

Số/No: 2032/2026/CV-SSI.CTHĐQT

V/v: Công bố các Nghị quyết Hội đồng quản trị thông qua thực hiện mua lại cổ phiếu phát hành theo chương trình lựa chọn cho người lao động và thành lập Trung tâm Sản phẩm số

Re: Disclose Resolutions of the Board of Directors approving the repurchase of shares issued under the Employee Stock Ownership Plan and the establishment of the Digital Product Center

TP. Hồ Chí Minh, ngày 16 tháng 10 năm 2026
Ho Chi Minh City, October 16th, 2026

CÔNG BỐ THÔNG TIN BẤT THƯỜNG
EXTRAORDINARY INFORMATION DISCLOSURE

Kính gửi/To: - Ủy ban Chứng khoán Nhà nước/ *The State Securities Commission*
- Sở Giao dịch Chứng khoán Việt Nam/ *Vietnam Exchange*
- Sở Giao dịch Chứng khoán TP. Hồ Chí Minh/ *Hochiminh Stock Exchange*
- Sở Giao dịch Chứng khoán Hà Nội/ *Hanoi Stock Exchange*

1. Tên tổ chức: **CÔNG TY CỔ PHẦN CHỨNG KHOÁN SSI**
Organization name **SSI SECURITIES CORPORATION**
- Mã chứng khoán: SSI
Ticker SSI
- Địa chỉ: 72 Nguyễn Huệ, Phường Sài Gòn, TP. Hồ Chí Minh
Address 72 Nguyen Hue, Sai Gon Ward, Ho Chi Minh City
- Điện thoại liên hệ: 028-38242897
Telephone 028-38242897
- Fax: 028-38242997
- Email: congbothongtin@ssi.com.vn

2. Nội dung thông tin công bố/ *Contents of disclosure*:
- Nghị quyết số 32/2026/NQ-SSI.HĐQT ngày 16/10/2026 của Hội đồng quản trị Công ty Cổ phần Chứng khoán SSI thông qua thực hiện mua lại cổ phiếu phát hành theo chương trình lựa chọn cho người lao động.
Resolution No. 32/2026/NQ-SSI.HĐQT dated October 16th, 2026 of the Board of Directors of SSI Securities Corporation approving the repurchase of shares issued under the Employee Stock Ownership Plan
- Nghị quyết số 33/2026/NQ-SSI.HĐQT ngày 16/10/2026 của Hội đồng quản trị Công ty Cổ phần Chứng khoán SSI thông qua thành lập Trung tâm Sản phẩm số.
Resolution No. 33/2026/NQ-SSI.HĐQT dated October 16th, 2026 of the Board of Directors of SSI Securities Corporation approving the establishment of the Digital Product Center

3. Thông tin này đã được công bố trên trang thông tin điện tử của Công ty vào ngày 16/10/2026 tại đường dẫn www.ssi.com.vn.
This information was posted on SSI website on October 16th, 2026 at this link www.ssi.com.vn.

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.
We hereby declare that we are responsible for the accuracy and completeness of the above information.

Tài liệu đính kèm/Attached documents:

- Nghị quyết số 32/2026/NQ-SSI.HĐQT và 33/2026/NQ-SSI.HĐQT ngày 16/10/2026 của Hội đồng quản trị;
Resolution No. 32/2026/NQ-SSI.HĐQT and 33/2026/NQ-SSI.HĐQT dated October 16th, 2026 of the Board of Directors

Đại diện tổ chức
Organization representative
Người được ủy quyền công bố thông tin
Party authorized to disclose information



Nguyễn Kim Long
Giám đốc Luật và Kiểm soát tuân thủ
Director, Legal and Compliance



No.: 32/2026/NQ-SSI.HĐQT

Ho Chi Minh City, October 16th, 2026

**RESOLUTION
OF THE BOARD OF DIRECTORS
Re: Repurchase of shares
issued under the Employee Stock Ownership Plan**

**THE BOARD OF DIRECTORS
SSI SECURITIES CORPORATION**

Pursuant to:

- The Charter of SSI Securities Corporation;
- Resolution No. 12/2023/NQ-HĐQT dated October 19th, 2023 of the Board of Directors approving the implementation of the Plan for the issuance of shares under the Employee Stock Ownership Plan;
- Resolution No. 13/2023/NQ-HĐQT dated October 19th, 2023 of the Board of Directors on the promulgation of the Regulations on the issuance of shares under the Employee Stock Ownership Plan pursuant to Article 7 of Resolution No. 01/2023/NQ-ĐHĐCĐ ("Regulations on share issuance under ESOP 2023");
- Resolution No. 07/2025/NQ-HĐQT dated April 18th, 2025 of the Board of Directors approving the implementation of the Plan for the issuance of shares under the Employee Stock Ownership Plan;
- Resolution No. 08/2025/NQ-HĐQT dated April 18th, 2025 of the Board of Directors on the promulgation of the Regulations on the issuance of shares under the Employee Stock Ownership Plan pursuant to Article 7 of Resolution No. 01/2024/NQ-ĐHĐCĐ ("Regulations on share issuance under ESOP 2024");
- Resolution No. 07/2026/NQ-SSI.HĐQT dated May 7th, 2026 of the Board of Directors approving the implementation of the Plan for the issuance of shares under the Employee Stock Ownership Plan;
- Resolution No. 08/2026/NQ-SSI.HĐQT dated May 7th, 2026 of the Board of Directors on the promulgation of the Regulations on the issuance of shares under the Employee Stock Ownership Plan pursuant to Article 8 of Resolution No. 01/2025/NQ-ĐHĐCĐ and Article 6 of Resolution No. 01/2026/NQ-SSI.ĐHĐCĐ, as amended and supplemented by Resolution No. 12/2026/NQ-SSI.HĐQT dated May 22nd, 2026 of the Board of Directors approving the amendments and supplements to the Regulations on the issuance of shares under the Employee Stock Ownership Plan promulgated together with Resolution No. 08/2026/NQ-SSI.HĐQT dated May 7th, 2026 ("Regulations on share issuance under ESOP 2025");
- The Minutes of collecting opinions of the members of the Board of Directors dated October 16th, 2026;

RESOLVES

Article 1: To repurchase shares issued under the Employee Stock Ownership Plan (ESOP) from employees who have ceased their employment with the Company as treasury shares, with details as follows:

1. Name of shares: Shares of SSI Securities Corporation



2. Total number of shares registered for repurchase: **801,500** shares.
3. Purpose of repurchase: To repurchase ESOP shares as treasury shares due to employees having ceased their employment with the Company, in accordance with Regulations on share issuance under ESOP 2023, 2024 and 2025.
4. Source of funds for the repurchase: VND **8,015,000,000** from the Company's working capital.
5. Transaction method: Transfer of ownership through the Vietnam Securities Depository and Clearing Corporation.
6. Pricing principle: The ESOP shares shall be repurchased at the repurchase price stipulated in the Regulations on share issuance under ESOP of 2023, 2024 and 2025, being VND 10,000 per share.
7. List of employees who have ceased their employment with the Company and are subject to the repurchase: As per the list attached to this Resolution.
8. Expected transaction period: From October 29th, 2026 to November 30th, 2026.

Article 2: The Board of Directors authorizes the Chairman of the Board of Directors to decide on matters and sign the relevant dossiers, documents and materials, including but not limited to:

1. Deciding on the specific timing of the repurchase;
2. Carrying out the necessary legal procedures and signing the relevant dossiers, documents and materials in accordance with the law and as required by competent authorities to complete the share repurchase;
3. Deciding on the amendment, supplementation and adjustment of the repurchase plan, the information in the list attached to this Resolution, and the contents of the relevant documents in accordance with the law;
4. Deciding on and carrying out other matters to complete the repurchase in line with the Company's operations, the law and the requirements of competent authorities.

Within the scope of the above authorization, the Chief Executive Officer is authorized to carry out one or more of the specific matters set out above in accordance with the law.

Article 3: This Resolution takes effect from the date of signing. Members of the Board of Directors and relevant departments and individuals shall be responsible for implementing this Resolution.

Recipients:

- As stated in Article 3;
- BOD, BOM;
- Archived.

ON BEHALF OF THE BOARD OF DIRECTORS



Nguyen Duy Hung

**LIST OF EMPLOYEES WHO HAVE CEASED THEIR EMPLOYMENT WITH THE COMPANY AND ARE
SUBJECT TO THE REPURCHASE OF SHARES ISSUED UNDER THE EMPLOYEE STOCK
OWNERSHIP PLAN
SSI SECURITIES CORPORATION**

(Attached to Resolution No. 32/2026/NQ-SSI.HĐQT dated October 16th, 2026 of the Board of Directors)

No.	Full name	Ownership Registration No.	Number of repurchased ESOP (shares)
1.	Cao Thi Ngoc Quynh		15,000
2.	Tran Dang Nam		2,500
3.	Le Tuan Anh		2,500
4.	Nguyen Hong Phu		22,500
5.	Le Thanh Trung		15,000
6.	Trieu Minh Tuan		8,000
7.	Nguyen Anh Duc		22,500
8.	Cao Thao Nguyen		5,000
9.	Nguyen Huynh My Ngoc		1,500
10.	Nguyen Minh Tuan		5,000
11.	Nguyen Thi Ha Khanh		5,000
12.	Le Hai Nam		22,500
13.	Nguyen Trung Hieu		6,000
14.	Phan Ngoc Hoa		5,000
15.	Nguyen Truong Giang		2,500
16.	Nguyen Thai Nhat Anh		7,500
17.	Hoang Van Linh		14,000
18.	Nguyen Thi Hong Hai		7,500
19.	Nguyen Ha Trang		7,500
20.	Pham Thi Thu Trang		7,500
21.	Trinh Anh Tuan		2,500
22.	Tran Thi Hong Tuyen		5,000
23.	Ta Thanh Thao		6,000
24.	Tang Thi Ha		23,500
25.	Nguyen Thi Hai		3,000
26.	Nguyen Phan Dung		32,000

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No.	Full name	Ownership Registration No.	Number of repurchased ESOP (shares)
27.	Tran Tuan Huy		25,500
28.	Nguyen Hoai Doan Trang		19,000
29.	Do Thi Thuy Dung		17,500
30.	Huynh Hong Thuy		9,000
31.	Nguyen Duc Dong		2,500
32.	Bui Van Tot		20,000
33.	Nguyen Ngoc Dam		7,500
34.	Vu Thanh Minh		25,000
35.	Nguyen Dang Thu		12,500
36.	Nguyen Xuan Nam		42,500
37.	Tran Thi Thu Hien		17,500
38.	Do Thu Dung		20,000
39.	Nguyen Thi Minh Phuong		1,500
40.	Chu Thi Bao Ngoc		25,000
41.	Huynh Thi Hai		6,500
42.	Thai Thi Hoang Tho		10,000
43.	Cao Tan Phat		36,000
44.	Ho Minh Tri		7,500
45.	Hoang Thuy Dung		9,000
46.	Nguyen Dinh Thang		7,000
47.	Nguyen Cong Minh		7,000
48.	Vo Thi My Trinh		5,000
49.	Vu Tuan Anh		7,000
50.	Vu Thanh Huyen		7,500
51.	Hoang Phuong Anh		5,000
52.	Chu Minh Anh		6,000
53.	Nguyen Thuy My Ngon		5,000
54.	Nguyen Thi Thuy Trinh		5,000
55.	Le Thi Quy		70,000
56.	Tran Nhat Khanh Huyen		2,500

No.	Full name	Ownership Registration No.	Number of repurchased ESOP (shares)
57.	Tran Thi Cham		5,000
58.	Nguyen Thi Bich Van		8,000
59.	Le Anh Phuc		23,000
60.	Nguyen Thi Tuyet		12,000
61.	Nguyen Manh Hung		4,000
62.	Vu Thi Hang		10,000
63.	Hoang Thi Minh Thuy		20,000
64.	Le Hoang Gia		22,000
Total			801,500





Số/No: 33/2026/NQ-SSI.HĐQT

Thành phố Hồ Chí Minh, ngày 16 tháng 10 năm 2026
Ho Chi Minh City, October 16th, 2026

NGHỊ QUYẾT
HỘI ĐỒNG QUẢN TRỊ
RESOLUTION OF THE BOARD OF DIRECTORS

V/v Thành lập Trung tâm Sản phẩm số
Re: Establishment of the Digital Product Center

HỘI ĐỒNG QUẢN TRỊ
CÔNG TY CỔ PHẦN CHỨNG KHOÁN SSI
THE BOARD OF DIRECTORS OF
SSI SECURITIES CORPORATION

Căn cứ:

Pursuant to

- Điều lệ Công ty Cổ phần Chứng khoán SSI;
The Charter of SSI Securities Corporation
- Biên bản tổng hợp ý kiến thành viên Hội đồng quản trị ngày 16/10/2026;
Minutes of collecting opinions of members of the Board on October 16th, 2026

QUYẾT NGHỊ
RESOLVED THAT

Điều 1: Thông qua việc thành lập Trung tâm Sản phẩm số của Công ty Cổ phần Chứng khoán SSI từ ngày 21/10/2026.

Article 1: Approve the establishment of the Digital Product Center of SSI Securities Corporation, effective from October 21st, 2026.

Điều 2: Giao Tổng Giám đốc ban hành quy chế hoạt động; quyết định cơ cấu tổ chức, chức năng, nhiệm vụ và hệ thống chức danh của Trung tâm Sản phẩm số.

Article 2: Authorize the Chief Executive Officer to promulgate the operating regulations; to decide on the organizational structure, functions and duties and job title system of the Digital Product Center.

Điều 3: Nghị quyết này có hiệu lực thi hành kể từ ngày ký. Tổng Giám đốc, các cá nhân, bộ phận có liên quan chịu trách nhiệm thực hiện Nghị quyết này.



Article 3: This Resolution comes into effect from the signing date. The Chief Executive Officer, related individuals and departments are responsible for implementing this Resolution.

Nơi nhận/Recipients:

- Theo Điều 3/ As stated in Article 3;
- Lưu/ Archives.

**TM. HỘI ĐỒNG QUẢN TRỊ
ON BEHALF OF THE BOARD OF DIRECTORS**

**Chủ tịch
Chairman**



Nguyễn Duy Hưng

