

Code	SMC
Company name	SMC Trading Investment Joint Stock Company
Date	05/29/2025
Subject	Handling violations for SMC shares

Content:

On May 28, 2025, the Hochiminh Stock Exchange issued Announcement to handle violations for SMC shares of SMC Trading Investment Joint Stock Company as follows:

Content:

1. Change the share of SMC from supervision status to warning status as of May 30, 2025 according to Decision No.384/QĐ-SGDHCM dated May 28, 2025 by the Hochiminh Stock Exchange due to After-tax profit of parent company's shareholders in 2024 was VND29.2 billion, the undistributed after-tax profit as of December 31, 2024 was - VND139.625 billion according to the consolidated audited financial statements in 2024. This is the case of securities being changed from supervision status to warning status under Point b, Clause 1, Article 36 and Point b, Clause 4, Article 37 of the Rules of listing and trading of listed securities promulgated with Decision No.22/QĐ-HDTV dated 04/18/2025 by the Members' Council of the Vietnam Stock Exchange.
2. The share of SMC continues to put into warning status according to Decision No. 232/QĐ-SGDHCM dated April 16, 2025 by the Hochiminh Stock Exchange due to the listed organization delayed the submission of the 2024 audited financial statements by more than 15 days compared to the specified time. This is the case of securities being warned under Point g, Clause 1, Article 37 of the Rules of listing and trading of listed securities promulgated with Decision No.17/QĐ-HDTV dated 03/31/2022 by the Members' Council of the Vietnam Stock Exchange.