| | |
|---|---|
| **JOINT STOCK COMPANY**<br>**WALL STREET SECURITIES**<br>---------- | **SOCIALIST REPUBLIC OF VIETNAM**<br>**Independence – Freedom – Happiness**<br>----------o0o---------- |
| No.: .45./2026/WSS-BBKP | *Hanoi, October 19, 2026* |

# MINUTES OF VOTE OPINION
# FOR WRITTEN CONSULTATION TO APPROVE
# A RESOLUTION OF THE GENERAL MEETING OF SHAREHOLDERS

Re: The Company's provision of securities transaction clearing and settlement services under the Central Counterparty (CCP) mechanism for the underlying securities market

Today, at 9:00 a.m. on 19 October 2026, at the headquarters of Wall Street Securities Joint Stock Company, 9th Floor, ICON4 Building, 243A De La Thanh Street, Lang Ward, Hanoi, Vietnam, the Board of Directors of Wall Street Securities Joint Stock Company conducted the vote opinion and prepared these Minutes of Vote Opinion for the written consultation of shareholders to approve a Resolution of the General Meeting of Shareholders regarding the Company's provision of securities transaction clearing and settlement services under the Central Counterparty (CCP) mechanism for the underlying securities market.

## I. CORPORATE INFORMATION

1. **Company name:** Wall Street Securities Joint Stock Company.
2. **Head office:** 9th Floor, ICON4 Building, 243A De La Thanh Street, Lang Ward, Hanoi, Vietnam.
3. **Establishment and Operation License** No. 86/UBCK-GP, first issued by the State Securities Commission on 20 December 2007; the latest amended license is No. 84/UBCK-GP dated 26 May 2017;

   **Enterprise Registration Certificate (Enterprise ID No.):** 0102590252, first issued by the Hanoi Department of Planning and Investment on 20 December 2007, and amended for the 7th time on 17 December 2024.

4. **Charter capital: VND 503,000,000,000, equivalent to 50,300,000 shares.**

## II. PURPOSE AND MATTER FOR WRITTEN CONSULTATION

To approve a Resolution of the General Meeting of Shareholders regarding the Company's provision of securities transaction clearing and settlement services under the Central Counterparty (CCP) mechanism for the underlying securities market.

## III. PARTICIPANTS IN THE VOTE OPINION

### 1. Members of the Board of Directors participating in the vote opinion

| | |
|---|---|
| 1. Mr. Nguyen Dinh Tu | - Chairman of the Board of Directors, presiding over the vote opinion |
| 2. Mr. Pham Duc Long | - Vice Chairman of the Board of Directors |
| 3. Mr. Nguyen Dang Truong | - Member of the Board of Directors |
| 4. Mr. Nguyen Viet Thang | - Member of the Board of Directors |
| 5. Mr. Tran Anh Dung | - Member of the Board of Directors |

(100% of the members of the Board of Directors participated in the vote opinion).

2. **Representative of the Supervisory Board witnessing and supervising the vote opinion**

Mr. Trinh Cong Thang, Member of the Supervisory Board, representing the Supervisory Board.

3. **Secretary**

Ms. Thanh Thi Ngoc

## IV. MATTER FOR WRITTEN CONSULTATION AND APPROVAL RATE

**1. Number of shareholders and votes participating in the voting:**

| Description | Number of shareholders / voting forms | Votes (corresponding number of shares) | Percentage *(of total voting shares)* |
|---|---|---|---|
| Total number of the Company's shareholders (number of persons sent written consultation forms) | 877 | 50.300.000 | 100% |
| Total shareholders participating in the vote (shareholders who had returned their written consultation forms to the Company by 9:00 a.m. on 19 October 2026) | 17 | 37.892.300 | 75,33% |
| Number of valid voting forms | 17 | 37.892.300 | 75,33% |
| Number of invalid voting forms | 0 | 0 | 0% |
| Total shareholders not participating in the vote (shareholders who had not returned their written consultation forms to the Company by 9:00 a.m. on 19 October 2026) | 860 | 12.407.700 | 24,67% |

The methods of submitting voting forms are detailed in the attached appendix listing the shareholders participating in the vote.

**2. Vote-opinion results regarding the Company's provision of securities transaction clearing and settlement services under the Central Counterparty (CCP) mechanism for the underlying securities market:**

| Description | Number of voting forms | Shares (corresponding votes) | Percentage (of the Company's total voting shares) |
|---|---|---|---|
| Total votes in favor | 17 | 37.892.300 | 75,33% |
| Total votes against | 0 | 0 | 0 |
| Total abstentions | 0 | 0 | 0 |

**3. Matter approved:**

The Company shall provide securities transaction clearing and settlement services under the Central Counterparty (CCP) mechanism for the underlying securities market.

**Approval rate: 75.33%**

The vote opinion was completed at 11:00 a.m. on 19 October 2026. These Minutes of Vote Opinion were read by the members of the Board of Directors who conducted the vote opinion and the representative of the Supervisory Board who witnessed and supervised the vote opinion. They agreed with the entire contents of these Minutes and signed below.

**SECRETARY**

THANH THI NGOC

**CHAIRMAN OF THE BOARD OF DIRECTORS**

NGUYEN DINH TU

**MEMBERS OF THE BOARD OF DIRECTORS**

PHAM DUC LONG

NGUYEN DANG TRUONG

NGUYEN VIET THANG

TRAN ANH DUNG

**REPRESENTATIVE OF THE SUPERVISORY BOARD**

TRINH CONG THANG

CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHỐ WALL
Digitally signed by CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHỐ WALL
DN: OID.0.9.2342.19200300.100.1.1=MST:0102590252, CN=CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHỐ WALL, S=Hà Nội, C=VN
Reason: I am the author of this document
Location:
Date: 2026.10.19 18:49:37+07'00'
Foxit PDF Reader Version: 2024.4.0

# APPENDIX: LIST OF SHAREHOLDERS PARTICIPATING IN THE VOTE
# AS OF 09:00 ON 19 OCTOBER 2026

| No. | Shareholder Name | Number of Shares | Percentage of Total Voting Shares | Method of Submission |
|---|---|---|---|---|
| 1 | Nguyễn Đình Việt | 15.000.000 | 29,82% | On direct |
| 2 | Nguyễn Đình Bách | 1.000.000 | 1,99% | On direct |
| 3 | Nguyễn Đình Tú | 11.300.000 | 22,47% | On direct |
| 4 | Lê Tất Sơn | 2.253.800 | 4,48% | On direct |
| 5 | Phạm Xuân Phương | 1.566.400 | 3,11% | On direct |
| 6 | Nguyễn Thị Hải Yến | 1.879.400 | 3,74% | On direct |
| 7 | Ngô Tiến Minh | 1.300.000 | 2,58% | On direct |
| 8 | Phạm Lê Thục | 929.000 | 1,85% | On direct |
| 9 | Phạm Thư Hương | 551.200 | 1,10% | On direct |
| 10 | BVA Financial Technology Joint Stock Company | 697.800 | 1,39% | On direct |
| 11 | Nguyễn Thị Thu Hương | 483.700 | 0,96% | On direct |
| 12 | Thái Hồng Sơn | 406.100 | 0,81% | On direct |
| 13 | Nguyễn Văn Quyết | 390.800 | 0,78% | On direct |
| 14 | Nguyễn Sơn Tùng | 65.000 | 0,13% | On direct |
| 15 | Bùi Thu Trinh | 54.200 | 0,11% | On direct |
| 16 | Trần Anh Chung | 3.700 | 0,01% | By post |
| 17 | Bùi Tuyết Hạnh | 11.200 | 0,02% | Email |
| **TOTAL** | | **37.892.300** | **75,33%** | |

**CHAIRMAN OF THE BOARD OF DIRECTORS**

CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHỐ WALL

**Nguyen Dinh Tu**