Công ty cổ phần chứng khoán EVS
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DN: cn=Công ty cổ phần chứng khoán EVS, c=VN, l=Quận Cầu Giấy, st=HÀ NỘI
Date: 2026.10.29 16:25:36 +07'00'

CÔNG TY CỔ PHẦN CHỨNG KHOÁN EVS	**CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM**
	Độc lập - Tự do - Hạnh phúc
EVS SECURITIES	***THE SOCIALIST REPUBLIC OF VIETNAM***
JOINT STOCK COMPANY	***Independence – Freedom – Happiness***
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Số/*No.*: 411../2026/TB-EVS	*Hà Nội, ngày 29 tháng 10 năm 2026*
	Hanoi, October 29, 2026

CÔNG BỐ THÔNG TIN TRÊN CỔNG THÔNG TIN ĐIỆN TỬ CỦA ỦY BAN CHỨNG KHOÁN NHÀ NƯỚC VÀ SỞ GIAO DỊCH CHỨNG KHOÁN

DISCLOSURE OF INFORMATION ON THE STATE SECURITIES COMMISSION'S PORTAL AND STOCK EXCHANGE'S PORTAL

Kính gửi/*To*:

- Ủy ban Chứng khoán Nhà nước/*The State Securities Commission*;
- Sở Giao dịch Chứng khoán Việt Nam/*Vietnam Stock Exchange*;
- Sở Giao dịch Chứng khoán Hà Nội/*Hanoi Stock Exchange*;
- Sở Giao dịch Chứng khoán Thành phố Hồ Chí Minh/*Ho Chi Minh Stock Exchange*.

1. Tên tổ chức/*Name of organization*: **CÔNG TY CỔ PHẦN CHỨNG KHOÁN EVS ("Công ty")/*EVS SECURITIES JOINT STOCK COMPANY ("Company")***

Mã chứng khoán/Mã thành viên/*Ticker/Member Code*: EVS/040

Địa chỉ/*Address*: Tầng 3, 6, 9 Số 2A Phố Đại Cồ Việt, Phường Hai Bà Trưng, TP. Hà Nội, Việt Nam/*Floor 3, 6, 9 - No. 2A Dai Co Viet, Hai Ba Trung Ward, Hanoi, Vietnam*

Điện thoại liên hệ/*Telephone*: 024. 3772 6699 Fax: 024. 3772 6763

Email: congbothongtin@eves.com.vn

Loại thông tin công bố: ☒ 24 giờ ☐ Bất thường ☐ Theo yêu cầu ☐ Định kỳ

Information disclosure type: ☒ *24 hours* ☐ *Irregular* ☐ *On demand* ☐ *Periodic*

2. Nội dung thông tin công bố/*Content of information disclosure:*

Công ty Cổ phần Chứng khoán EVS công bố thông tin Biên bản họp và Nghị quyết Đại hội đồng cổ đông bất thường năm 2026 cùng tài liệu kèm theo (*Nội dung chi tiết vui lòng xem tại các tài liệu đã được đính kèm*).

EVS Securities Joint Stock Companyannounces the Minutes and Resolution of the 2026 Extraordinary General Meeting of Shareholders, together with the accompanying documents (For detailed content, please see the attached documents).

3. Thông tin này đã được công bố trên trang thông tin điện tử của Công ty vào ngày 29/10/2026 tại đường dẫn: https://www.eves.com.vn/ve-chung-toi/cong-bo-thong-tin/

This information was posted on the Company website on October 29, 2026 at the following link: https://www.eves.com.vn/ve-chung-toi/cong-bo-thong-tin/

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.

We hereby certify that the information provided above is true and correct and we take full responsibility before the law for the disclosed information.

Tài liệu đính kèm/Attached documents:

- Biên bản họp, Nghị quyết ĐHĐCĐ bất thường năm 2026 và các tài liệu kèm theo. *Minutes of meeting, Resolution of 2026 Extraordinary General Meeting of Shareholders and accompanying documents.*

ĐẠI DIỆN TỔ CHỨC/*ORGANIZATION REPRESENTATIVE*

Người được UQ CBTT/*Person authorized to disclose information*

(Ký, ghi rõ họ tên, chức vụ, đóng dấu)

(Signed and sealed, stating full name and title)

CÔNG TY CỔ PHẦN CHỨNG KHOÁN EVS

GIÁM ĐỐC TÀI CHÍNH

Vũ Thị Thanh Hằng

EVS SECURITIES JOINT STOCK COMPANY	SOCIALIST REPUBLIC OF VIETNAM Independence - Freedom - Happiness
No.: 02/2026/BB-ĐHĐCĐ	*Hanoi, October 29, 2026*

MEETING MINUTES
EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS 2026

- *Company name: EVS Securities Joint Stock Company ("**Company**"/"**EVS**")*
- *Business registration number: 0102121331*
- *Headquarters: Floors 3, 6, and 9, 2A Dai Co Viet Street, Hai Ba Trung Ward, Hanoi City*
- *Opening time: 1:30 PM, Thursday, October 29, 2026*
- *The format for holding the General Meeting of Shareholders ("**Meeting**"/"**Shareholders' Meeting**"): Online*
- *Meeting venue: 7th Floor, 2A Dai Co Viet Street, Hai Ba Trung Ward, Hanoi City,*

The Company's 2026 Extraordinary General Meeting of Shareholders shall be held with the following agenda items:

I. Procedures at the General Meeting of Shareholders:

1. Introducing the Shareholder Eligibility Verification Committee:

- Mr. Nguyen Mau Hoang - Deputy Director in charge of the Investment Banking Services Division: Head
- Mr. Nguyen Anh Tu - Specialist in Corporate Finance Advisory Department - Investment Banking Services Division: Member
- Mr. Ha The Cong - Specialist in the Board of Directors' Office: Member

2. Shareholder eligibility verification report:

Mr. Ha The Cong – Member of the Shareholder Eligibility Verification Committee – presents the Report on the Verification of Shareholder Eligibility for Attendance at the 2026 Extraordinary General Meeting of Shareholders as of 1:30 PM on October 29, 2026, as follows:

- The total number of shareholders entitled to attend the General Meeting (according to the shareholder list finalized on September 28, 2026) is **2,134** shareholders, representing **164,800,618** voting shares of the Company.
- At the opening of the General Meeting, **15** attendees were present, representing **23** shareholders, holding and representing **131,708,337** shares/voting rights, accounting for **79.92%** of the Company's total shares/voting rights.

The detailed list is recorded in the Appendix containing the registration list of shareholders and shareholder representatives attending the General Meeting.

Pursuant to applicable laws and the Company's Charter, the Company's 2026 Extraordinary General Meeting of Shareholders satisfies the conditions required to proceed.

II. Approval of the Presidium, Vote Counting Committee, and Secretariat of the General Meeting

1. Presidium of the General Meeting:

-	Mr. Nguyen Hai Chau	- Chairman of the Board of Directors of the Company: Chairperson of the General Meeting
-	Mrs. Do Thi Hong Hai	- Independent Member of the Board of Directors and Chairwoman of the Audit Committee: Member
-	Mr. Nguyen Tran Minh Quan	- Acting Chief Executive Officer (CEO): Member

2. General Meeting of Shareholders Secretariat:

The Chairman of the General Meeting of Shareholders appointed the following members to the Secretariat:

-	Ms. Nguyen Thi Mi Ngoc	- Chief of the Board of Directors' Office : Head
-	Ms. Trieu Thi Trang	- Deputy Head of Risk Management Department : Member

3. Vote Counting Committee:

-	Ms. Le Thi Duyen	- Head of the Legal Department: Head
-	Mr. Tran Vu Duc Anh	- Specialist in the Investment Banking Services Division: Member
-	Mr. Phung Duc Linh	- Specialist in the Technology Development Department: Member

4. Disseminate the Rules of Procedure for the 2026 Extraordinary General Meeting of Shareholders.

5. The Agenda for the 2026 Extraordinary General Meeting of Shareholders:

Mr. Nguyen Tran Minh Quan – Member of the Presidium – presents the Agenda of the 2026 Extraordinary General Meeting of Shareholders, specifically as follows:

- Dismissal of a member of the Board of Directors from office and election of a member of the Board of Directors, the list of candidates for election to the Board of Directors, and conduct of the election of a member of the Board of Directors;
- Provision of clearing and settlement services for securities transactions under the central counterparty (CCP) mechanism;
- Extension and recovery plan for the receivable from Tien Thanh Consulting Services Company Limited;
- Restructuring of the Company and cost reduction;
- Other matters within the authority of the General Meeting of Shareholders (if any).

The General Meeting of Shareholders proceeds to vote on the approval of the Vote Counting Committee and the Meeting Agenda.

Voting on the approval of the composition of the Vote Counting Committee as follows:

a. Voting method: Electronic voting.

b. Total number of votes cast on the matter put to vote: 131,681,337 votes. Of which:

- Total number of valid votes: 131,681,337 votes, representing 99.98% of the total voting rights of shareholders attending the Meeting and 100% of the total voting rights of shareholders attending and casting votes at the Meeting.
- Total number of invalid votes: 0 votes, representing 0% of the total voting rights of shareholders attending the Meeting and 0% of the total voting rights of shareholders attending and casting votes at the Meeting.

c. Voting results:

- Total number of votes in favor: 131,681,337 votes, representing 99.98% of the total voting rights of shareholders attending the Meeting and 100% of the total voting rights of shareholders attending and casting votes at the Meeting.
- Total number of votes against: 0 votes, representing 0% of the total voting rights of shareholders attending the Meeting and 0% of the total voting rights of shareholders attending and casting votes at the Meeting.
- Total number of abstention votes: 0 votes, representing 0% of the total voting rights of shareholders attending the Meeting and 0% of the total voting rights of shareholders attending and casting votes at the Meeting.

The matter was approved with 100% of the total votes cast by shareholders attending and voting at the Meeting in favor.

Voting on the approval of the Meeting Agenda as follows:

a. Voting method: Electronic voting.

b. Total number of votes cast on the matter put to vote: 131,681,337 votes. Of which:

- Total number of valid votes: 131,681,337 votes, representing 99.98% of the total voting rights of shareholders attending the Meeting and 100% of the total voting rights of shareholders attending and casting votes at the Meeting.
- Total number of invalid votes: 0 votes, representing 0% of the total voting rights of shareholders attending the Meeting and 0% of the total voting rights of shareholders attending and casting votes at the Meeting.

c. Voting results:

- Total number of votes in favor: 131,681,337 votes, representing 99.98% of the total voting rights of shareholders attending the Meeting and 100% of the total voting rights of shareholders attending and casting votes at the Meeting.
- Total number of votes against: 0 votes, representing 0% of the total voting rights of shareholders attending the Meeting and 0% of the total voting rights of shareholders attending and casting votes at the Meeting.

- Total number of abstention votes: 0 votes, representing 0% of the total voting rights of shareholders attending the Meeting and 0% of the total voting rights of shareholders attending and casting votes at the Meeting.

Meeting Agenda was approved with 100% of the total votes cast by shareholders attending and voting at the Meeting in favor.

III. Matters Presented at the General Meeting and Election of a Member of the Board of Directors

1. Dismissal of a member of the Board of Directors from office and election of a member of the Board of Directors *(as detailed in Proposal No. 07/2026/TTr-HĐQT dated October 6, 2026 of the BOD);*

Voting on the approval of the dismissal of a member of the Board of Directors from office and election of a member of the Board of Directors

a. Voting method: Electronic voting.

b. Total number of votes cast on the matter put to vote: 131,708,337 votes. Of which:

- Total number of valid votes: 131,708,337 votes, representing 100% of the total voting rights of shareholders attending the Meeting and 100% of the total voting rights of shareholders attending and casting votes at the Meeting.
- Total number of invalid votes: 0 votes, representing 0% of the total voting rights of shareholders attending the Meeting and 0% of the total voting rights of shareholders attending and casting votes at the Meeting.

c. Voting results:

- Total number of votes in favor: 131,708,337 votes, representing 100% of the total voting rights of shareholders attending the Meeting and 100% of the total voting rights of shareholders attending and casting votes at the Meeting.
- Total number of votes against: 0 votes, representing 0% of the total voting rights of shareholders attending the Meeting and 0% of the total voting rights of shareholders attending and casting votes at the Meeting.
- Total number of abstention votes: 0 votes, representing 0% of the total voting rights of shareholders attending the Meeting and 0% of the total voting rights of shareholders attending and casting votes at the Meeting.

The matter was approved with 100% of the total votes cast by shareholders attending and voting at the Meeting in favor.

Voting on the approval of the list of candidates for election to the Board of Directors

a. Voting method: Electronic voting.

b. Total number of votes cast on the matter put to vote: 131,708,337 votes. Of which:

- Total number of valid votes: 131,708,337 votes, representing 100% of the total voting rights of shareholders attending the Meeting and 100% of the total voting rights of shareholders attending and casting votes at the Meeting.

- Total number of invalid votes: 0 votes, representing 0% of the total voting rights of shareholders attending the Meeting and 0% of the total voting rights of shareholders attending and casting votes at the Meeting.

c. Voting results:

- Total number of votes in favor: 131,708,337 votes, representing 100% of the total voting rights of shareholders attending the Meeting and 100% of the total voting rights of shareholders attending and casting votes at the Meeting.
- Total number of votes against: 0 votes, representing 0% of the total voting rights of shareholders attending the Meeting and 0% of the total voting rights of shareholders attending and casting votes at the Meeting.
- Total number of abstention votes: 0 votes, representing 0% of the total voting rights of shareholders attending the Meeting and 0% of the total voting rights of shareholders attending and casting votes at the Meeting.

The matter was approved with 100% of the total votes cast by shareholders attending and voting at the Meeting in favor.

Election of a Member of the Board of Directors

a. Method of election of a Member of the Board of Directors: Cumulative voting through electronic voting.

b. Vote counting results for the election of a Member of the Board of Directors

Total number of election votes cast by shareholders participating in the election: 131,681,337 votes.

- Total number of valid election votes cast by shareholders participating in the election: 131,681,337 votes, representing 100% of the total election votes.
- Total number of invalid election votes cast by shareholders participating in the election: 0 votes, representing 0% of the total election votes.

No.	Candidate	Number of Votes Received
1	Nguyen Tran Minh Quan	131,681,337

Pursuant to the Company's Charter, Mr. Nguyen Tran Minh Quan was duly elected as a Member of the Company's Board of Directors.

2. Provision of clearing and settlement services for securities transactions under the central counterparty (CCP) mechanism *(as detailed in Proposal No. 08/2026/TTr-HĐQT dated October 6, 2026 of the BOD);*

3. Extension and recovery plan for the receivable from Tien Thanh Consulting Services Company Limited *(as detailed in Proposal No. 09/2026/TTr-HĐQT dated October 6, 2026 of the BOD);*

4. Restructuring of the Company and cost reduction *(as detailed in Proposal No. 10/2026/TTr-HĐQT dated October 6, 2026 of the BOD).*

IV. Discussion – Shareholders' Comments and Opinions

The shareholders attending the Meeting did not raise any questions or submit any comments or opinions to the General Meeting.

V. Voting on the Proposals Presented at the General Meeting

1. Approval of the provision of clearing and settlement services for securities transactions under the central counterparty (CCP) mechanism

a. Voting method: Electronic voting.

b. Total number of votes cast on the matter put to vote: 131,708,337 votes. Of which:

- Total number of valid votes: 131,708,337 votes, representing 100% of the total voting rights of shareholders attending the Meeting and 100% of the total voting rights of shareholders attending and casting votes at the Meeting.
- Total number of invalid votes: 0 votes, representing 0% of the total voting rights of shareholders attending the Meeting and 0% of the total voting rights of shareholders attending and casting votes at the Meeting.

c. Voting results:

- Total number of votes in favor: 131,708,337 votes, representing 100% of the total voting rights of shareholders attending the Meeting and 100% of the total voting rights of shareholders attending and casting votes at the Meeting.
- Total number of votes against: 0 votes, representing 0% of the total voting rights of shareholders attending the Meeting and 0% of the total voting rights of shareholders attending and casting votes at the Meeting.
- Total number of abstention votes: 0 votes, representing 0% of the total voting rights of shareholders attending the Meeting and 0% of the total voting rights of shareholders attending and casting votes at the Meeting.

The matter was approved with 100% of the total votes cast by shareholders attending and voting at the Meeting in favor.

2. Approval of the extension and recovery plan for the receivable from Tien Thanh Consulting Services Company Limited

a. Voting method: Electronic voting.

b. Total number of votes cast on the matter put to vote: 131,708,337 votes. Of which:

- Total number of valid votes: 131,708,337 votes, representing 100% of the total voting rights of shareholders attending the Meeting and 100% of the total voting rights of shareholders attending and casting votes at the Meeting.
- Total number of invalid votes: 0 votes, representing 0% of the total voting rights of shareholders attending the Meeting and 0% of the total voting rights of shareholders attending and casting votes at the Meeting.

c. Voting results:

- Total number of votes in favor: 131,708,337 votes, representing 100% of the total voting

rights of shareholders attending the Meeting and 100% of the total voting rights of shareholders attending and casting votes at the Meeting.

- Total number of votes against: 0 votes, representing 0% of the total voting rights of shareholders attending the Meeting and 0% of the total voting rights of shareholders attending and casting votes at the Meeting.
- Total number of abstention votes: 0 votes, representing 0% of the total voting rights of shareholders attending the Meeting and 0% of the total voting rights of shareholders attending and casting votes at the Meeting.

The matter was approved with 100% of the total votes cast by shareholders attending and voting at the Meeting in favor.

3. Approval of the restructuring of the Company and cost reduction

a. Voting method: Electronic voting.

b. Total number of votes cast on the matter put to vote: 131,708,337 votes. Of which:

- Total number of valid votes: 131,708,337 votes, representing 100% of the total voting rights of shareholders attending the Meeting and 100% of the total voting rights of shareholders attending and casting votes at the Meeting.
- Total number of invalid votes: 0 votes, representing 0% of the total voting rights of shareholders attending the Meeting and 0% of the total voting rights of shareholders attending and casting votes at the Meeting.

c. Voting results:

- Total number of votes in favor: 131,708,337 votes, representing 100% of the total voting rights of shareholders attending the Meeting and 100% of the total voting rights of shareholders attending and casting votes at the Meeting.
- Total number of votes against: 0 votes, representing 0% of the total voting rights of shareholders attending the Meeting and 0% of the total voting rights of shareholders attending and casting votes at the Meeting.
- Total number of abstention votes: 0 votes, representing 0% of the total voting rights of shareholders attending the Meeting and 0% of the total voting rights of shareholders attending and casting votes at the Meeting.

The matter was approved with 100% of the total votes cast by shareholders attending and voting at the Meeting in favor.

VI. Approval of the Minutes and Resolution of the 2026 Extraordinary General Meeting of Shareholders

1. Approval of the Minutes of the 2026 Extraordinary General Meeting of Shareholders

a. Voting method: Electronic voting.

b. Total number of votes cast on the matter put to vote: 131,708,337 votes. Of which:

- Total number of valid votes: 131,708,337 votes, representing 100% of the total voting rights of shareholders attending the Meeting and 100% of the total voting rights of shareholders

attending and casting votes at the Meeting.

- Total number of invalid votes: 0 votes, representing 0% of the total voting rights of shareholders attending the Meeting and 0% of the total voting rights of shareholders attending and casting votes at the Meeting.

c. Voting results:

- Total number of votes in favor: 131,708,337 votes, representing 100% of the total voting rights of shareholders attending the Meeting and 100% of the total voting rights of shareholders attending and casting votes at the Meeting.

- Total number of votes against: 0 votes, representing 0% of the total voting rights of shareholders attending the Meeting and 0% of the total voting rights of shareholders attending and casting votes at the Meeting.

- Total number of abstention votes: 0 votes, representing 0% of the total voting rights of shareholders attending the Meeting and 0% of the total voting rights of shareholders attending and casting votes at the Meeting.

The Minutes of the 2026 Extraordinary General Meeting of Shareholders were approved with 100% of the total votes cast by shareholders attending and voting at the Meeting in favor.

2. Approval of the Resolution of the 2026 Extraordinary General Meeting of Shareholders

a. Voting method: Electronic voting.

b. Total number of votes cast on the matter put to vote: 131,708,337 votes. Of which:

- Total number of valid votes: 131,708,337 votes, representing 100% of the total voting rights of shareholders attending the Meeting and 100% of the total voting rights of shareholders attending and casting votes at the Meeting.

- Total number of invalid votes: 0 votes, representing 0% of the total voting rights of shareholders attending the Meeting and 0% of the total voting rights of shareholders attending and casting votes at the Meeting.

c. Voting results:

- Total number of votes in favor: 131,708,337 votes, representing 100% of the total voting rights of shareholders attending the Meeting and 100% of the total voting rights of shareholders attending and casting votes at the Meeting.

- Total number of votes against: 0 votes, representing 0% of the total voting rights of shareholders attending the Meeting and 0% of the total voting rights of shareholders attending and casting votes at the Meeting.

- Total number of abstention votes: 0 votes, representing 0% of the total voting rights of shareholders attending the Meeting and 0% of the total voting rights of shareholders attending and casting votes at the Meeting.

The Resolution of the 2026 Extraordinary General Meeting of Shareholders was approved with 100% of the total votes cast by shareholders attending and voting at the Meeting in favor.

VII. Closing of the General Meeting

These Minutes were fully and accurately recorded by the Secretariat and approved by the General Meeting of Shareholders before the conclusion of the Meeting.

The Company's 2026 Extraordinary General Meeting of Shareholders concluded at 3:00 PM on the same day.

ON BEHALF OF THE SECRETARIAT	ON BEHALF OF THE PRESIDIUM
	CHAIRPERSON
Nguyen Thi Mi Ngoc	Nguyen Hai Chau

EVS SECURITIES JOINT STOCK COMPANY	**SOCIALIST REPUBLIC OF VIETNAM** **Independence – Freedom – Happiness**
No.: 02/2026/NQ-ĐHĐCĐ	*Hanoi, October 29, 2026*

RESOLUTION

THE 2026 EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS

Pursuant to:

- *The Law on Enterprises No. 59/2020/QH14 dated 17 June 2020, and its amendments, supplements, and implementing regulations;*
- *The Law on Securities No. 54/2019/QH14 dated 26 November 2019, and its amendments, supplements, and implementing regulations;*
- *The Charter of EVS Securities Joint Stock Company (the "**Company**");*
- *The Minutes of the 2026 Extraordinary General Meeting of Shareholders of the Company dated October 29, 2026,*

HEREBY RESOLVES:

Article 1. The 2026 Extraordinary General Meeting of Shareholders (the "GMS") of the Company hereby approves the following matters:

1. To approve the dismissal of Mr. Nguyen Thanh Hai from the position of Member of the BOD;
2. To approve the election of Mr. Nguyen Tran Minh Quan as a Member of the BOD;
3. To approve the Proposal on the provision of clearing and settlement services for securities transactions under the central counterparty (CCP) mechanism *(for details, please refer to Proposal No. 08/2026/TTr-HĐQT dated October 06, 2026 of the BOD);*
4. To approve the extension and recovery plan for the receivable from Tien Thanh Consulting Services Company Limited *(for details, please refer to Proposal No. 09/2026/TTr-HĐQT dated October 06, 2026 of the BOD);*
5. To approve the restructuring of the Company and cost reduction *(for details, please refer to Proposal No. 10/2026/TTr-HĐQT dated October 06, 2026 of the BOD).*

Article 2. The General Meeting of Shareholders assigns the BOD of the Company to direct the implementation of the above matters in accordance with applicable laws and the Charter of the Company.

Article 3. This Resolution shall take effect from October 29, 2026. The BOD, the Board of Management, and all relevant units and individuals within the Company shall be responsible for the implementation of this Resolution./.

Recipients:
- Shareholders;
- Members of the BOD;
- Board of Management, for implementation;
- State Securities Commission and Stock Exchange, for information disclosure;
- Archived: BOD Office.

ON BEHALF OF THE GENERAL MEETING OF SHAREHOLDERS
CHAIRMAN OF THE BOARD OF DIRECTORS

Nguyen Hai Chau

EVS SECURITIES JOINT STOCK COMPANY	**SOCIALIST REPUBLIC OF VIETNAM** **Independence – Freedom – Happiness**
No.: 07/2026/TTr-HĐQT	*Hanoi, October 06, 2026*

PROPOSAL

Re: Dismissal and Election of Members of the Board of Directors

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To: The General Meeting of Shareholders

- *Pursuant to the Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 and its amendments, supplements, and guiding documents;*
- *Pursuant to the Law on Securities No. 54/2019/QH14 dated November 26, 2019 and its amendments, supplements, and guiding documents;*
- *Pursuant to the Charter of EVS Securities Joint Stock Company;*
- *Pursuant to the Letter of Resignation submitted by a Member of the Board of Directors,*

In order to complete the composition of the Board of Directors ("BOD") of EVS Securities Joint Stock Company (the "Company") and ensure compliance with the Company's Charter and applicable laws, the Company's BOD respectfully submits to the 2026 Extraordinary General Meeting of Shareholders for approval the dismissal and election of BOD members, specifically as follows:

1. To approve the dismissal of Mr. Nguyen Thanh Hai from the position of Member of the Board of Directors, pursuant to his Letter of Resignation from the position of Member of the Board of Directors dated September 03, 2026.

2. To elect one (01) new Member of the Board of Directors to replace the relieved Member of the Board of Directors.

Respectfully submitted to the General Meeting of Shareholders for consideration and approval.

Respectfully./.

Recipients:
- As above;
- Archived: BOD Office.

ON BEHALF OF THE BOARD OF DIRECTORS
CHAIRMAN

S.G.P: 25 C.T.C.P
CÔNG TY CỔ PHẦN CHỨNG KHOÁN EVS
P. HAI BÀ TRƯNG - T.P HÀ NỘI

Nguyen Hai Chau

EVS SECURITIES JOINT STOCK COMPANY

No.: 08/2026/TTr-HĐQT

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

Hanoi, October 06, 2026

PROPOSAL

Re: Approval of the provision of clearing and settlement services for securities transactions under the central counterparty mechanism

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To: The General Meeting of Shareholders

- *Pursuant to the Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 and its amendments, supplements, and guiding documents;*
- *Pursuant to the Law on Securities No. 54/2019/QH14 dated November 26, 2019 and its amendments, supplements, and guiding documents;*
- *Pursuant to Decree No. 155/2020/ND-CP dated December 31, 2020, detailing the implementation of a number of articles of the Law on Securities, and its amending and supplementing documents;*
- *Pursuant to Circular No. 121/2020/TT-BTC dated December 31, 2020, regulating the operations of securities companies, and its guiding, amending and supplementing documents;*
- *Pursuant to the Charter of EVS Securities Joint Stock Company ("EVS" or the "Company");*

With the objective of implementing the clearing and settlement system for the underlying securities market under the central counterparty (CCP) mechanism, the State Securities Commission of Vietnam ("SSC") has announced the Implementation Plan for the Central Counterparty Mechanism for the underlying securities market.

On that basis, in preparation for EVS to provide clearing and settlement services for securities transactions in accordance with applicable laws and the implementation plan announced by the SSC, and with a view to enhancing convenience and the experience for investors, the Board of Directors ("BOD") respectfully submits the following matters to the General Meeting of Shareholders of the Company ("GMS") for approval:

1. To approve the provision of clearing and settlement services for securities transactions under the central counterparty (CCP) mechanism.

2. To approve the assignment/authorization of the BOD to carry out the following matters:

- To decide whether the Company shall register as a Direct Clearing Member or a General Clearing Member, based on its ability to satisfy the conditions prescribed by applicable laws and the Company's actual circumstances.

- To decide on and carry out the procedures and make the necessary preparations for obtaining the Certificate of Eligibility to Provide Clearing and Settlement Services for Securities Transactions, the Clearing Membership Certificate, and other licenses, certificates and approvals from competent authorities.
- To decide on and carry out other matters related to the provision of clearing and settlement services for securities transactions.
- In the event that the Company has not been granted a Clearing Membership Certificate before the central counterparty mechanism becomes operational pursuant to a decision of the competent state authority, the BOD shall decide on and organize the implementation of necessary measures in accordance with applicable laws and the requirements of the competent state authority, so as to ensure that the Company's trading activities operate continuously and safely without adversely affecting the lawful rights and interests of customers.
- In the course of carrying out the matters assigned/authorized above, the BOD shall have the right to further assign/authorize the Chairman of the BOD and/or any other individual to carry out part or all of the matters and tasks assigned/authorized by the GMS.

Respectfully submitted to the General Meeting of Shareholders for consideration and approval.

Respectfully./.

Recipients:
- *As above;*
- *Archived: BOD Office.*

ON BEHALF OF THE BOARD OF DIRECTORS

CHAIRMAN

Nguyen Hai Chau

EVS SECURITIES JOINT STOCK COMPANY

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

No.: 09/2026/TTr-HĐQT

Hanoi, October 06, 2026

PROPOSAL

Re: Approval of the extension and recovery plan for the receivable from Tien Thanh Consulting Services Company Limited

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To: The General Meeting of Shareholders

- *Pursuant to the Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 and its amendments, supplements, and guiding documents;*
- *Pursuant to the Law on Securities No. 54/2019/QH14 dated November 26, 2019 and its amendments, supplements, and guiding documents;*
- *Pursuant to the Charter of EVS Securities Joint Stock Company (the "Company");*
- *Pursuant to the status of the disposal of collateral securing the repeatedly extended receivables (including the receivable from Tien Thanh Consulting Services Company Limited) under Proposal No. 11/2025/TT-HĐQT dated November 19, 2025, which was approved at the Extraordinary General Meeting of Shareholders held on November 19, 2025 ("Proposal No. 11/2025"), and Resolution No. 02/2025/NQ-ĐHĐCĐ dated December 11, 2025;*
- *Pursuant to the status of implementation of the plan to continue the recovery of the repeatedly extended receivable under Proposal No. 04/2026/TT-HĐQT dated March 31, 2026, which was approved at the Annual General Meeting of Shareholders held on April 22, 2026 ("Proposal No. 04/2026"), and Resolution No. 01/2026/NQ-ĐHĐCĐ dated April 22, 2026;*
- *Pursuant to Meeting Minutes No. 20/2026/BBH-HĐQT dated October 06, 2026 of the Company's Board of Directors.*

In order to ensure financial safety, comply with applicable laws and the Company's Charter, and implement the policy on the disposal of collateral securing the receivable from Tien Thanh Consulting Services Company Limited ("Tien Thanh Company"), the Board of Directors ("BOD") of the Company respectfully submits the following matters to the General Meeting of Shareholders ("GMS") for consideration and approval:

1. Approval of the extension of the receivable from Tien Thanh Company

1.1. Outstanding receivable and collateral of Tien Thanh Company

As of September 30, 2026, the outstanding receivable from Tien Thanh Company amounts to VND 518,870,022,775 and is secured by 51,900,000 shares of Mineral Import Export Joint Stock Company.

1.2. Status of the disposal of collateral securing the receivable from Tien Thanh Company:

- Pursuant to Proposal No. 04/2026, the GMS approved the disposal of the collateral securing the receivable from Tien Thanh Company and assigned/authorized the BOD to determine the timing and method for the sale/recovery or other measures in respect of the collateral in order to ensure compliance with financial safety indicators and protect the interests of the Company.

To implement the above, the BOD has been carrying out relevant tasks to determine an appropriate method for the disposal of the collateral in compliance with applicable laws and in the interests of the Company.

Accordingly, pending the disposal of the aforesaid collateral, the BOD respectfully submits to the GMS for consideration and approval the extension of the receivable from Tien Thanh Company until June 30, 2027.

2. Approval of the continued implementation of the debt recovery plan for the receivable from Tien Thanh Company as follows:

- If, upon expiry of the payment extension period specified in Section 1 above, Tien Thanh Company has not made payment or has not fully paid the outstanding amount: EVS shall sell/recover the collateral or take other measures in respect of the collateral in accordance with applicable laws, the Company's Charter, and the provisions of the contracts/agreements entered into with the relevant parties.
- If, during the payment extension period specified in Section 1 above, Tien Thanh Company and the Collateral Provider propose the sale of the collateral to fulfill the payment obligations to EVS: EVS, Tien Thanh Company, the Collateral Provider, and the relevant parties shall carry out the required procedures in accordance with applicable regulations to recover in full the aforesaid receivable from Tien Thanh Company.

3. The GMS assigns/authorizes the BOD to carry out the following matters:

- To carry out the procedures for extending the receivable from Tien Thanh Company in compliance with applicable laws, the Company's Charter, and the Company's internal regulations.
- To determine the timing and method for the sale/recovery or other measures in respect of the collateral in order to ensure compliance with financial safety indicators and protect the interests of the Company.
- To make notifications, reports, and information disclosures to relevant organizations and competent state authorities (if any) in accordance with applicable laws.
- In the course of carrying out the matters assigned/authorized above, the BOD shall have the right to further assign/authorize the Chairman of the BOD and/or any other individual to carry out part or all of the matters and tasks assigned/authorized by the GMS.

Respectfully submitted to the General Meeting of Shareholders for consideration and approval.

Respectfully./.

Recipients:
- As above;
- Archived: BOD Office.

ON BEHALF OF THE BOARD OF DIRECTORS
CHAIRMAN

CÔNG TY CỔ PHẦN CHỨNG KHOÁN EVS

Nguyen Hai Chau

EVS SECURITIES JOINT STOCK COMPANY

No.: 10/2026/TTr-HĐQT

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

Hanoi, October 06, 2026

PROPOSAL

Re: Approval of the restructuring of the Company and cost reduction

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To: The General Meeting of Shareholders

- *Pursuant to the Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 and its amendments, supplements, and guiding documents;*
- *Pursuant to the Law on Securities No. 54/2019/QH14 dated November 26, 2019 and its amendments, supplements, and guiding documents;*
- *Pursuant to the Charter of EVS Securities Joint Stock Company (the "Company" or "EVS");*
- *Pursuant to the Company's business performance and actual circumstances;*

Pursuant to Report No. 0210/2026/BC-BĐH dated October 02, 2026 of the Board of Management on the Company's current operational status, the Board of Directors ("BOD") respectfully submits the following matters to the General Meeting of Shareholders ("GMS") for approval:

1. To approve the policy on the restructuring of the Company and cost reduction, including but not limited to: changing and reorganizing the organizational structure and the functions and duties of units toward a streamlined and leaner structure, while minimizing inefficient costs; changing the governance structure and necessary policies (including but not limited to the policies/remuneration of the BOD, the Audit Committee, etc.) and regulations; and streamlining personnel across all departments and units, with the objective of optimizing costs and increasing the Company's revenue and profits, while ensuring compliance with applicable laws and the Company's internal regulations.
2. The GMS assigns and authorizes the BOD to direct and organize the implementation of, and supervise the implementation of, the approved matters in accordance with applicable laws and the Company's Charter.
3. In the course of carrying out the matters assigned/authorized under Section 2 above, the BOD shall have the right to further assign/authorize the Acting Chief Executive Officer to carry out part or all of the matters and tasks assigned/authorized by the GMS.

Respectfully submitted to the General Meeting of Shareholders for consideration and approval.

Respectfully./.

Recipients:
- As above;
- Archived: BOD Office.

ON BEHALF OF THE BOARD OF DIRECTORS
CHAIRMAN

Nguyen Hai Chau