOS)/ Audit Committee:

No.	Member of BOS/ Audit Committee	Position	Start date/End date of membership on the BOS/Audit Committee	Professional qualifications
1.	Ms. Le Thi Bích Yen	Head of BOS	03/08/2022	Bachelor of Accounting
2.	Ms. Vu Thi Thanh Hang	BOS Member	03/08/2022	Business Administration Engineer
3.	Ms. Le Thi Xuyen	BOS	03/08/2022	Bachelor of

		Member		Accounting
4.	Ms. Nguyen Thi An	BOS Member	29/04/2024	Bachelor of Accounting

2. Meeting of Board of Supervisors/ Audit Committee:

No.	Member of the BOS/ Audit Committee	Number of Meetings Attended	Attendance Rate	Voting rate	Reasons for Not Attending Meetings
1.	Ms. Le Thi Bich Yen	2/2	100%	100%	
2.	Ms. Vu Thi Thanh Hang	2/2	100%	100%	
3.	Ms. Le Thi Xuyen	1/2	50%	50%	Dismissed on April 29, 2024
4.	Ms. Nguyen Thi An	1/2	50%	50%	Appointed on April 29, 2024

3. Oversight activities of the Board of Supervisors/Audit Committee over the Board of Management, Executive Board, and shareholders:

The Board of Supervisors has conducted oversight and assessment of the company's operations in 2024 and found no signs of irregularities or violations of legal regulations through activities:

- Check and review the company's Financial Statement;
  - Overseeing the procedures, processes, and preparations for the 2024 Annual General Meeting of Shareholders to ensure compliance with legal regulations and the Company's Charter;
  - Monitoring the activities of the Board of Directors through attendance at meetings or review and analysis of the Board's resolutions and decisions;
  - Monitoring the activities of Board of General Directors, the Executive Board, and the company through attendance at meetings or review and analysis of resolutions, decisions, documents, periodic reports, and issued plans;
4. The coordination of activities between the Board of Supervisors/ Audit Committee with the activities of the Board of Directors, the Executive Board, and other management department:
- The Board of Supervisors has participated in meetings of the Board of Directors and the Board of General Directors within its authority and provided opinions on



the policies and decisions of the Board of Directors and the Board of General Director to ensure that the policies and decisions issued comply with legal regulations and protect the interests of the company;

- The Board of Supervisors has received active cooperation and collaboration from the Board of Directors, the Board of General Directors, and the management departments, providing the necessary facilities as well as full information and documents required for the inspection work of the Board of Supervisors;
  - The Board of Supervisors, Board of Directors, Board of General Directors, Executive Board, regularly exchange information and discuss issues related to the overall operations of the company;
  - Performing other coordination activities within its authority;
5. Other activities of the Board of Supervisors/Audit Committee (if any): None

#### IV. Executive Board/Board of Management

No	Member of Executive Board	Date of birth	Professional Qualification	Date of appointment/removal of members of the Executive Board
1.	Mr. Pham Huu Bao	06/06/1965	Mining Engineer	31/05/2022
2.	Mr. Dao Ngoc Thao	18/08/1973	Mining Engineer	02/10/2021
3.	Mr. Nguyen Viet Phuong	27/12/1978	Mining Engineer	02/01/2022
4.	Mr. Dong Khanh Du	15/08/1982	Bachelor of Economics	06/05/2010
5.	Mr. Đặng Văn Long	15/04/1981	Mining Engineer	17/10/2024
6.	Mr. Trần Xuân Giang	25/02/1963	Mining Engineer	17/10/2024

#### V. Chief Accountant

Name	Date of birth	Professional Qualification	Date of appointment/dismissal
1. Nguyen Thi Duan	25/10/1981	Bachelor of Economics	Appointed on June 15, 2022 Dismissed on January 03, 2024
2. Doan Van Cuong	12/09/1979	Bachelor of Economics	Appointed on June January 03, 2024

			Dismissed on August 16, 2024
3. Nguyen Quang Trung	15/01/1979	Bachelor of Economics	Appointed on August 16, 2024

**VI. Training on corporate governance:**

Training courses on corporate governance that members of the Board of Directors, members of the Supervisory Board, Executive Director (General Director), other managers and the Company Secretary have participated in according to regulations on corporate governance:

Members of the Board of Directors, members of the Supervisory Board, the Executive Board, and other management staff of the company have participated in training courses on corporate governance according to regulations. In addition, the company also regularly organizes and focuses on internal training activities including training courses, coaching, exchanging professional skills, expertise and experience.

**VII. List of related persons of the public company (Annual Report) and transactions of related persons of the company with the company itself**

1. List of related persons of the company: *Appendix 01 attached*
2. Transactions between the Company and related persons of the Company; or between the Company and major shareholders, insiders, and related persons of insiders: *No*
3. Transactions between insiders of the Company, related persons of insiders and subsidiaries, companies controlled by the Company: *No*
4. Transactions between the Company and other entities: *No*
- 4.1. Transactions between companies and companies in which members of the Board of Directors, members of the Supervisory Board, Director (General Director) and other managers have been or are founding members or members of the Board of Directors or Director (General Director) operating within the past three (03) years (calculated at the time of reporting)

No	Name	Position/ Relationship to the Company	Trans action time	Content, quantity, total transaction value	Note
1.	Thien Lam	The same	2024	- Content: Purchasing goods	



	Dat Joint Stock Company	Chairman of the Board of Directors		and services - Value: VND 1.085.617.500	
				- Content: Sale of goods and provision of services Value: VND 43.878.042.111	
2.	Union of Science and Technology for Mineral Resources, Environment, and Energy	Participate in management and operations	2024	- Content: Purchasing goods and services - Value: VND 328.600.000	

4.2. Transactions between companies and companies in which related persons of members of the Board of Directors, members of the Supervisory Board, Director (General Director) and other managers are members of the Board of Directors, Director (General Director) manage:

No.	Name	Position/ Relationship to the Company	Transaction time	Content, quantity, total transaction value	Note
	Hop Nhat Processing and Screening Joint Stock Company	A related party of the Board of Directors is a member of the Board of Directors		- Content: Purchasing goods and services - Value: 0 VND	Related parties until January 29, 2024
			January, 2024	- Content: Sale of goods and provision of services - Value: 21.283.948.800 VND	

4.3. Other transactions of the company (if any) that may bring material or immaterial benefits to members of the Board of Directors, members of the Supervisory Board, Director (General Director) and other managers: None

#### VIII. Stock transactions of insiders and related persons of insiders (Annual report)

1. List of insiders and related people of insiders: Appendix 02 attached
2. Transactions by insiders and related persons on the company's stock

No.	The person performing the transaction	Relationship to the insiders	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increase or decrease (buy, sell, convert, bonus...)
			Number of shares	Rate	Number of shares	Rate	
1.	Mr: Dong Khanh Du	Insider	4,468,400	3.79	968,400	0.82	Decrease: Buy and sell
2.	Mr: Pham Huu Bao	Insider	3,961,500	3.36	961,500	0.82	Decrease: Buy and sell
3.	Mr: Dao Ngoc Thao	Insider	3,371,900	1.86	871,900	0.74	Decrease: Buy and sell
4.	Mr: Dang Quoc Lich	Insider	35,131,500	29.8	36,131,500	30.65	Increase: Buy and sell

**IX. Other issues to note: None**

**Recipients:**

- As above;
- Archive: CT, BOD offices

**CHAIRMAN OF THE BOARD OF DIRECTORS**

(Signature, write full name and stamp)



**DANG QUOC LICH**



**APPENDIX 01:**  
**LIST OF RELATED PERSONS OF THE COMPANY**

*(Attached to Report No. 01 dated January 22, 2025, of Hop Nhat Joint Stock Company)*

**Stock Code:**  
**Company Name:**  
**Record Date:**

**AAH**  
**CONG TY CO PHAN HOP NHAT**  
**31/12/2024**

No	Stock code	Full name	Position at the Company	Relationship with Internal persons	Type of Identification Document (ID Card, Citizen ID, Passport, Business Registration Certificate)	NSH No.	Address	Date of issuance of NSH	Time to appoint internal person/become a related person	Note
1	2	3	4	5	6	7	8	9	10	11
1	AAH	Internal person: Dang Quoc Lich	Chairman						31/05/2012	Share ownership 30.65%
Full name of related parties of internal persons										
1.1	AAH	Dang Quoc Su		Biological father (deceased)						
1.2	AAH	Dang Thi Mui		Biological mother						
1.3	AAH	Dinh Van Can		Father-in-law						
1.4	AAH	Bui Thi Nhat		Mother-in-law						
1.5	AAH	Dang Thi Ly		Younger sister						
1.6	AAH	Dang Quoc Chinh		Younger brother						

1	2	3	4	5	6	7	8	9	10	11
1.7	AAH	Dang Thi Lien		Younger sister						
1.8	AAH	Dang Thi Lan		Younger sister						
1.9	AAH	Dang Thi Luyen		Younger sister						
1.10	AAH	Dang Quoc Cuong		Biological younger brother						
1.11	AAH	Dinh Thi Thuy Duong		Wife						
1.12	AAH	Dang Huyen Trang		Daughter						
1.13	AAH	Dang Quoc Vinh		Son						
1.14	AAH	Dang Quoc Thinh		Son						
1.15	AAH	Dang Quoc Tung		Son						
1.16	AAH	Dang Quoc Minh		Son						
1.17	AAH	Dang Thi Kim Ngan		Daughter						
1.18	AAH	Hoang Van Dieu		Brother-in-law						
1.19	AAH	Dao Quang Nang		Brother-in-law						
1.20	AAH	Nguyen Tuan Dat		Brother-in-law						



1	2	3	4	5	6	7	8	9	10	11
1.21	AAH	Pham Hoai Thuong		Sister-in-law						
1.22	AAH	Nguyen Thi Que		Sister-in-law						
1.23	AAH	Thien Lam Dat Joint Stock Company		Co-Chairman of the Board of Directors						
1.24	AAH	Hop Nhat Processing and Screening Joint Stock Company		Wife is a BOD member until January 29, 2024						
2	AAH	<b>Internal person:</b> Pham Huu Bao	<b>Board Member – General Director</b>						08/03/2022	

**Full name of related parties of internal persons**

2.1	AAH	Pham Huu Bao		Biological father (deceased)						
2.2	AAH	Nguyen Thi Chat		Biological mother (deceased)						
2.3	AAH	Vu Van Hung		Father-in-law						
2.4	AAH	Vu Thi Bac		Mother-in-law (deceased)						
2.5	AAH	Vu Thi Huong		Wife						
2.6	AAH	Pham Ngoc Anh		Daughter						
2.7	AAH	Pham Ngoc Anh		Daughter						
2.8	AAH	Pham Bao Ngoc		Daughter						
2.9	AAH	Pham Huu Dong		Older brother						

1	2	3	4	5	6	7	8	9	10	11
2.10	AAH	Pham Thi Huong		Older sister						
2.11	AAH	Pham Thi Diep		Older sister						
2.12	AAH	Pham Thi Yen		Younger sister						
2.13	AAH	Pham Thi Giang		Younger sister						
2.14	AAH	Do Van Bich		Brother-in-law (deceased)						
2.15	AAH	Cao Van Chinh		Brother-in-law						
2.16	AAH	Vu Van Phuong		Brother-in-law						
2.17	AAH	Hoang Van Hoa		Brother-in-law (deceased)						
3	AAH	<b>Internal person: Dao Ngoc Thao</b>	<b>Board Member – Deputy General Director</b>						08/03/2022	

**Full name of related parties of internal persons**

3.1	AAH	Dao Ngoc Bach		Biological father (deceased)						
3.2	AAH	Ngo Thi Nhung		Biological mother						
3.3	AAH	Dao Thi Phuong		Younger sister						
3.4	AAH	Dao Ngoc Phuong		Younger brother						
3.5	AAH	Nguyen Thi Van		Sister-in-law						



1	2	3	4	5	6	7	8	9	10	11
3.6	AAH	Nguyen Quang Tuyen		Brother-in-law (deceased)						
3.7	AAH	Do Duy Hoa		Father-in-law (deceased)						
3.8	AAH	Nguyen Thi Hoa		Mother-in-law						
3.9	AAH	Do Thi Ha		Wife						
3.10	AAH	Dao Ngoc Linh		Son						
3.11	AAH	Dao Ngoc Duc Anh		Son						
4	AAH	<b>Internal person: Vi Thanh Chinh</b>	<b>Independent Member of BOD</b>						08/03/2022	

**Full name of related parties of internal persons**

4.1	AAH	Vi Van Chi		Biological father						
4.2	AAH	Nguyen Thi Cuc		Biological mother (deceased)						
4.3	AAH	Vi Van Thuc		Younger brother						
4.4	AAH	Ban Van Quy		Father-in-law						
4.5	AAH	Nguyen Thi Tan		Mother-in-law (deceased)						
4.6	AAH	Ban Thi Quyen		Wife						
4.7	AAH	Vi Thao Nhi		Daughter						

1	2	3	4	5	6	7	8	9	10	11
5	AAH	Internal person: Dong Khanh Du	Board Member – Deputy General Director						08/03/2022	

**Full name of related parties of internal persons**

5.1	AAH	Dong Van Long		Biological father						
5.2	AAH	Do Thi Duyen		Biological mother						
5.3	AAH	Tran Van Dinh		Father-in-law						
5.4	AAH	Hoang Thi Lieu		Mother-in-law						
5.5	AAH	Tran Thi Thanh Canh		Wife						
5.6	AAH	Dong Thanh Dung		Son						
5.7	AAH	Dong Minh Khang		Son						
5.8	AAH	Dong Quy Lai		Older brother						
5.9	AAH	Dong Minh Giang		Older brother						
5.10	AAH	Dong Thuy Hoan		Older sister						
5.11	AAH	Nguyen Thi Hoc		Sister-in-law						
5.12	AAH	Nguyen Thi Ca Na		Sister-in-law						



1	2	3	4	5	6	7	8	9	10	11
6	AAH	Internal person: Nguyen Viet Phuong	Deputy General Director - Authorized Information Disclosure Officer						01/02/2022	

Full name of related parties of internal persons

6.1	AAH	Nguyen Van Chap		Biological father						
6.2	AAH	Pham Thi Yen		Biological mother						
6.3	AAH	Phan Dac Hai		Father-in-law						
6.4	AAH	Nguyen Thi Hien		Mother-in-law						
6.5	AAH	Nguyen Viet Dien		Younger brother						
6.6	AAH	Nguyen Thi Tuyet Nhung		Younger sister						
6.7	AAH	Phan Thi Thu Trang		Wife						
6.8	AAH	Nguyen Quang Minh		Son						
6.9	AAH	Nguyen Bao Trung		Son						
6.10	AAH	Phan Thi Ngoc Anh		Brother-in-law						
6.11	AAH	Nguyen Thi Ngoc Linh		Sister-in-law						
6.12	AAH	Tran Van Thanh		Brother-in-law						
6.13	AAH	Union of Science and Technology for Minerals, Environment, and Energy		Director						

1	2	3	4	5	6	7	8	9	10	11
7	AAH	Internal person: Le Thi Bich Yen	Head of the Supervisory Board						08/03/2022	
Full name of related parties of internal persons										
7.1	AAH	Le Van Toan		Biological father						
7.2	AAH	Le Thi Bich Viet		Biological mother						
7.3	AAH	Le Tran Cong		Younger brother						
8	AAH	Internal person: Vu Thi Thanh Hang	Member of the Supervisory Board						08/03/2022	
Full name of related parties of internal persons										
8.1	AAH	Vu Duc Thinh		Biological father (deceased)						
8.2	AAH	Hoang Thi Minh		Biological mother						
8.3	AAH	Vu Duc Lam		Younger brother						
8.4	AAH	Bui Thi Thu Thuy		Sister-in-law						
8.5	AAH	Vu Duc Toan		Younger brother						
8.6	AAH	Trinh Kim Thoa		Sister-in-law						
8.7	AAH	Bui Duc Dua		Father-in-law (deceased)						
8.8	AAH	Nguyen Thi Bay		Mother-in-law (deceased)						
8.9	AAH	Bui Van Ha		Husband						
8.10	AAH	Bui Thi Lan Anh		Daughter						
8.11	AAH	Bui Tuan Anh		Son						
9	AAH	Internal person: Le Thi Xuyen	Members of the Supervisory Board (until April 29, 2024)						08/03/2022	
Full name of related parties of internal persons										
9.1	AAH	Le Van Tu		Biological father						



1	2	3	4	5	6	7	8	9	10	11
9.2	AAH	Le Thi Sau		Biological mother						
9.3	AAH	Le Thi Van Anh		Younger sister						
9.4	AAH	Le Chi Ly		Father-in-law						
9.5	AAH	Tran Thi Bach		Mother-in-law						
9.6	AAH	Le Duc Vuong		Husband						
9.7	AAH	Le Khanh Ngoc		Daughter						
9.8	AAH	Le Phuc Hung		Son						
10	AAH	Internal person: Bui Minh Thu	Person in charge of governance cum Company Secretary						08/03/2022	

**Full name of related parties of internal persons**

10.1	AAH	Bui Van Thinh		Biological father (deceased)						
10.2	AAH	Luong Thi Toan		Biological mother						
10.3	AAH	Bui Luong		Younger brother						
10.4	AAH	Dang Thi Bac		Sister-in-law						
10.5	AAH	Ninh Van Nghiem		Father-in-law (deceased)						
10.6	AAH	Hoang Thi Hoi		Mother-in-law						

1	2	3	4	5	6	7	8	9	10	11
10.7	AAH	Ninh Ngoc Duy		Husband						
10.8	AAH	Ninh Ngoc Thu Giang		Daughter						
10.9	AAH	Ninh Ngoc Duy Anh		Son						
11	AAH	Internal person: Doan Van Cuong	Chief Accountant (From January 3, 2024 to August 16, 2024)						03/01/2024	

**Full name of related parties of internal persons**

11.1	AAH	Doan Van Hong		Father						
11.2	AAH	Ninh Thi Chiem		Mother						
11.3	AAH	Trinh Ba Binh		Father-in-law						
11.4	AAH	Luong Thi Dung		Mother-in-law						
11.5	AAH	Doan Thu Mua		Sister						
11.6	AAH	Truong The Vinh		Brother-in-law						
11.7	AAH	Doan Thi Hue		Sister						
11.8	AAH	Do Thach Thai		Brother-in-law						
11.9	AAH	Doan Thi Thu Thao		Sister						
11.10	AAH	Nguyen Van Uyen		Brother-in-law						



1	2	3	4	5	6	7	8	9	10	11
11.11	AAH	Trinh Thi Anh Minh		Wife						
11.12	AAH	Doan Trinh Minh Chau		Daughter						
11.13	AAH	Doan Trinh Gia Huy		Son						
12	AAH	<b>Internal person: Nguyen Thi An</b>	<b>Members of the Supervisory Board (from April 29, 2024)</b>						29/04/2024	
12.1	AAH	Nguyen Sy Tuan		Biological father						
12.2	AAH	Nguyen Thi Nga		Biological mother						
12.3	AAH	Ho Thi Nhen		Mother-in-law						
12.4	AAH	Nguyen Thi Tham		Sister-in-law						
12.5	AAH	Tran Xuan Thien		Brother-in-law						
12.6	AAH	Nguyen Sy Long		Younger brother						
12.7	AAH	Nguyen Thanh Viet		Husband						
12.8	AAH	Nguyen Thanh Tu		Child						
13	AAH	<b>Internal person: Nguyen Quang Trung</b>	<b>Chief Accountant (from August 16, 2024)</b>						16/08/2024	
<b>Full name of related parties of internal persons</b>										
13.1	AAH	Nguyen Quang Dien		Biological father						

1	2	3	4	5	6	7	8	9	10	11
13.2	AAH	Vu Thi Tam		Biological mother						
13.3	AAH	Nguyen Minh Nhat		Biological child						
13.4	AAH	Nguyen Minh Duc		Biological child						
13.5	AAH	Nguyen Ngoc My Lan		Biological child						
13.6	AAH	Nguyen Thi Oanh		Older sister						
13.7	AAH	Dinh Van Son		Brother-in-law						
13.8	AAH	Nguyen Thi Nga		Younger sister						
14	AAH	Internal person: Tran Xuan Giang	Deputy Director						17/10/2024	

**Full name of related parties of internal persons**

14.1	AAH	Tran Thi Lan		Biological mother						
14.2	AAH	Dang Thi Binh		Wife						
14.3	AAH	Tran Thi Minh Phuong		Daughter						
14.4	AAH	Nguyen Duc Tam		Son-in-law						
14.5	AAH	Tran Phuong Thao		Daughter						
14.6	AAH	Nguyen Nang Vuong		Son-in-law						

1	2	3	4	5	6	7	8	9	10	11
14.7	AAH	Tran Nam Phong		Son						
14.8	AAH	Tran Xuan Tuan		Son						
14.9	AAH	Nguyen Thi Sen		Daughter-in-law						
14.10	AAH	Tran Thi Phuong		Older sister						
14.11	AAH	Vu Duc Luan		Brother-in-law						
14.12	AAH	Tran Xuan Dong		Older brother						
14.13	AAH	Duong Thi Huyen		Sister-in-law						
14.14	AAH	Tran Xuan Son		Younger brother						
14.15	AAH	Pham Thi Lam		Sister-in-law						
14.16	AAH	Tran Xuan Thuy		Younger brother						
14.17	AAH	Nguyen Thi Vin		Sister-in-law						
14.18	AAH	Tran Thi Hang		Younger sister						
15	AAH	Internal person: Dang Van Long	Deputy Director						17/10/2024	
Full name of related parties of internal persons										
15.1	AAH	Nguyen Thi Lien		Biological mother						



1	2	3	4	5	6	7	8	9	10	11
15.2	AAH	Nguyen Thi Lan		Wife						
15.3	AAH	Dang Thuy Linh		Daughter						
15.4	AAH	Dang Thuy Duong		Daughter						
15.5	AAH	Dang Thanh Hai		Son						
15.6	AAH	Dang Van An		Younger brother						
15.7	AAH	Nguyen Thi Huong		Sister-in-law						
15.8	AAH	Dang Thi Anh		Mother-in-law						

PREPARER

Nguyen Thi Duan

ORGANIZATION REPRESENTATIVE



Pham Huu Bao

**APPENDIX 02:**  
**LIST OF INSIDERS AND RELATED PEOPLE OF INSIDERS**

*(Attached to Report No. 01 dated January 22, 2025, of Hop Nhat Joint Stock Company)*

Stock Code: **AAH**

Company Name: **HOP NHAT JOINT STOCK COMPANY**

Record Date: **31/12/2024**

No	Stock code	Full name	Securities Trading Account (if any)	Position at the Company (if any)	Relationship with Internal persons	Type of Identification Document (ID Card, Citizen ID, Passport, Business Registration Certificate):	NSH No.	Address	Number of shares owned	Percent age of share ownership (%)	Note
1		2	3	4	5	6	7	8	9	10	11
1	AAH	Internal person: Dang Quoc Lich		Chairman					36,131,500	30.65	
Full name of related parties of internal persons											
1.1	AAH	Dang Quoc Su			Biological father (deceased)				0.00	0.00	

1		2	3	4	5	6	7	8	9	10	11
1.2	AAH	Dang Thi Mui			Biological mother				0.00	0.00	
1.3	AAH	Dinh Van Can			Father-in-law				0.00	0.00	
1.4	AAH	Bui Thi Nhat			Mother-in-law				0.00	0.00	
1.5	AAH	Dang Thi Ly			Younger sister				0.00	0.00	
1.6	AAH	Dang Quoc Chinh			Younger brother				3,537,000	3.00	
1.7	AAH	Dang Thi Lien			Younger sister				1,000	0.0008	
1.8	AAH	Dang Thi Lan			Younger sister				0.00	0.00	
1.9	AAH	Dang Thi Luyen			Younger sister				0.00	0.00	
1.10	AAH	Dang Quoc Cuong			Biological younger brother				0.00	0.00	
1.11	AAH	Dinh Thi Thuy Duong			Wife				0.00	0.00	
1.12	AAH	Dang Huyen Trang			Daughter				0.00	0.00	
1.13	AAH	Dang Quoc Vinh			Son				0.00	0.00	
1.14	AAH	Dang Quoc Thinh			Son				0.00	0.00	
1.15	AAH	Dang Quoc Tung			Son				0.00	0.00	
1.16	AAH	Dang Quoc Minh			Son				0.00	0.00	
1.17	AAH	Dang Thi Kim Ngan			Daughter				0.00	0.00	
1.18	AAH	Hoang Van Dieu			Brother-in-law				0.00	0.00	



1		2	3	4	5	6	7	8	9	10	11
1.19	AAH	Dao Quang Nang			Brother-in-law				0.00	0.00	
1.20	AAH	Nguyen Tuan Dat			Brother-in-law				0.00	0.00	
1.21	AAH	Pham Hoai Thuong			Sister-in-law				0.00	0.00	
1.22	AAH	Nguyen Thi Que			Sister-in-law				1,000	0.0008	
1.23	AAH	Thien Lam Dat Joint Stock Company			Co-Chairman of the Board of Directors				0.00	0.00	
1.24	AAH	Hop Nhat Processing and Screening Joint Stock Company			Wife is a BOD member until January 29, 2024				0.00	0.00	
2	AAH	<b>Internal person: Pham Huu Bao</b>		<b>Board Member – General Director</b>					<b>961,500</b>	<b>0.82</b>	

**Full name of related parties of internal persons**

2.1	AAH	Pham Huu Bao			Biological father (deceased)				0.00	0.00	
2.2	AAH	Nguyen Thi Chat			Biological mother (deceased)				0.00	0.00	
2.3	AAH	Vu Van Hung			Father-in-law				0.00	0.00	
2.4	AAH	Vu Thi Bac			Mother-in-law (deceased)				0.00	0.00	

1		2	3	4	5	6	7	8	9	10	11
2.5	AAH	Vu Thi Huong			Wife				0.00	0.00	
2.6	AAH	Pham Ngoc Anh			Daughter				5,000	0.0042	
2.7	AAH	Pham Ngoc Anh			Daughter				0.00	0.00	
2.8	AAH	Pham Bao Ngoc			Daughter				0.00	0.00	
2.9	AAH	Pham Huu Dong			Older brother				0.00	0.00	
2.10	AAH	Pham Thi Huong			Older sister				0.00	0.00	
2.11	AAH	Pham Thi Diep			Older sister				0.00	0.00	
2.12	AAH	Pham Thi Yen			Younger sister				0.00	0.00	
2.13	AAH	Pham Thi Giang			Younger sister				0.00	0.00	
2.14	AAH	Do Van Bich			Brother-in-law (deceased)				0.00	0.00	

1		2	3	4	5	6	7	8	9	10	11
2.15	AAH	Cao Van Chinh			Brother-in-law				0.00	0.00	
2.16	AAH	Vu Van Phuong			Brother-in-law				0.00	0.00	
2.17	AAH	Hoang Van Hoa			Brother-in-law (deceased)				0.00	0.00	
3	AAH	Internal person: Dao Ngoc Thao		Board Member – Deputy General Director					871,900	0.74	

**Full name of related parties of internal persons**

3.1	AAH	Dao Ngoc Bach			Biological father (deceased)				0.00	0.00	
3.2	AAH	Ngo Thi Nhung			Biological mother				0.00	0.00	
3.3	AAH	Dao Thi Phuong			Younger sister				0.00	0.00	
3.4	AAH	Dao Ngoc Phuong			Younger brother				0.00	0.00	
3.5	AAH	Nguyen Thi Van			Sister-in-law				0.00	0.00	



1		2	3	4	5	6	7	8	9	10	11
3.6	AAH	Nguyen Quang Tuyen			Brother-in-law (deceased)				0.00	0.00	
3.7	AAH	Do Duy Hoa			Father-in-law (deceased)				0.00	0.00	
3.8	AAH	Nguyen Thi Hoa			Mother-in-law				0.00	0.00	
3.9	AAH	Do Thi Ha			Wife				5,000	0.0042	
3.10	AAH	Dao Ngoc Linh			Son				0.00	0.00	
3.11	AAH	Dao Ngoc Duc Anh			Son				0.00	0.00	
4	AAH	Internal person: Vi Thanh Chinh		<b>Independent Member of BOD</b>					500	0.0004	

**Full name of related parties of internal persons**

4.1	AAH	Vi Van Chi			Biological father				0.00	0.00	
4.2	AAH	Nguyen Thi Cuc			Biological mother (deceased)				0.00	0.00	
4.3	AAH	Vi Van Thuc			Younger brother				0.00	0.00	
4.4	AAH	Ban Van Quy			Father-in-law				0.00	0.00	
4.5	AAH	Nguyen Thi Tan			Mother-in-law (deceased)				0.00	0.00	

1		2	3	4	5	6	7	8	9	10	11
4.6	AAH	Ban Thi Quyen			Wife				500	0.0004	
4.7	AAH	Vi Thao Nhi			Daughter				0.00	0.00	
5	AAH	Internal person: Dong Khanh Du		Board Member – Deputy General Director					968,400	0.82	

**Full name of related parties of internal persons**

5.1	AAH	Dong Van Long			Biological father				0.00	0.00	
5.2	AAH	Do Thi Duyen			Biological mother				0.00	0.00	
5.3	AAH	Tran Van Dinh			Father-in-law				0.00	0.00	
5.4	AAH	Hoang Thi Lieu			Mother-in-law				0.00	0.00	
5.5	AAH	Tran Thi Thanh Canh			Wife				5,000	0.0042	
5.6	AAH	Dong Thanh Dung			Son				0.00	0.00	

1		2	3	4	5	6	7	8	9	10	11
5.7	AAH	Dong Minh Khang			Son				0.00	0.00	
5.8	AAH	Dong Quy Lai			Older brother				0.00	0.00	
5.9	AAH	Dong Minh Giang			Older brother				0.00	0.00	
5.10	AAH	Dong Thuy Hoan			Older sister				0.00	0.00	
5.11	AAH	Nguyen Thi Hoc			Sister-in-law				0.00	0.00	
5.12	AAH	Nguyen Thi Ca Na			Sister-in-law				0.00	0.00	
6	AAH	Internal person: Nguyen Viet Phuong		Deputy General Director - Authorized Information Disclosure Officer					500,000	0.42	

**Full name of related parties of internal persons**

6.1	AAH	Nguyen Van Chap			Biological father				0.00	0.00	
6.2	AAH	Pham Thi Yen			Biological mother				0.00	0.00	
6.3	AAH	Phan Dac Hai			Father-in-law				0.00	0.00	



1		2	3	4	5	6	7	8	9	10	11
6.4	AAH	Nguyen Thi Hien			Mother-in-law				0.00	0.00	
6.5	AAH	Nguyen Viet Dien			Younger brother				0.00	0.00	
6.6	AAH	Nguyen Thi Tuyet Nhung			Younger sister				0.00	0.00	
6.7	AAH	Phan Thi Thu Trang			Wife				5.000	0.0042	
6.8	AAH	Nguyen Quang Minh			Son				0.00	0.00	
6.9	AAH	Nguyen Bao Trung			Son				0.00	0.00	
6.10	AAH	Phan Thi Ngoc Anh			Brother-in-law				0.00	0.00	
6.11	AAH	Nguyen Thi Ngoc Linh			Sister-in-law				0.00	0.00	
6.12	AAH	Tran Van Thanh			Brother-in-law				0.00	0.00	
6.13	AAH	Union of Science and Technology for Minerals, Environment, and Energy			Director				0.00	0.00	
7	AAH	<b>Internal person: Le Thi Bich Yen</b>		<b>Head of the Supervisory Board</b>					<b>1,500</b>	<b>0.0013</b>	

**Full name of related parties of internal persons**

7.1	AAH	Le Van Toan			Biological father				0.00	0.00	
7.2	AAH	Le Thi Bich Viet			Biological mother				0.00	0.00	

1		2	3	4	5	6	7	8	9	10	11
7.3	AAH	Le Tran Cong			Younger brother				0.00	0.00	
8	AAH	<b>Internal person: Vu Thi Thanh Hang</b>		<b>Member of the Supervisory Board</b>					<b>1,500</b>	<b>0.0013</b>	

**Full name of related parties of internal persons**

8.1	AAH	Vu Duc Thinh			Biological father (deceased)				0.00	0.00	
8.2	AAH	Hoang Thi Minh			Biological mother				0.00	0.00	
8.3	AAH	Vu Duc Lam			Younger brother				0.00	0.00	
8.4	AAH	Bui Thi Thu Thuy			Sister-in-law				0.00	0.00	
8.5	AAH	Vu Duc Toan			Younger brother				0.00	0.00	
8.6	AAH	Trinh Kim Thoa			Sister-in-law				0.00	0.00	
8.7	AAH	Bui Duc Dua			Father-in-law (deceased)				0.00	0.00	

1		2	3	4	5	6	7	8	9	10	11
8.8	AAH	Nguyen Thi Bay			Mother-in-law (deceased)				0.00	0.00	
8.9	AAH	Bui Van Ha			Husband				0.00	0.00	
8.10	AAH	Bui Thi Lan Anh			Daughter				0.00	0.00	
8.11	AAH	Bui Tuan Anh			Son				0.00	0.00	
9	AAH	Internal person: Le Thi Xuyen		Member of the Supervisory Board					1,000	0.0008	

**Full name of related parties of internal persons**

9.1	AAH	Le Van Tu			Biological father				0.00	0.00	
9.2	AAH	Le Thi Sau			Biological mother				0.00	0.00	
9.3	AAH	Le Thi Van Anh			Younger sister				0.00	0.00	
9.4	AAH	Le Chi Ly			Father-in-law				0.00	0.00	



1		2	3	4	5	6	7	8	9	10	11
9.5	AAH	Tran Thi Bach			Mother-in-law				0.00	0.00	
9.6	AAH	Le Duc Vuong			Husband				0.00	0.00	
9.7	AAH	Le Khanh Ngoc			Daughter				0.00	0.00	
9.8	AAH	Le Phuc Hung			Son				0.00	0.00	
10	AAH	Internal person: Bui Minh Thu		Person in charge of governance cum Company Secretary					5,000	0.0042	

**Full name of related parties of internal persons**

10.1	AAH	Bui Van Thinh			Biological father (deceased)				0.00	0.00	
10.2	AAH	Luong Thi Toan			Biological mother				0.00	0.00	
10.3	AAH	Bui Luong			Younger brother				0.00	0.00	
10.4	AAH	Dang Thi Bac			Sister-in-law				0.00	0.00	

1		2	3	4	5	6	7	8	9	10	11
10.5	AAH	Ninh Van Nghiem			Father-in-law (deceased)				0.00	0.00	
10.6	AAH	Hoang Thi Hoi			Mother-in-law				0.00	0.00	
10.7	AAH	Ninh Ngoc Duy			Husband				0.00	0.00	
10.8	AAH	Ninh Ngoc Thu Giang			Daughter				0.00	0.00	
10.9	AAH	Ninh Ngoc Duy Anh			Son				0.00	0.00	
11	AAH	Internal person: Doan Van Cuong		Chief Accountant (From 03/01/2024)					0.00	0.00	

**Full name of related parties of internal persons**

11.1	AAH	Doan Van Hong			Father				0.00	0.00	
11.2	AAH	Ninh Thi Chiem			Mother				0.00	0.00	
11.3	AAH	Trinh Ba Binh			Father-in-law				0.00	0.00	

1		2	3	4	5	6	7	8	9	10	11
11.4	AAH	Luong Thi Dung			Mother-in-law				0.00	0.00	
11.5	AAH	Doan Thu Mua			Sister				0.00	0.00	
11.6	AAH	Truong The Vinh			Brother-in-law				0.00	0.00	
11.7	AAH	Doan Thi Hue			Sister				0.00	0.00	
11.8	AAH	Do Thach Thai			Brother-in-law				0.00	0.00	
11.9	AAH	Doan Thi Thu Thao			Sister				0.00	0.00	
11.10	AAH	Nguyen Van Uyen			Brother-in-law				0.00	0.00	
11.11	AAH	Trinh Thi Anh Minh			Wife				0.00	0.00	
11.12	AAH	Doan Trinh Minh Chau			Daughter				0.00	0.00	
11.13	AAH	Doan Trinh Gia Huy			Son				0.00	0.00	



1		2	3	4	5	6	7	8	9	10	11
12	AAH	Internal person: Nguyen Thi An		Members of the Supervisory Board (from April 29, 2024)					500	0.0004	
12.1		Nguyen Sy Tuan			Biological father				0.00	0.00	
12.2		Nguyen Thi Nga			Biological mother				0.00	0.00	
12.3		Ho Thi Nhlen			Mother-in-law				0.00	0.00	
12.4		Nguyen Thi Tham			Sister-in-law				0.00	0.00	
12.5		Tran Xuan Thien			Brother-in-law				0.00	0.00	
12.6		Nguyen Sy Long			Younger brother				0.00	0.00	
12.7		Nguyen Thanh Viet			Husband				0.00	0.00	
12.8		Nguyen Thanh Tu			Child				0.00	0.00	

1		2	3	4	5	6	7	8	9	10	11
13	AAH	Internal person: Nguyen Quang Trung		Chief Accountant (from August 16, 2024)					0.00	0.00	
Full name of related parties of internal persons											
13.1	AAH	Nguyen Quang Dien			Biological father				0.00	0.00	
13.2	AAH	Vu Thi Tam			Biological mother				0.00	0.00	
13.3	AAH	Nguyen Minh Nhat			Biological child				0.00	0.00	
13.4	AAH	Nguyen Minh Duc			Biological child				0.00	0.00	
13.5	AAH	Nguyen Ngoc My Lan			Biological child				0.00	0.00	
13.6	AAH	Nguyen Thi Oanh			Older sister				0.00	0.00	
13.7	AAH	Dinh Van Son			Brother-in-law				0.00	0.00	
13.8	AAH	Nguyen Thi Nga			Younger sister				0.00	0.00	
14	AAH	Internal person: Tran Xuan Giang		Deputy Director					0.00	0.00	

1		2	3	4	5	6	7	8	9	10	11
Full name of related parties of internal persons											
14.1	AAH	Tran Thi Lan			Biological mother				0.00	0.00	
14.2	AAH	Dang Thi Binh			Wife				0.00	0.00	
14.3	AAH	Tran Thi Minh Phuong			Daughter				0.00	0.00	
14.4	AAH	Nguyen Duc Tam			Son-in-law				0.00	0.00	
14.5	AAH	Tran Phuong Thao			Daughter				0.00	0.00	
14.6	AAH	Nguyen Nang Vuong			Son-in-law				0.00	0.00	
14.7	AAH	Tran Nam Phong			Son				0.00	0.00	
14.8	AAH	Tran Xuan Tuan			Son				0.00	0.00	
14.9	AAH	Nguyen Thi Sen			Daughter-in-law				0.00	0.00	
14.10	AAH	Tran Thi Phuong			Older sister				0.00	0.00	



1		2	3	4	5	6	7	8	9	10	11
14.11	AAH	Vu Duc Luan			Brother-in-law				0.00	0.00	
14.12	AAH	Tran Xuan Dong			Older brother				0.00	0.00	
14.13	AAH	Duong Thi Huyen			Sister-in-law				0.00	0.00	
14.14	AAH	Tran Xuan Son			Younger brother				0.00	0.00	
14.15	AAH	Pham Thi Lam			Sister-in-law				0.00	0.00	
14.16	AAH	Tran Xuan Thuy			Younger brother				0.00	0.00	
14.17	AAH	Nguyen Thi Vin			Sister-in-law				0.00	0.00	
14.18	AAH	Tran Thi Hang			Younger sister				0.00	0.00	
15	AAH	<b>Internal person: Dang Van Long</b>	<b>Deputy Director</b>						5,000	0.0042	

**Full name of related parties of internal persons**

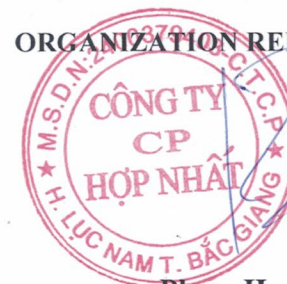
15.1	AAH	Nguyen Thi Lien			Biological mother				0.00	0.00	
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1		2	3	4	5	6	7	8	9	10	11
15.2	AAH	Nguyen Thi Lan			Wife				0.00	0.00	
15.3	AAH	Dang Thuy Linh			Daughter				0.00	0.00	
15.4	AAH	Dang Thuy Duong			Daughter				0.00	0.00	
15.5	AAH	Dang Thanh Hai			Son				0.00	0.00	
15.6	AAH	Dang Van An			Younger brother				0.00	0.00	
15.7	AAH	Nguyen Thi Huong			Sister-in-law				0.00	0.00	
15.8	AAH	Dang Thi Anh			Mother-in-law				0.00	0.00	

PREPARER

Nguyen Thi Duan

ORGANIZATION REPRESENTATIVE



Pham Huu Bao