



**TIEN PHONG SECURITIES  
CORPORATION**

No. 01/2025/NQ-DHDCDTN

**SOCIALIST REPUBLIC OF VIETNAM**  
**Independence - Freedom - Happiness**

*Ho Chi Minh City, June 27, 2025*

**RESOLUTION**

**ANNUAL GENERAL MEETING OF SHAREHOLDERS 2025**

**TIEN PHONG SECURITIES CORPORATION (“the Company” or “TPS”)**

- Pursuant to the Law on Enterprises, the Law on Securities and other relevant laws;
- Pursuant to the Charter of organization and operation of Tien Phong Securities Corporation (“the **Charter**”);
- Pursuant to the Minutes of the Annual General Meeting of Shareholders 2025 No. 01/2025/BBH-DHDCDTN dated 27/06/2025;

**DECIDED:**

**Article 1. Approved the reports of the Board of Directors, independent members of the Board of Directors and the Board of Supervisors**

1. Approved the Report of the Company’s Business Results in 2024 and Business Plan for 2025 (detailed in Report No. BC01-HDQT/2025 HDDCDTN2025 dated 27/06/2025).

*(Unit: billion VND)*

No.	Item	Actual 2024	Plan 2025
1	Revenue	2.106	1.379
2	Cost	1.624	1.240
3	Profit before tax	481	139
4	Profit after tax	379	111

2. Approved the Report of the Board of Directors on activities in 2024 and orientation for 2025 (detailed in Report No. BC02-HDQT/DHDCDTN2025 dated 27/06/2025).
3. Approved the Report of the Board of Supervisors on activities in 2024 and orientation for 2025 (detailed in Report No. BC-BKS/DHDCDTN2025 dated 27/06/2025).

**Article 2. Approved the audited Financial Statements for 2024 and reports related to the increase of the Company’s charter capital** (detailed in Proposal No. 01/2025/TTr-HDQT dated 27/06/2025)

1. The Company’s Financial Statements for 2024 have been audited. Some important indicators in the Financial Statements for 2024 as follows:

*(Unit: VND)*

No.	Item	Year 2023	Year 2024
1	Total assets	6.931.757.094.757	11.198.130.697.457
2	Revenue	2.918.796.701.756	2.105.577.648.964
3	Profit before tax	283.448.445.344	481.449.841.543
4	Profit after tax	228.519.843.820	379.111.428.571
5	Accumulated profit	518.274.763.735	537.388.762.306
6	Undistributed accumulated profit	518.274.763.735	537.388.762.306

2. Approved the Proposals related to the increase of the Company's charter capital:
  - a. Approved the change of charter capital/owner's contributed capital and the Company's share premium in 2024 as follows:

(Unit: VND)

Date	Owner's contributed capital	Share premium
01/01/2024	2.000.000.000.000	877.282.000
23/04/2024 <sup>(1)</sup>	3.000.000.000.000	5.916.888.000
17/06/2024 <sup>(2)</sup>	3.359.997.430.000	5.916.888.000
31/12/2024	3.359.997.430.000	5.916.888.000

For clarity, <sup>(1)</sup> the Company has completed the Right Offering in 2023; and <sup>(2)</sup> the Company has completed the issuance of shares to pay dividends pursuant to the Resolution of the Annual General Meeting of Shareholders in 2024 (the dividend rate is 12%).

- b. Approved the actual capital utilization plan from the Rights Offering in 2023 and the Proposal of capital utilization from the Right Offering in 2023 has been audited as follows:

No.	Item	Actual amount (VND)
1	Amount received	1.005.039.606.000
2	Investment in listed securities	137.856.620.000
3	Investment in unlisted securities	136.064.423.315
4	Investment in Government bonds	101.157.600.000
5	Investment in certificates of deposit	530.000.000.000
6	Margin lending activities	99.999.868.827
7	Amount used (7=2+3+4+5+6)	1.005.078.512.142
8	Difference between the amount received and the amount used (to be offset by other capital sources) (8=1-7)	(38.906.142)

- Article 3. Approved the remuneration of the Board of Directors and the Board of Supervisors (detailed in Proposal No. 02/2025/TTr-HDQT dated 27/06/2025)

(Unit: VND)

Recipients of remuneration	In 2024		Year 2025
	Plan	Actual	Plan
Board of Directors	1.100.000.000	1.100.000.000	800.000.000
Board of Supervisors	160.000.000	160.000.000	140.000.000

- Article 4. Approved the profit distribution plan for 2024 (detailed in Proposal No. 03/2025/TTr-HDQT dated 27/06/2025)

1. Approved the report on dividend payment and appropriation to funds and provisions from the profit after tax as follows:

(Unit: VND)

No.	Item	Actual amount
1	Undistributed profit after tax as of 31/12/2023 (the audited Financial Statements for 2023)	518.274.763.735
2	Profit after tax earned in 2024	379.111.428.571



3	Dividend payment made in 2024	359.997.430.000
4	Appropriation to funds, provisions in 2024	0
5	Undistributed profit after tax as of 31/12/2024 (based on the audited Financial Statements for 2024) (5=1+2-3-4)	<b>537.388.762.306</b>

2. Approved the profit distribution plan for 2024: The Company meets the conditions for profit distribution in accordance with the provisions of the Charter and relevant laws. However, the Company's accumulated undistributed profit is planned to be used for the Company's business development plan in 2025; therefore, the Company shall not distribute the accumulated profits.

**Article 5. Approved the bond issuance/offering plan of the Company with a total bond issuance limit of VND 3.000 billion** (detailed in Proposal No. 04/2025/TTr-HDQT dated 27/06/2025).

**Article 6. Approved the plan for private placement of shares to increase the Company's charter capital** (detailed in Proposal No. 05/2025/TTr-HDQT dated 27/06/2025); including the share private placement plan and the capital utilization plan from such private placement attached to Proposal No. 05/2025/TTr-HDQT dated 27/06/2025.

**Article 7. Approved transactions between the Company and its related parties** (detailed in Proposal No. 06/2025/TTr-HDQT dated 27/06/2025).

**Article 8. Approved the amendment, supplementation of the Charter** (detailed in Proposal No. 07/2025/TTr-HDQT dated 27/06/2025).

Concurrently, approved the issuance of the new Charter as drafted and attached to Proposal No. 07/2025/TTr-HDQT dated 27/06/2025.

**Article 9. Approved the plan for selecting audit firms for the Company** (detailed in Proposal No. 01/2025/TTr-BKS dated 27/06/2025).

**Article 10. Approved the dismissal of members of the Board of Directors, members of the Board of Supervisors and election of additional members of the Board of Directors and members of the Board of Supervisors for the term 2021 - 2026** (detailed in Proposal No. 08/2025/TTr-HDQT dated 27/06/2025)

1. Approved the dismissal of **05 (five)** members of the Board of Directors for the term 2021 - 2026, including:

No.	Full name	Title
1	(Mr.) Ta Quang Luong	Board Member
2	(Mrs.) Bui Thi Thanh Tra	Board Member
3	(Mr.) Do Anh Tu	Board Member
4	(Mrs.) Nguyen Thi Le Tung	Board Member
5	(Mr.) Le Quoc Hung	Independent Board Member

2. Approved the dismissal of **01 (one)** member of the Board of Supervisors for the term 2021 - 2026 is:

No.	Full name	Title
1	(Mr.) Nguyen Trat Minh Phuong	Supervisor

3. Approved the change in the number of members of the Board of Directors for the term 2021 - 2026, reducing from 07 (seven) members to **05 (five)** members, including **02 (two)** independent members of the Board of Directors.

4. Approved the election of additional members of the Board of Directors and members of the Board of Supervisors for the term 2021 - 2026:
  - a. Approved the additional election of **03 (three)** members of the Board of Directors, including **01 (one)** independent member of the Board of Directors, to ensure the structure of the Board of Directors for the term 2021 - 2026 is **05 (five)** members, including **02 (two)** independent members of the Board of Directors.
  - b. Approved the additional election of **01 (one)** member of the Board of Supervisors, to ensure the structure of the Board of Supervisors for the term 2021 - 2026 is **03 (three)** members.
5. Approved the Election Regulations for Members of the Board of Directors and Members of the Board of Supervisors No. QCBC/GCDTN/2025 dated June 27, 2025 (the “**Election Regulations**”).
6. Approved the list of candidates to elect additional members of the Board of Directors, members of the Board of Supervisors for the term 2021 - 2026 include:
  - a. List of candidates for the election of additional members of the Board of Directors:

No.	Candidate's full name	Title
1	(Mr.) Nguyen Hong Quan	Board Member
2	(Mrs.) Dang Thi Bich Thuy	Board Member
3	(Mr.) Tran Quang Huy	Independent Board Member

- b. List of candidates for the election of additional members of the Board of Supervisors:

No.	Candidate's full name	Title
1	(Ms.) Nguyen Thi Phuong Thuy	Supervisor

7. Approved the process of the election of additional members to the Board of Directors and the Board of Supervisors for the term 2021 - 2026 at the Annual General Meeting of Shareholders 2025 in accordance with the Election Regulations and the list of candidates as above.

**Article 11. Approved the results of the election of additional members of the Board of Directors and members of the Board of Supervisors for the term 2021 - 2026**

1. Results of election of additional members of the Board of Directors for the term 2021 - 2026

- a. List of elected members of the Board of Directors

No.	Elected member's full name	Elected title
1	(Mr.) Nguyen Hong Quan	Board Member
2	(Mrs.) Dang Thi Bich Thuy	Board Member
3	(Mr.) Tran Quang Huy	Independent Board Member

- b. List of members of the Board of Directors for the term 2021 - 2026 after completing the election of additional members of the Board of Directors

NO.	Board member's full name	Title
1	(Mrs.) Pham Thi Huyen Trang	Board Member



2	(Mrs.) Truong Thi Hoang Lan	Independent Board Member
3	(Mr.) Nguyen Hong Quan	Board Member
4	(Mrs.) Dang Thi Bich Thuy	Board Member
5	(Mr.) Tran Quang Huy	Independent Board Member

2. Results of election of additional members of the Board of Supervisors for the term 2021 - 2026

a. List of elected members of the Board of Supervisors

No.	Elected member's full name	Elected title
1	(Ms.) Nguyen Thi Phuong Thuy	Supervisor

b. List of members of the Board of Supervisors for the term 2021 - 2026 after completing the election of additional members of the Board of Supervisors

No.	Supervisor's full name	Title
1	(Mrs.) Pham Thi Thanh Tam	Supervisor
2	(Mrs.) Ngo Thi Le Thanh	Supervisor
3	(Ms.) Nguyen Thi Phuong Thuy	Supervisor

**Article 12. Effectiveness**

This Resolution was approved by the General Meeting of Shareholders at the Annual General Meeting of Shareholders 2025 on June 27, 2025. This Resolution takes effect from the date of signing. The General Meeting of Shareholders, the Board of Directors, the Board of Supervisors and the General Director(s) of the Company are responsible for implementing this Resolution.

**ON BEHALF OF THE GENERAL  
MEETING OF SHAREHOLDERS**

*Chairperson of the AGM*

**Recipients:**

- GMS;
- BOD, BOS, GD(s);
- Archived.



**PHAM THI HUYEN TRANG**



**TIEN PHONG SECURITIES  
CORPORATION**

No. 01/2025/BBH-DHDCDTN

**SOCIALIST REPUBLIC OF VIETNAM**

**Independence - Freedom - Happiness**

*Ho Chi Minh City, June 27, 2025*

**MINUTES**

**ANNUAL GENERAL MEETING OF SHAREHOLDERS 2025**

**TIEN PHONG SECURITIES CORPORATION (“the Company” or “TPS”)**

Today, at 09:00 on June 27, 2025, at the 4th Floor, Doji Tower, No. 81-83-85 Ham Nghi, Nguyen Thai Binh Ward, District 1, Ho Chi Minh City, the Annual General Meeting of Shareholders 2025 of the Company took place. Specifically, as follows:

**I. OPENING CEREMONY OF THE MEETING**

**1. Introduction of participants**

The Organizing Committee of the General Meeting of Shareholders announces the opening and introduction of the participants of the Annual General Meeting of Shareholders 2025 of the Company, including:

- Members of the Board of Directors, the Board of Supervisors;
- General Director and members of the Company's executive board;
- Representative of the audit firm - Ernst & Young Vietnam Co., Ltd. (E&Y);
- Shareholders and authorized representatives of shareholders are present to attend the General Meeting of Shareholders.

**2. Report on the results of shareholder qualification examination**

(Mrs.) Tran Thi Xuan Lan - Head of the Shareholder Qualification Inspection Committee reported the results of the shareholder qualification examination to attend the General Meeting of Shareholders as follows:

- Shareholders invited to attend the General Meeting of Shareholders: The total number of shareholders invited to attend the General Meeting of Shareholders is **23.370 shareholders**; owns/represents **335.999.743 shares** out of a total of **335.999.743 shares** of the Company, equivalent to **100% of** the total number of voting shares of the Company based on the List of Owners securities owners have the right to attend the General Meeting of Shareholders established by the Vietnam Securities Depository and Clearing Corporation (“VSDC”) on **May 23, 2025**.
- Shareholders attending the General Meeting of Shareholders: Total number of shareholders attending the General Meeting of Shareholders owning/representing **176.902.930 shares** out of a total of **335.999.743 shares** of the Company, equivalent to **52,65%** of the total voting shares of the Company on the last registration date (**May 23, 2025**), specifically as follows:

STT	Form of attendance	Number of Shareholders	Number of shares owned/represented	Ratio (*)
1	In-person attendance	54	904.952	0,27%
2	Authorize others to attend	41	66.715.552	19,86%
3	Authorization for (Mrs.) Dang Si Thuy Tam - CEO	172	109.282.426	32,52%
<b>Total</b>			<b>176.902.930</b>	<b>52,65%</b>



With the situation of shareholders attending the General Meeting of Shareholders detailed as above, the Annual General Meeting of Shareholders 2025 of the Company held on **June 27, 2025** is legal, valid and eligible to be conducted.

*(\*) The ratio to the total number of voting shares of the Company is 335.999.743 shares based on the List of securities holders entitled to attend the General Meeting of Shareholders prepared by VSDC on May 23, 2025.*

**3. Introduction and voting to approve members of the Presiding Committee**

With the agreement of the Board of Directors and the Board of Supervisors, (Ms.) Pham Thi Huyen Trang - Member of the Board of Directors introduced and submitted to the General Meeting of Shareholders to vote for approval of the members of the Presiding Committee, including:

- a. (Mrs.) Pham Thi Huyen Trang - Chairperson of the AGM;
- b. (Mrs.) Dang Si Thuy Tam - Member;
- c. (Mrs.) Pham Thi Thanh Tam - Member;
- d. (Mr.) Nguyen Hong Quan - Member.

The General Meeting of Shareholders voted to approve the members of the Presiding Committee with a voting rate of 100%.

**4. Introduction and voting to approve members of the Secretariat**

Introducing and submitting to the General Meeting of Shareholders for approval the members of the Secretariat, including:

- a. (Mrs.) Ha Thi Thu Hang - Head of the Secretariat;
- b. (Mrs.) Pham Thi Thuy - Member.

The General Meeting of Shareholders voted to approve the members of the Secretariat with a voting rate of 100%.

**5. Voting to approve the Regulation on Organization of the Annual General Meeting of Shareholders 2025**

The draft Regulation on Organization of the Annual General Meeting of Shareholders 2025 ("**Organization Regulations**") is presented and submitted to the General Meeting of Shareholders for approval.

The General Meeting of Shareholders voted to approve the Organization Regulations with a voting rate of 100%.

**6. Introduction and voting to approve members of the Vote Counting Committee**

Introducing and submitting to the General Meeting of Shareholders to vote for approval the members of the Vote Counting Committee, including:

- a. (Mrs.) Tran Thi Xuan Lan - Head of the Vote Counting Committee;
- b. (Mr.) Tran Van Thao - Member;
- c. (Mrs.) Vo Thi Nhu Thuy - Member.

The General Meeting of Shareholders voted to approve the members of the Vote Counting Committee with a voting rate of 100%.

**7. Approval of the agenda of the General Meeting of Shareholders**

The agenda of the General Meeting of Shareholders is presented and submitted to the General Meeting of Shareholders for approval.

The General Meeting of Shareholders voted to approve the agenda of the General Meeting of Shareholders with a voting rate of 100%.

## **II. MEETING CONTENT**

### **1. Presentation of reports at the General Meeting of Shareholders**

The reports of the Board of Directors and the Board of Supervisors presented and submitted to the General Meeting of Shareholders for approval include:

- a. Report of the Company's Business Results in 2024 and Business Plan for 2025: (Mrs.) Dang Si Thuy Tam - General Director presented the Report of the Company's Business Results in 2024 and Business Plan for 2025 (detailed in Report No. BC01-HDQT/2025 HDDCDTN2025 dated 27/06/2025).
- b. Report of the Board of Directors on activities in 2024 and orientation for 2025: (Mrs.) Pham Thi Huyen Trang - Member of the Board of Directors in charge of presenting the Report of the Board of Directors on activities in 2024 and orientation for 2025 (detailed in Report No. BC02-HDQT/DHDCDTN2025 dated 27/06/2025).
- c. Report of the Board of Supervisors on activities in 2024 and orientation for 2025: (Mrs.) Pham Thi Thanh Tam - Head of the Board of Supervisors presented the Report of the Board of Supervisors on activities in 2024 and orientation for 2025 (detailed in Report No. BC-BKS/DHDCDTN2025 dated 27/06/2025).

### **2. Presentation of proposals at the General Meeting of Shareholders**

The contents of proposals of the Board of Directors and the Board of Supervisors presented and submitted to the General Meeting of Shareholders for approval include:

- a. The proposals of the audited Financial Statements for 2024 and reports related to the increase of the Company's charter capital (detailed in Proposal No. 01/2025/TTr-HDQT dated 27/06/2025);
- b. The proposals of the remuneration of the Board of Directors and the Board of Supervisors (detailed in Proposal No. 02/2025/TTr-HDQT dated 27/06/2025);
- c. The proposals of the profit distribution plan for 2024 (detailed in Proposal No. 03/2025/TTr-HDQT dated 27/06/2025);
- d. The proposals of the bond issuance/offering plan of the Company with a total bond issuance limit of VND 3.000 billion (detailed in Proposal No. 04/2025/TTr-HDQT dated 27/06/2025);
- e. The proposals of the plan for private placement of shares to increase the Company's charter capital (detailed in Proposal No. 05/2025/TTr-HDQT dated 27/06/2025); including the share private placement plan and the capital utilization plan from such private placement attached to Proposal No. 05/2025/TTr-HDQT dated 27/06/2025.
- f. The proposals of transactions between the Company and its related parties (detailed in Proposal No. 06/2025/TTr-HDQT dated 27/06/2025);
- g. The proposals of the amendment, supplementation of the Charter (detailed in Proposal No. 07/2025/TTr-HDQT dated 27/06/2025);  
Concurrently, the proposals of the issuance of the new Charter as drafted and attached to Proposal No. 07/2025/TTr-HDQT dated 27/06/2025;
- h. The proposals of the plan for selecting audit firms for the Company (detailed in Proposal No. 01/2025/TTr-BKS dated 27/06/2025).



### III. DISCUSS AND RESPOND TO QUESTIONS AND OPINIONS OF SHAREHOLDERS

*It is detailed in the summary table attached to this Minutes.*

### IV. VOTING RESULTS

The General Meeting of Shareholders voted to approve the reports and proposals presented at the General Meeting of Shareholders by voting.

(Mrs.) Tran Thi Xuan Lan - Head of the Vote Counting Committee reported the results of the vote counting to the General Meeting of Shareholders, specifically as follows:

#### 1. Results of vote counting

STT	Content	Number of Voting Cards	Number of Ballots
1	Number of ballot cards issued	95	176.902.930
2	Number of ballot cards collected	72	176.893.256
2.1	Number of valid voting cards	66	176.184.043
2.2	Invalid ballot number	6	709.213

#### 2. Voting results for each specific voting content

*It is detailed in the summary table attached to this Minutes.*

### V. DISMISSAL, ELECTION OF ADDITIONAL MEMBERS OF THE BOARD OF DIRECTORS AND MEMBERS OF THE BOARD OF SUPERVISORS

The contents of the proposals of the Board of Directors on **the dismissal of members of the Board of Directors, members of the Board of Supervisors and election of additional members of the Board of Directors and members of the Board of Supervisors for the term 2021 - 2026** (detailed in the Proposal No. 08/2025/TTr-HDQT dated 27/06/2025) are presented and submitted to the General Meeting of Shareholders for approval with the voting rate approval reached 100%.

Specifically, the General Meeting of Shareholders voted to approve the followings:

#### 1. Approved the dismissal of members of the Board of Directors

Approved the dismissal of **05 (five)** members of the Board of Directors for the term 2021 - 2026, including:

No.	Full name	Title
1	(Mr.) Ta Quang Luong	Board Member
2	(Mrs.) Bui Thi Thanh Tra	Board Member
3	(Mr.) Do Anh Tu	Board Member
4	(Mrs.) Nguyen Thi Le Tung	Board Member
5	(Mr.) Le Quoc Hung	Independent Board Member

#### 2. Approved the dismissal of members of the Board of Supervisors

Approved the dismissal of **01 (one)** member of the Board of Supervisors for the term 2021 - 2026 is:

STT	Full name	Title
1	(Mr.) Nguyen Trat Minh Phuong	Supervisor

3. **Approved the change in the number of members of the Board of Directors for the term 2021 - 2026**

Approved the change in the number of members of the Board of Directors for the term 2021 - 2026, reducing from 07 (seven) members to **05 (five)** members, including **02 (two)** Independent Board Members of the Board of Directors.

4. **Approved the election of additional members of the Board of Directors and members of the Board of Supervisors for the term 2021 - 2026**

- a. Approved the additional election of **03 (three)** members of the Board of Directors, including **01 (one)** Independent Board Member of the Board of Directors, to ensure the structure of the Board of Directors for the term 2021 - 2026 is **05 (five)** members, including **02 (two)** Independent Board Members of the Board of Directors.
- b. Approved the additional election of **01 (one)** member of the Board of Supervisors, to ensure the structure of the Board of Supervisors for the term 2021 - 2026 is **03 (three)** members.

5. **Approved the Election Regulations**

Approved the Election Regulations for Members of the Board of Directors and Members of the Board of Supervisors No. QCBC/GCDTN/2025 dated June 27, 2025 (the "Election Regulations").

6. **Approved the list of candidates to elect additional members of the Board of Directors, members of the Board of Supervisors**

For the reason that there are no nominees or candidates for members of the Board of Directors, members of the Board of Supervisors, the Board of Directors and the Supervisory Board in charge recommend and submit to the General Meeting of Shareholders to vote for approval the list of candidates for the election of additional members of the Board of Directors, members of the Board of Supervisors for the term 2021 - 2026. The information of the candidates is announced before the General Meeting of Shareholders.

- a. Approved the list of candidates for the election of additional members of the Board of Directors:

STT	Candidate's full name	Title
1	(Mr.) Nguyen Hong Quan	Board Member
2	(Mrs.) Dang Thi Bich Thuy	Board Member
3	(Mr.) Tran Quang Huy	Independent Board Member

- b. Approved the list of candidates for the election of additional members of the Board of of Supervisors:

STT	Candidate's full name	Title
1	(Ms.) Nguyen Thi Phuong Thuy	Supervisor

7. **Approved the election of additional members of the Board of Directors and members of the Board of Supervisors for the term 2021 - 2026**

Approved the process of the election of additional members to the Board of Directors and the Board of Supervisors for the term 2021 - 2026 at the Annual General Meeting of Shareholders 2025 in accordance with the Election Regulations and the list of candidates as above.



## VI. ELECTION RESULTS

The General Meeting of Shareholders shall elect additional members of the Board of Directors and members of the Board of Supervisors for the term 2021 - 2026 by voting.

(Mrs.) Tran Thi Xuan Lan - Head of the Vote Counting Committee reported the election results to the General Meeting of Shareholders, specifically as follows:

### 1. Results of election of additional members of the Board of Directors

#### a. Results of vote counting for members of the Board of Directors

STT	Content	Number of ballot papers	Number of shares owned/represented	Number of converted votes (*)
1	Number of ballot cards issued	95	176.902.930	530.708.790
2	Number of ballot cards collected	74	176.893.262	530.679.786
2.1	Valid ballot card number	67	176.183.771	528.551.313
2.2	Invalid ballot number	7	709.491	2.128.473

#### b. Results of election of additional members of the Board of Directors

STT	Candidate's full name	Election Results	
		Total votes cast	Result
1	(Mr.) Nguyen Hong Quan	197.245.105	Elected
2	(Mrs.) Dang Thi Bich Thuy	165.988.866	Elected
3	(Mr.) Tran Quang Huy	165.317.342	Elected

#### c. Conclude

- (i) List of elected members of the Board of Directors for the term 2021 - 2026

STT	Elected member's full name	Elected title
1	(Mr.) Nguyen Hong Quan	Board Member
2	(Mrs.) Dang Thi Bich Thuy	Board Member
3	(Mr.) Tran Quang Huy	Independent Board Member

- (ii) List of members of the Board of Directors for the term 2021 - 2026 after completing the election of additional members of the Board of Directors

STT	Board member's full name	Title
1	(Mrs.) Pham Thi Huyen Trang	Board Member
2	(Mrs.) Truong Thi Hoang Lan	Independent Board Member
3	(Mr.) Nguyen Hong Quan	Board Member
4	(Mrs.) Dang Thi Bich Thuy	Board Member
5	(Mr.) Tran Quang Huy	Independent Board Member

### 2. Results of election of additional members of the Board of Supervisors

(\*) Number of converted votes = Number of shares owned/represented \* Number of additional elected members of the Board of Directors

**a. Results of vote counting for election of members of the Board of Supervisors**

STT	Content	Number of ballot papers	Number of shares owned/represented	Number of converted votes <sup>(**)</sup>
1	Number of ballot cards issued	95	176.902.930	176.902.930
2	Number of ballot cards collected	74	176.893.262	176.893.262
2.1	Valid ballot card number	70	176.183.862	176.183.862
2.2	Invalid ballot number	4	709.400	709.400

**b. Results of election of additional members of the Board of Supervisors**

STT	Candidate's full name	Election Results	
		Total votes cast	Result
1	(Ms.) Nguyen Thi Phuong Thuy	176.183.862	Elected

**c. Conclude**

- (i) List of elected members of the Board of Supervisors for the term 2021 - 2026

STT	Elected member's full name	Elected title
1	(Ms.) Nguyen Thi Phuong Thuy	Supervisor

- (ii) List of members of the Board of Supervisors for the term 2021 - 2026 after completing the election of additional members of the Board of Supervisors

STT	Supervisor's full name	Title
1	(Mrs.) Pham Thi Thanh Tam	Supervisor
2	(Mrs.) Ngo Thi Le Thanh	Supervisor
3	(Ms.) Nguyen Thi Phuong Thuy	Supervisor

**VII. APPROVED THE DRAFT RESOLUTION OF THE GENERAL MEETING OF SHAREHOLDERS AND MINUTES OF THE MEETING**

- The draft Resolution of the Annual General Meeting of Shareholders 2025 was presented by the Secretariat and voted to approve by the General Meeting of Shareholders with a voting rate of 100%.
- The minutes of the Annual General Meeting of Shareholders 2025 were presented by the Secretariat and voted to approve by the General Meeting of Shareholders with a voting rate of 100%.
- The Annual General Meeting of Shareholders 2025 of the Company ends at 11h:50 on the same day.

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<sup>(\*\*)</sup> Number of converted votes = Number of shares owned/represented \* Number of additional elected members of the Supervisory Board



Signature page

**PRESIDING COMMITTEE**



**PHAM THI HUYEN TRANG**

*Chairperson of the AGM*

**DANG SI THUY TAM**

*Member*

**PHAM THI THANH TAM**

*Member*

**NGUYEN HONG QUAN**

*Member*

**SECRETARIAT**

**HA THI THU HANG**

*Head of the Secretariat*

**PHAM THI THUY**

*Member*



**SUMMARY TABLE OF DISCUSSION CONTENT,  
RESPONDING TO QUESTIONS AND OPINIONS OF SHAREHOLDERS**

*(Attached is the Minutes of the Annual General Meeting of Shareholders No. 01/2025/BBH-DHDCDTN  
dated 27/06/2025 of Tien Phong Securities Corporation)*

No.	Shareholders' questions	Response content
1	Specific business plan for key business segments? How to orient and allocate resources?	<p>From February - March 2025, the Company develops a prudent plan, still focusing on 04 core segments but will have appropriate orientation and adjustment solutions.</p> <ul style="list-style-type: none"> <li>- Brokerage continues to be the focus, focusing on developing brokerage to create a foundation for the development of related segments such as margin lending, source business. The Company will promote investment in brokerage according to the new trend on a modern digital platform, increase the rate of active trading accounts, and expand the customer base.</li> <li>- Corporate financial consultancy is a potential trend, especially for small and medium-sized enterprises, focusing on supporting businesses to develop plans to access capital through banks and issue appropriate securities.</li> <li>- Capital business continues to be implemented, taking advantage of opportunities from low interest rates to trade capital. Currently, there are 3 banks, it is expected that in the near future, 5 banks will grant unsecured credit lines to the Company.</li> <li>- Proprietary trading maintains a prudent strategy, prioritizing safe, low-risk securities/investments, such as bank bonds.</li> </ul>
2	Where will the issuance of bonds with a limit of VND 3.000 billion and increase the charter capital by VND 3.500 billion in 2025 be used? If this is not possible, what alternative options does the Company have to ensure liquidity and business continuity?	<ul style="list-style-type: none"> <li>- For the capital raised from the bond issuance, the main objective is to restructure the outstanding bond packages, take advantage of the trend of low interest rates to reduce capital mobilization costs and improve the financial efficiency of the Company.</li> <li>- For the capital raised from the issuance of individual shares, first of all, it is to ensure liquidity, and at the same time, it will supplement capital sources for core business activities such as securities brokerage, margin lending, and proprietary trading.</li> </ul> <p>The Company has assessed the feasibility of the options. However, in the event that the capital mobilization plan does not meet expectations, the Company has prepared contingency plans to ensure liquidity and continuous operation, including strict cost control, optimization of cash flow from current business activities; to mobilize capital from other sources at reasonable costs.</p>



3	Does TPBank plan to buy privately issued shares in the upcoming issuance?	Investors who are offered shares in the upcoming private placement will be carefully selected, in order to bring maximum benefits to the Company in terms of finance and other aspects. It is expected that TPBank will be an investment shareholder with a significant scale. TPBank is now a major shareholder and has provided practical support to the Company in recent years, accompanying the Company to overcome challenges and develop the Company in a prudent, safe and long-term new direction.
4	Has the change in senior leadership affected the Company in recent years?	In recent years, the Company has changed its senior leadership personnel, but this is a change with a clear plan, which is necessary in the process of restructuring and improving the efficiency of the Company's governance.
5	The Company's business results in the second quarter of 2025.	The Company has not updated the exact data, the expected result is a loss. However, after 06/2025, the Company is expected to start recording profits for 2025.
6	How do BCG-related bond packages affect the Company's operations? Has the Company handled all these bond packages? What is the progress and direction of handling bond packages related to BCG?	05 bond packages of BCG group were notified by HNX to suspend trading. Fluctuations related to the bond packages of the BCG group have significantly affected bondholders who are investors and customers of the Company, thereby indirectly affecting the Company's operations. The Company is currently actively coordinating closely with the issuer, stakeholders and authorities to handle the case, with the highest goal of protecting the interests and not causing losses to investors. Some bond packages can be processed early, but it depends on the decision of the authorities and the provisions of the law.
7	The 2025 plan has dropped sharply. What risk scenarios are being taken into account in the forecast?	In the face of current difficulties, the Company cannot set a strong growth plan. The orientation in the coming time is to strengthen the team, strengthen risk management; promote business activities in a methodical direction, learn from the incident that has occurred.

# SUMMARY TABLE OF VOTING RESULTS FOR EACH SPECIFIC VOTING CONTENT

(Attached is the Minutes of the Annual General Meeting of Shareholders No. 01/2025/BBH-DHDCDTN

dated 27/06/2025 of Tien Phong Securities Corporation)

No.	Voting content	Number of valid ballots	Voting Results			Conclusion (*)
			Approve	Disapprove	No Comments	
1	Approved the Report of the Company’s Business Results in 2024 and Business Plan for 2025 (Report No. BC01-HDQT/DHDCDTN2025 dated 27/06/2025).	176.184.043	Number of ballots	0	0	Pass
			Voting Rate	0%	0%	
2	Approved the Report of the Board of Directors on activities in 2024 and orientation for 2025 (Report No. BC02-HDQT/DHDCDTN2025 dated 27/06/2025).	176.184.043	Number of ballots	0	0	Pass
			Voting Rate	0%	0%	
3	Approved the Report of the Board of Supervisors on activities in 2024 and orientation for 2025 (Report No. BC-BKS/2025 dated 27/06/2025).	176.184.043	Number of ballots	0	0	Pass
			Voting Rate	0%	0%	
4	Approved the audited Financial Statements for 2024 and Proposals related to the increase of the Company’s charter capital (Proposal No. 01/2025/TTr-HDQT dated 27/06/2025).	176.184.043	Number of ballots	0	0	Pass
			Voting Rate	0%	0%	
5	Approved the remuneration of the Board of Directors and the Board of Supervisors (Proposal No. 02/2025/TTr-HDQT dated 27/06/2025).	176.184.043	Number of ballots	0	0	Pass
			Voting Rate	0%	0%	

(\*) Voting contents shall be approved if the voting rate in favor is over 50%; except for voting contents No. 8 and No. 9 which shall be approved if the voting rate is 65% or more.



6	Approved the profit distribution plan for 2024 (Proposal No. 03/2025/TTr-HDQT dated 27/06/2025).	176.184.043	Number of ballots	176.184.043	0	0	Pass
			Voting Rate	100%	0%	0%	
7	Approved the bond issuance/offering plan of the Company with a total bond issuance limit of VND 3.000 billion (Proposal No. 04/2025/TTr-HDQT dated 27/06/2025).	176.184.043	Number of ballots	176.107.143	0	76.900	Pass
			Voting Rate	99,96%	0%	0,04%	
8	Approved the plan for private placement of shares to increase the Company's charter capital (Proposal No. 05/2025/TTr-HDQT dated 27/06/2025).	145.910.443	Number of ballots	145.833.543	0	76.900	Pass
			Voting Rate	99,95%	0%	0,05%	
9	Approved transactions between the Company and its related parties (Proposal No. 06/2025/TTr-HDQT dated 27/06/2025).	145.910.443	Number of ballots	145.833.543	0	76.900	Pass
			Voting Rate	99,95%	0%	0,05%	
10	Approved the amendment, supplementation of the Charter (Proposal No. 07/2025/TTr-HDQT dated 27/06/2025).	176.184.043	Number of ballots	176.107.143	0	76.900	Pass
			Voting Rate	99,96%	0%	0,04%	
11	Approved the plan for selecting audit firms for the Company (Proposal No. 01/2025/TTr-BKS dated 27/06/2025).	176.184.043	Number of ballots	176.184.043	0	0	Pass
			Voting Rate	100%	0%	0%	

