ASIA PACIFIC SECURITIES JOINT STOCK COMPANY

Socialist Republic of Vietnam
Independence - Freedom – Happines

No: ..76./2026/CV-APS

Ha Noi, October, 19th 2026

Periodic information disclosure - Financial report

To: - Vietnam Stock Exchange;
- Hanoi Stock Exchange;
- Ho Chi Minh Stock Exchange;
- State Securities Commission of Vietnam.

Pursuant to Clause 3, Article 14 of Circular No. 96/2020/TT-BTC dated November 16, 2020, issued by the Ministry of Finance, providing guidance on information disclosure in the securities market, Asia-Pacific Securities Joint Stock Company hereby discloses its Third Quarter 2026 Financial Statements to the State Securities Commission of Vietnam and the Stock Exchanges as follows:

1. Name of the organization: Asia Pacific Securities Joint Stock Company

- Stock code: APS
- Address: 3rd floor, Grand Plaza building, 117 Tran Duy Hung, Yen Hoa Ward, Hanoi City.
- Tel: 1900999986

2. Explanation content

- Third Quarter 2026 Financial Statements:

☑ Separate financial statements (the parent company does not have subsidiaries and the parent company's accounting unit does not have affiliated entities);

☐ Consolidated financial statements (the parent company has subsidiaries);

☐ Combined financial statements (the parent company has an accounting unit directly under its organizational structure with separate accounting systems).

- Cases that require an explanation of the reasons:

+ The auditing organization provides a non-unqualified opinion on the financial statements (for the audited financial statements of 2026):

☐ Yes No ☑

The explanation letter in cases of inclusion is as follows:

☐ Yes No ☑

+ The net profit after tax in the reporting period shows a difference of 5% or more before and after the audit, reversing from a loss to a profit or vice versa (for the audited financial statements of 2026):

☐ Yes No ☑

The explanation letter in cases of inclusion is as follows:

☐ Yes No ☑

+ The net profit after corporate income tax in the income statement for the reporting period changes by 10% or more compared to the same period of the previous year:

☑ Yes No ☐

The explanation letter in cases of inclusion is as follows:

☑ Yes No ☐

+ The net profit after tax in the reporting period shows a loss, reversing from a profit in the same period of the previous year to a loss in this period, or vice versa:

Signature Not Verified
Ký bởi: CÔNG TY CỔ PHẦN CHỨNG KHOÁN CHÂU Á THÁI BÌNH DƯƠNG
Ký ngày: 20/10/2026 15:25:07

☑ Yes No ☐

The explanation letter in cases of inclusion is as follows:

☑ Yes No ☐

3. Report on transactions with a value of 35% or more of total assets in 2026.
   In the event that the parent company has such transactions, please report all of the following details:.

- Transaction content: No incurrence
- Proportion of transaction value/total asset value of the company (%) (based on the most recent financial statements): No incurrence
- Transaction completion date: No incurrence

We hereby commit that the information disclosed above is true and accurate, and we fully take responsibility before the law for the content of the disclosed information..

This information has been published on the company's website on October, 20th... 2026 at the link www.apec.com.vn

Attached documents:
- *Financial report*
- *Explanation letter*

Representative of the organization
Legal representative/Authorized person for information disclosure
(Signature, full name, title, company seal)



Nguyen Duc Quan

ASIA PACIFIC SECURITIES JOINT STOCK COMPANY

No: .77../2026/CV-APS

Socialist Republic of Vietnam
Independence - Freedom – Happines

Ha Noi, October, 19th 2026

To: - Vietnam Stock Exchange;
- Hanoi Stock Exchange;
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- State Securities Commission of Vietnam.

1. Name of the organization: Asia Pacific Securities Joint Stock Company
- Stock code: APS
- Address: 3rd floor, Grand Plaza building, 117 Tran Duy Hung, Yen Hoa Ward, Hanoi City.
- Tel: 1900 999986

2. Information Disclosure Content:
- Second Quarter 2026 Financial Report

This information has been published on the company's website on *October..20th*... 2026 at the link www.apec.com.vn.

Attached documents:
- *Financial report*
- *Explanation letter*

ASIA PACIFIC SECURITIES JOINT STOCK COMPANY

CÔNG TY CỔ PHẦN CHỨNG KHOÁN CHÂU Á THÁI BÌNH DƯƠNG

TỔNG GIÁM ĐỐC
Nguyễn Đức Quân

ASIA PACIFIC SECURITIES JOINT STOCK COMPANY

No: .78../2026/CV-APS

Socialist Republic of Vietnam
Independence - Freedom – Happines

Ha Noi, October 19th 2026

To: - Vietnam Stock Exchange;
- Hanoi Stock Exchange;
- Ho Chi Minh Stock Exchange;
- State Securities Commission of Vietnam.

1. Name of the organization: Asia Pacific Securities Joint Stock Company
- Stock code: APS
- Address: 3rd floor, Grand Plaza building, 117 Tran Duy Hung, Yen Hoa Ward, Hanoi City.
- Tel: 1900 9999 86

2. Explanation content:
- *The Profit after Tax presented in the Statement of Profit or Loss for the reporting period increased or decreased by 10% or more compared with that of the corresponding period of the previous year;*
- *The Company incurred a loss in the reporting period, or changed from a profit in the corresponding period of the previous year to a loss in the reporting period, or vice versa.*

Unit: VND

ITEM		Quarter II of 2026	Quarter II of 2025	Deviation rate (%)
I.	**OPERATING REVENUE**			
1.1	Profit from financial assets at fair value through profit or loss (FVTPL)	4,845,491,200	91,442,590,700	-94.7%
1.2	Other revenue (including interest income from held-to-maturity investments, interest from loans and receivables, revenue from securities brokerage, securities depository services, and financial advisory activities)	4,896,115,836	7,291,542,799	-32.9%
	Total operating revenue	**9,741,607,036**	**98,734,133,499**	**-90.1%**
II.	**OPERATING EXPENSES**			
2.1	Loss from financial assets recognized through profit/ loss (FVTPL)	55,940,432,330	37,093,860,940	50.8%
2.2	Other operating expenses (including provision expenses for financial assets, securities brokerage expenses, securities depository service expenses, etc.)	1,361,654,814	2,392,048,141	-43.1%
	Total operating expenses	**57,302,087,144**	**39,485,909,081**	**45.1%**
III.	**GENERAL AND ADMINISTRATIVE EXPENSE**	**5,925,761,092**	**4,286,609,782**	**38.2%**
IV.	**OPERATING RESULT**	**(53,457,751,959)**	**54,970,169,266**	**197.2%**
V.	**ACCOUNTING PROFIT AFTER TAX**	**(42,667,422,811)**	**43,519,890,400**	**198.0%**

APS provides the following explanations for the two matters mentioned above:

- **Revenue**: Due to significant fluctuations in the financial market, the market value of the financial assets (FAs) held by the Company declined, resulting in gains from financial assets recognized through profit or loss of only VND 4.84 billion, a sharp decrease compared to the same period last year. In addition, other revenue generated from lending and brokerage activities amounted to VND 4.89 billion, down 32.9% compared to the same period in 2025.

- **Expenses:** Total operating expenses amounted to VND 57.3 billion, an increase of 45.1% compared to the same period in 2025. General and administrative expenses also increased by 38.2% compared to the third quarter of 2025. These factors resulted in a net loss after tax of VND 42.66 billion, representing a 198% decrease compared to the same period last year.

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Attached documents:

- *Financial report*
- *Explanation letter*

ASIA PACIFIC SECURITIES JOINT STOCK COMPANY

M.S.D.N: 0103015146-C.T.C.P
CÔNG TY CỔ PHẦN CHỨNG KHOÁN CHÂU Á THÁI BÌNH DƯƠNG
Q. HAI BÀ TRƯNG - TP. HÀ NỘI

TỔNG GIÁM ĐỐC

Nguyễn Đức Quân

CÔNG TY C° PHЬIN CH NG KHOÁN CHÂU Á THÁI BÌNH Dh ч NG

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