



## REPORT ON CORPORATE GOVERNANCE

To:

- The State Securities Commission;
- The Stock Exchange

- Name of company: **Vinh Long Water Supply Joint Stock Company**
- Address of head office: No. 02 Hung Dao Vuong, Long Chau Ward, Vinh Long Province
- Telephone: 0270 3822583      Fax: 0270 382 9432      Email: capnuocvl@gmail.com
- Charter capital: 289,000,000,000 VND
- Stock symbol: VLW
- Governance model: General Meeting of Shareholders, Board of Directors, Board of Supervisors, General Director and Director
- The implementation of internal audit: Not yet implemented.

### I. Activities of the General Meeting of Shareholders

Information on meetings, resolutions and decisions of the General Meeting of Shareholders (including the resolutions of the General Meeting of Shareholders approved in the form of written comments):

| No. | Resolution/Decision No. | Date       | Content                                                              |
|-----|-------------------------|------------|----------------------------------------------------------------------|
| 1   | 05/NQ-ĐHĐCD             | 19/2/2025  | Resolution of the 2025 Extraordinary General Meeting of Shareholders |
| 2   | 06/NQ-ĐHĐCD             | 27/06/2025 | Resolution of the 2025 Annual General Meeting of Shareholders        |

### II. Board of Directors

#### 1. Information about the members of the Board of Directors:

| No. | Board of Directors' members | Position (Independent members of the Board of Directors, Non-executive members of the Board of Directors)) | The date becoming/ceasing to be the member of the Board of Directors |                   |
|-----|-----------------------------|------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------|
|     |                             |                                                                                                            | Date of appointment                                                  | Date of dismissal |
| 1   | Mr. Dang Tan Chien          | Chairman                                                                                                   | 30/06/2021                                                           | -                 |
| 2   | Mr. Huynh Ngoc Chien        | Board Member                                                                                               | 19/02/2025                                                           | -                 |

| No. | Board of Directors' members | Position (Independent members of the Board of Directors, Non-executive members of the Board of Directors)) | The date becoming/ceasing to be the member of the Board of Directors |                   |
|-----|-----------------------------|------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------|
|     |                             |                                                                                                            | Date of appointment                                                  | Date of dismissal |
| 3   | Ms. Le Thi Quyen            | Non-executive Member of the Board                                                                          | 30/06/2021                                                           | -                 |
| 4   | Mr. Van Kim Hung Phong      | Non-executive Member of the Board                                                                          | 24/06/2023                                                           | -                 |
| 5   | Mr. Tran Tan Duc            | Non-executive Member of the Board                                                                          | 24/06/2023                                                           | -                 |

## 2. Meetings of the Board of Directors:

| No. | Board of Director' member | Number of meetings attended by Board of Directors | Attendance rate | Reasons for absence |
|-----|---------------------------|---------------------------------------------------|-----------------|---------------------|
| 1   | Mr. Dang Tan Chien        | 9/9                                               | 100%            |                     |
| 2   | Mr. Huynh Ngoc Chien      | 9/9                                               | 100%            |                     |
| 3   | Ms. Le Thi Quyen          | 9/9                                               | 100%            |                     |
| 4   | Mr. Van Kim Hung Phong    | 9/9                                               | 100%            |                     |
| 5   | Mr. Tran Tan Duc          | 9/9                                               | 100%            |                     |

## 3. Supervising the Board of Management by the Board of Directors:

The Board of Directors (BOD) consistently oversees the management operations, directing the implementation of the production and business plan approved by the General Meeting of Shareholders and the resolutions issued by the BOD throughout its execution.

Based on the monitoring activities in 2025, the BOD has the following assessment:

- The Board of Management has effectively implemented the 2025 business plan in accordance with the actual circumstances of the company, adhering to the provisions of the Enterprise Law, the Company's Charter, Internal governance regulations, resolutions from the General Meeting of Shareholders, and directives from the BOD during the execution phase.
- On a quarterly and semi-annual basis, the Board of Management reports the current operation to the BOD and strategies for the upcoming period. These reports are reviewed and assessed by the BOD, which proposes timely solutions to ensure a harmony of interests between shareholders, employee compensation, and the fulfillment of the state's political mandates.
- The Company's Executive Board has clearly assigned responsibilities (pursuant to Decision No. 48/QĐ-CN dated July 26, 2021; Decision No. 93/QĐ-CN dated March 10, 2025, and Decision No. 106/QĐ-CN dated August 27, 2025 regarding the assignment of duties within the enterprise's Executive Board). Accordingly, the duties and responsibilities of each member of the Executive Board are clearly defined.

## 4. Activities of the Board of Directors' subcommittees: None

## 5. Resolutions/Decisions of the Board of Directors

| No.                | Resolution/Decision No.                                                                                                                                                                          | Date       | Content                                                                                                                                   |
|--------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|-------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Resolutions</b> |                                                                                                                                                                                                  |            |                                                                                                                                           |
| 1                  | 01/NQ-HĐQT                                                                                                                                                                                       | 19/2/2025  | Resolution on the Nomination of a Board Member to Concurrently Hold the Position of General Director for the 2021–2026 Term               |
| 2                  | 02/NQ-HĐQT                                                                                                                                                                                       | 29/03/2025 | Regarding the extending time to organize the annual General Meeting of Shareholders in 2025.                                              |
| 3                  | 03/NQ-HĐQT                                                                                                                                                                                       | 29/03/2025 | Resolution of the Regular Meeting for the First Quarter of 2025                                                                           |
| 4                  | 04/NQ-HĐQT                                                                                                                                                                                       | 07/05/2025 | Regarding the Organization of the 2025 Annual General Meeting of Shareholders                                                             |
| 5                  | 05/NQ-HĐQT                                                                                                                                                                                       | 25/06/2025 | Regarding the Amendment and Supplementation of the Agenda and Documents for the 2025 Annual General Meeting of Shareholders               |
| 6                  | 06/NQ-HĐQT                                                                                                                                                                                       | 25/06/2025 | Resolution of the Regular Meeting for the Second Quarter of 2025                                                                          |
| 7                  | 07/NQ-HĐQT                                                                                                                                                                                       | 28/6/2025  | Regarding the selection of the audit firm to review the interim financial statements and audit the financial statements for the year 2025 |
| 8                  | 08/NQ-HĐQT                                                                                                                                                                                       | 29/7/2025  | Approval of the actual salary fund for 2024 and the planned salary fund for 2025                                                          |
| 9                  | 09/NQ-HĐQT                                                                                                                                                                                       | 22/8/2025  | Resolution on Cash Dividend Payment for the Year 2024 to the Shareholders of Vinh Long Water Supply Joint Stock Company                   |
| <b>Decisions</b>   |                                                                                                                                                                                                  |            |                                                                                                                                           |
| 1                  | 89/QĐ-CN                                                                                                                                                                                         | 19/2/2025  | Decision on the Appointment of the General Director of the Company                                                                        |
| 2                  | The Decisions related to the management and operation of the company during the first six months of 2025 under the authority of the legal representative, the Chairman of the Board of Directors |            |                                                                                                                                           |

## III. Board of Supervisors/Audit Committee

### 1. Information about members of Board of Supervisors or Audit Committee:

| No. | Members of Board of Supervisors/ Audit Committee | Position          | The date becoming/ceasing to be the member of the Board of Supervisors/ Audit Committee | Qualification                                           |
|-----|--------------------------------------------------|-------------------|-----------------------------------------------------------------------------------------|---------------------------------------------------------|
| 1   | Ms. Bui Thien Ngoc Minh                          | Head of the Board | 30/06/2021                                                                              | Bachelor of Economics and Master of Economic Management |

| No. | Members of Board of Supervisors/ Audit Committee | Position | The date becoming/ceasing to be the member of the Board of Supervisors/ Audit Committee | Qualification                                               |
|-----|--------------------------------------------------|----------|-----------------------------------------------------------------------------------------|-------------------------------------------------------------|
| 2   | Ms. Nguyen Thi Hong Nhung                        | Member   | 30/06/2021                                                                              | Bachelor of Economics                                       |
| 3   | Mr. Nguyen Huu Binh                              | Member   | 24/06/2023                                                                              | Bachelor of Economics and Master of Business Administration |

## 2. Meetings of Board of Supervisors or Audit Committee

| No. | Members of Board of Supervisors/ Audit Committee | Number of meetings attended | Attendance rate | Voting rate | Reasons for absence |
|-----|--------------------------------------------------|-----------------------------|-----------------|-------------|---------------------|
| 1   | Ms. Bui Thien Ngoc Minh                          | 4/4                         | 100%            | 100%        |                     |
| 2   | Ms. Nguyen Thi Hong Nhung                        | 4/4                         | 100%            | 100%        |                     |
| 3   | Mr. Nguyen Huu Binh                              | 4/4                         | 100%            | 100%        |                     |

## 3. Supervising Board of Directors, Board of Management and shareholders by Board of Supervisors or Audit Committee:

Oversight of the management and operations of the company in accordance to the action programme of the Supervisory Board.

Based on the monitoring activity, the Supervisory Board has evaluated as follows:

### 3.1 Regarding the Board of Directors

- The Board of Directors has organized corporate governance in compliance with the Law on Enterprises, the Company Charter, the Regulations of the Board of Directors, and the Corporate Governance Regulations. The Board has fully implemented regular meeting regimes to consider and approve matters within its authority, and has directed the General Director to properly carry out the production and business operation plans approved by the General Meeting of Shareholders.

- The Board of Directors has ensured the proper organization of the annual General Meeting of Shareholders in compliance with the Enterprise Law, the Company's Charter, and the Internal Regulation On Corporate Governance .

- The company has ensured full compliance with statutory information disclosure requirements

- BOD has participated in all meetings and discussions but refrained from voting as stipulated by the Enterprise Law.

### 3.2 Regarding the Board of Management

The Board of Management have promptly and comprehensively implemented the resolutions approved by the General Meeting of Shareholder. The BOD proactively developed detailed quarterly plans for the allocation of investment capital, coordinated production activities at the plants, executed business strategies, and compiled and submitted comprehensive reports within the specified timelines. The Supervisory Board has validated the financial statements provided by the

management, ensuring that they accurately and reasonably reflect the business performance at the time of reporting.

- The 2025 production and business plan was developed based on a minimum growth rate of 8% as required by the Vinh Long Provincial People's Committee and was approved by the 2025 Annual General Meeting of Shareholders. However, during the implementation process, due to objective factors related to the provincial merger, clean water tariffs were not adjusted in accordance with the submitted roadmap to the Provincial People's Committee, resulting in clean water revenue not meeting the planned targets. Some production and business plan indicators have been achieved as follows:

- Revenue: VND 222,85 billion, achieving 94,00% of the target.
- Profit: VND 84,74 billion, achieving 86,32% of the target.
- Fulfilled State Obligations: VND 26,71 billion, achieving 88,56% of the target.

### 3.3 For shareholders:

The Company promptly discloses information in accordance with current regulations.

The Supervisory Board has not received any complaints from shareholders or groups of shareholders regarding the Company's management and business operations.

## 4. The coordination among the Board of Supervisors, Audit Committee, the Board of Management, Board of Directors and other managers:

To comply with the provisions of the company's Charter, the Corporate Governance Regulations, the Supervisory Board's Operating Regulations of Vinh Long Water Supply Joint Stock Company

## 5. Other activities of the Board of Supervisors and Audit Committee (if any):

Inspecting upon the request of individual and group shareholders. In 2025, there was no request for inspection by shareholders and shareholder groups.

## IV. Board of Management

| No. | Members of Board of Management | Date of birth | Qualification                                  | Date of appointment / dismissal of members of the Board of Management / |
|-----|--------------------------------|---------------|------------------------------------------------|-------------------------------------------------------------------------|
| 1   | Mr. Huynh Ngoc Chien           | 18/10/1974    | Bachelor of Economics                          | Appointed on 19/02/2025                                                 |
| 2   | Mr. Nguyen Quoc Dat            | 1970          | Urban engineer                                 | Appointed on 01/04/2017                                                 |
| 3   | Mr. Luong Minh Triet           | 02/10/1968    | Bachelor of Economics and Master of Accounting | Appointed on 30/06/2021                                                 |

## V. Chief Accountant:

| No. | Name             | Date of birth | Qualification                                              | Date of appointment/ dismissal |
|-----|------------------|---------------|------------------------------------------------------------|--------------------------------|
| 1   | Mr. Le Van Thang | 06/01/1974    | Bachelor of Economic and Master of Business Administration | Appointed on 30/06/2021        |

**VI. Training courses on corporate governance:**

Training courses on corporate governance were involved by members of Board of Directors, the Board of Supervisors, Director (General Director), other managers and secretaries in accordance with regulations on corporate governance: None

**VII. The list of affiliated persons of the public company and transactions of affiliated persons of the Company**

**1. The list of affiliated persons of the Company:**

| No.       | Name of organization/ individual                                 | Securities trading account (if any) | Position at the company (if any) | No., date of issue, place of issue | Address | Time of starting to be affiliated person                                        | Time of ending to be affiliated person                                              | Reason | Relationship with the Company |
|-----------|------------------------------------------------------------------|-------------------------------------|----------------------------------|------------------------------------|---------|---------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|--------|-------------------------------|
| <b>I</b>  | <b>The related party is an organization</b>                      |                                     |                                  |                                    |         |                                                                                 |                                                                                     |        |                               |
| 1         | People's Committee of Vinh Long province                         |                                     |                                  | -                                  | -       | 25/5/2016                                                                       | -                                                                                   |        | State shareholder             |
| 2         | Binh Duong Water – Environment Corporation – Joint Stock Company |                                     |                                  | -                                  | -       | 21/5/2025                                                                       | -                                                                                   |        | Major shareholder             |
| <b>II</b> | <b>The related party is an individual</b>                        |                                     |                                  |                                    |         |                                                                                 |                                                                                     |        |                               |
| 1         | Dang Tan Chien                                                   |                                     | Chairman                         | -                                  | -       | 25/5/2016                                                                       | -                                                                                   |        | Insider                       |
| 2         | Huynh Ngoc Chien                                                 |                                     | Member of BOD – General Manager  | -                                  | -       | 19/2/2025                                                                       | -                                                                                   |        | Insider                       |
| 3         | Le Thi Quyen                                                     |                                     | Member of BOD                    | -                                  | -       | 25/5/2016                                                                       | -                                                                                   |        | Insider                       |
| 4         | Bui Thien Ngoc Minh                                              |                                     | Head of Board of Supervisors     | -                                  |         | Member of the Board of Directors – May 25, 2016 Chief Accountant – June 1, 2016 | Dismissal of Member of the Board of Directors and Chief Accountant on June 30, 2021 |        | Insider                       |

| No. | Name of organization/ individual | Securities trading account (if any) | Position at the company (if any)                                                       | No., date of issue, place of issue | Address | Time of starting to be affiliated person                                                            | Time of ending to be affiliated person                      | Reason | Relationship with the Company |
|-----|----------------------------------|-------------------------------------|----------------------------------------------------------------------------------------|------------------------------------|---------|-----------------------------------------------------------------------------------------------------|-------------------------------------------------------------|--------|-------------------------------|
|     |                                  |                                     |                                                                                        |                                    |         | Head of the Supervisory Board – June 30, 2021                                                       |                                                             |        |                               |
| 5   | Nguyen Thi Hong Nhung            |                                     | Supervisors                                                                            | -                                  | -       | 25/5/2016                                                                                           | -                                                           |        | Insider                       |
| 6   | Nguyen Quoc Dat                  |                                     | Deputy General Manager                                                                 | -                                  | -       | 01/04/2017                                                                                          | -                                                           |        | Insider                       |
| 7   | Luong Minh Triet                 |                                     | Deputy General Manager                                                                 | -                                  | -       | Head of the Supervisory Board – May 25, 2016<br>Deputy General Director – June 30, 2021             | Dismissal of Head of the Supervisory Board on June 30, 2021 |        | Insider                       |
| 8   | Le Van Thang                     |                                     | Chief Accountant ; Secretary; Administrator; Person authorized to disclose information | -                                  | -       | Corporate Secretary; Corporate Governance Officer; Authorized Information Disclosure Representative | -                                                           |        | Insider                       |

| No. | Name of organization/ individual | Securities trading account (if any) | Position at the company (if any) | No., date of issue, place of issue | Address | Time of starting to be affiliated person                       | Time of ending to be affiliated person | Reason | Relationship with the Company |
|-----|----------------------------------|-------------------------------------|----------------------------------|------------------------------------|---------|----------------------------------------------------------------|----------------------------------------|--------|-------------------------------|
|     |                                  |                                     |                                  |                                    |         | ive – July 1, 2016<br>Chief Accountant – June 30, 2021         |                                        |        |                               |
| 9   | Mr. Van Kim Hung Phong           |                                     | Member of BOD                    | -                                  | -       | Appointed as Member of the Board of Directors on June 24, 2023 | -                                      |        | Insider                       |
| 10  | Mr. Tran Tan Duc                 |                                     | Member of BOD                    | -                                  | -       | Appointed as Member of the Board of Directors on June 24, 2023 | -                                      |        | Insider                       |
| 11  | Mr. Nguyen Huu Binh              |                                     | Supervisor                       | -                                  | -       | Appointed as Member of the Supervisor y Board on June 24, 2023 | -                                      |        | Insider                       |

*Note: NSH No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on Operations or equivalent legal documents (As for organisations).*

**2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons.**

| No. | Name of organization/individual                    | Relationship with the Company | NSH No.* date of issue, place of issue | Address                                                      | Time of transactions with the Company | Resolution No. or Decision No. approved by General Meeting of Shareholders/ Board of Directors (if any, specifying date of issue) | Content, quantity, total value of transaction | Note                |
|-----|----------------------------------------------------|-------------------------------|----------------------------------------|--------------------------------------------------------------|---------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------|---------------------|
| 1   | Cuu Long Water & Environment Joint Stock Company   | Associate                     | 1500590493                             | 93 Dinh Tien Hoang Street, Tan Hanh Ward, Vinh Long Province | 01/01/2025 → 31/12/2025               |                                                                                                                                   | 8,312,101,200                                 | Purchase water      |
|     |                                                    |                               |                                        |                                                              |                                       |                                                                                                                                   | 1,440,000,000                                 | Dividend collection |
| 2   | Binh Tan Water and Environment Joint Stock Company | Associate                     | 1501012456                             | 3530 Thanh Phu hamlet, Thanh Loi commune, Vinh Long Province | 01/01/2025 → 31/12/2025               |                                                                                                                                   | 2,750,000,000                                 | Dividend collection |

*Note: NSH No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on operations or equivalent legal documents (As for organisations)..*

**3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power:**  
*None*

**4. Transactions between the Company and other objects**

4.1. Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, Director (General Director) have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting).

Vinh Long Water Supply Joint Stock Company carried out clean water purchase transactions under Contract No. 002/HD-MEWACO dated July 17, 2009. The actual volume of clean water purchased in 2025 was 2,101,954 m<sup>3</sup>, with a total transaction value of VND 8,312,101,200 with Cuu Long Water & Environment Joint Stock Company

4.2. Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, Director (General Director) and other managers as a member of Board of Directors, Director (General Director or CEO): **None**

4.3. Other transactions of the Company (if any) may bring material or non- material benefits for members of Board of Directors, members of the Board of Supervisors, Director (General Director) and other managers: **None**

### VIII. Share transactions of internal persons and their affiliated persons

#### 1. The list of internal persons and their affiliated persons

| No.       | Name                                     | Securities trading account (if any) | Position at the company (if any)       | ID card No./Passport No., date of issue, place of issue | Address | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note                                                                        |
|-----------|------------------------------------------|-------------------------------------|----------------------------------------|---------------------------------------------------------|---------|-------------------------------------------------|--------------------------------------------------------|-----------------------------------------------------------------------------|
| <b>I</b>  | <b>Dang Tan Chien</b>                    |                                     | <b>Chairman</b>                        | -                                                       | -       | <b>322,822</b>                                  | <b>1.12%</b>                                           |                                                                             |
| 1         | Nguyen Thi Thanh Tuyen                   |                                     | -                                      | -                                                       | -       | -                                               | 0%                                                     | Spouse                                                                      |
| 2         | Dang Nguyen Minh Anh                     |                                     | -                                      | -                                                       | -       | -                                               | 0%                                                     | Child                                                                       |
| 3         | Dang Nguyen Minh Tam                     |                                     | -                                      | -                                                       | -       | -                                               | 0%                                                     | Child                                                                       |
| 4         | People's Committee of Vinh Long province |                                     | State shareholder                      | -                                                       | -       | 14,739,000                                      | 51%                                                    | Mr. Dang Tan Chien represents state capital, representing 11,791,200 shares |
| <b>II</b> | <b>Huynh Ngoc Chien</b>                  |                                     | <b>Member of BOD – General Manager</b> | -                                                       | -       | -                                               | <b>0%</b>                                              |                                                                             |
| 1         | Le Thi Thu Hien                          |                                     | -                                      | -                                                       | -       | -                                               | 0%                                                     | Spouse                                                                      |
| 2         | Huynh Le Anh Duong                       |                                     | -                                      | -                                                       | -       | -                                               | 0%                                                     | Child                                                                       |
| 3         | Huynh Ngoc Thuy Duong                    |                                     | -                                      | -                                                       | -       | -                                               | 0%                                                     | Child                                                                       |
| 4         | People's Committee of Vinh Long province |                                     | State shareholder                      | -                                                       | -       | 14,739,000                                      | 51%                                                    | Mr. Huynh Ngoc Chien represents state capital, representing                 |

| No.        | Name                         | Securities trading account (if any) | Position at the company (if any)    | ID card No./Passport No., date of issue, place of issue | Address | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note             |
|------------|------------------------------|-------------------------------------|-------------------------------------|---------------------------------------------------------|---------|-------------------------------------------------|--------------------------------------------------------|------------------|
|            |                              |                                     |                                     |                                                         |         |                                                 |                                                        | 2,947,800 shares |
| <b>III</b> | <b>Le Thi Quyen</b>          |                                     | <b>Member of BOD</b>                | -                                                       | -       | <b>15,300</b>                                   | <b>0.0529%</b>                                         |                  |
| 1          | Le Van Trung                 |                                     | -                                   | -                                                       | -       | -                                               | 0%                                                     | Father           |
| 2          | Vo Thi Ngon                  |                                     | -                                   | -                                                       | -       | -                                               | 0%                                                     | Mother           |
| 3          | Doan Van Det                 |                                     | -                                   | -                                                       | -       | -                                               | 0%                                                     | Spouse           |
| 4          | Doan Xuan Quyen              |                                     | -                                   | -                                                       | -       | -                                               | 0%                                                     | Child            |
| <b>IV</b>  | <b>Bui Thien Ngoc Minh</b>   |                                     | <b>Head of Board of Supervisors</b> | -                                                       | -       | <b>16,400</b>                                   | <b>0.0567%</b>                                         |                  |
| 1          | Pham Ngoc Phat               |                                     | -                                   | -                                                       | -       | -                                               | 0%                                                     | Spouse           |
| 2          | Pham Ngoc Minh Kha           |                                     | -                                   | -                                                       | -       | -                                               | 0%                                                     | Child            |
| 3          | Pham Bui Gia Thinh           |                                     | -                                   | -                                                       | -       | -                                               | 0%                                                     | Child            |
| <b>V</b>   | <b>Nguyen Thi Hong Nhung</b> |                                     | <b>Supervisors</b>                  | -                                                       | -       | <b>6,600</b>                                    | <b>0.0228%</b>                                         |                  |
| 1          | Giang Thanh Tung             |                                     | Employee                            | -                                                       | -       | 2,400                                           | 0.008%                                                 | Spouse           |
| <b>VI</b>  | <b>Nguyen Quoc Dat</b>       |                                     | <b>Deputy General Manager</b>       | -                                                       | -       | <b>7,700</b>                                    | <b>0.0266%</b>                                         |                  |
| 1          | Nguyen Thi Huoi              |                                     | -                                   | -                                                       | -       | -                                               | 0%                                                     | Mother           |
| 2          | Lam Van Thuong               |                                     | -                                   | -                                                       | -       | -                                               | 0%                                                     | Father-in-law    |
| 3          | Le Thi Canh                  |                                     | -                                   | -                                                       | -       | -                                               | 0%                                                     | Mother-in-law    |
| 4          | Lam Thi Canh Thy             |                                     | -                                   | -                                                       | -       | -                                               | 0%                                                     | Spouse           |
| 5          | Nguyen Lam Nhat Vy           |                                     | -                                   | -                                                       | -       | -                                               | 0%                                                     | Child            |

| No. | Name                    | Securities trading account (if any) | Position at the company (if any) | ID card No./Passport No., date of issue, place of issue | Address | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note            |
|-----|-------------------------|-------------------------------------|----------------------------------|---------------------------------------------------------|---------|-------------------------------------------------|--------------------------------------------------------|-----------------|
| 6   | Nguyen Thi Kieu         |                                     | -                                | -                                                       | -       | -                                               | 0%                                                     | Older sister    |
| 7   | Nguyen Thi Dang         |                                     | -                                | -                                                       | -       | -                                               | 0%                                                     | Younger brother |
| 8   | Nguyen Thi Bich Thuan   |                                     | -                                | -                                                       | -       | -                                               | 0%                                                     | Younger brother |
| 9   | Nguyen Thanh Loi        |                                     | Employee                         | -                                                       | -       | 1,400                                           | 0.0048%                                                | Younger brother |
| VII | <b>Luong Minh Triet</b> |                                     | <b>Deputy General Manager</b>    | -                                                       | -       | <b>15,000</b>                                   | <b>0.0519%</b>                                         |                 |
| 1   | Chau Van Bon            |                                     | -                                | -                                                       | -       | -                                               | 0%                                                     | Father-in-law   |
| 2   | Dinh Thi Sang           |                                     | -                                | -                                                       | -       | -                                               | 0%                                                     | Mother-in-law   |
| 3   | Chau Thi Ngoc Tuyen     |                                     | -                                | -                                                       | -       | -                                               | 0%                                                     | Spouse          |
| 4   | Luong Minh Ngoc         |                                     | -                                | -                                                       | -       | -                                               | 0%                                                     | Child           |
| 5   | Luong Minh Nhut         |                                     | -                                | -                                                       | -       | -                                               | 0%                                                     | Child           |
| 6   | Luong Minh Tri          |                                     | -                                | -                                                       | -       | -                                               | 0%                                                     | Brother         |
| 7   | Luong Thi Thanh Thuy    |                                     | -                                | -                                                       | -       | -                                               | 0%                                                     | Older sister    |
| 8   | Phan Phuc Binh          |                                     | -                                | -                                                       | -       | -                                               | 0%                                                     | Brother-in-law  |
| 9   | Luong Minh Duc          |                                     | -                                | -                                                       | -       | -                                               | 0%                                                     | Brother         |
| 10  | Tran Thi My Hanh        |                                     | -                                | -                                                       | -       | -                                               | 0%                                                     | Sister-in-law   |
| 11  | Luong Minh Hai          |                                     | -                                | -                                                       | -       | 2,700                                           | 0.0093%                                                | Brother         |
| 12  | Do Phuong Binh          |                                     | -                                | -                                                       | -       | 4,800                                           | 0.0166%                                                | Sister-in-law   |
| 13  | Luong Thi Thanh Chau    |                                     | -                                | -                                                       | -       | -                                               | 0%                                                     | Older sister    |

| No.  | Name                          | Securities trading account (if any) | Position at the company (if any)                                                              | ID card No./Passport No., date of issue, place of issue | Address | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note            |
|------|-------------------------------|-------------------------------------|-----------------------------------------------------------------------------------------------|---------------------------------------------------------|---------|-------------------------------------------------|--------------------------------------------------------|-----------------|
| 14   | Luong Thi Thanh Diep          |                                     | -                                                                                             | -                                                       | -       | -                                               | 0%                                                     | Older sister    |
| 15   | Duong Quoc Toan               |                                     | -                                                                                             | -                                                       | -       | -                                               | 0%                                                     | Brother-in-law  |
| VIII | Le Van Thang                  |                                     | <b>Chief Accountant; Secretary; Administrator; Person authorized to disclose information.</b> | -                                                       | -       | 14,400                                          | <b>0.0498%</b>                                         |                 |
| 1    | Diep Huong                    |                                     | Deputy Manager, Operations Management                                                         | -                                                       | -       | 3,700                                           | 0.0128%                                                | Spouse          |
| 2    | Le Diep Thy Anh               |                                     | -                                                                                             | -                                                       | -       | -                                               | 0%                                                     | Child           |
| 3    | Le Thanh Binh                 |                                     | -                                                                                             | -                                                       | -       | -                                               | 0%                                                     | Younger brother |
| IX   | <b>Mr. Van Kim Hung Phong</b> |                                     | <b>Member of BOD</b>                                                                          | -                                                       | -       | -                                               | -                                                      |                 |
| 1    | Van Van Hoa                   |                                     | -                                                                                             | -                                                       | -       | -                                               | -                                                      | Father          |
| 2    | Kim Thi Ly                    |                                     | -                                                                                             | -                                                       | -       | -                                               | -                                                      | Mother          |
| 3    | Ha Van Khanh                  |                                     | -                                                                                             | -                                                       | -       | -                                               | -                                                      | Father-in-law   |
| 4    | Le Thi Loan                   |                                     | -                                                                                             | -                                                       | -       | -                                               | -                                                      | Mother-in-law   |
| 5    | Ha Thi Phuong Thuy            |                                     | -                                                                                             | -                                                       | -       | -                                               | -                                                      | Spouse          |
| 6    | Van Hoang Khang               |                                     | -                                                                                             | -                                                       | -       | -                                               | -                                                      | Child           |

| No. | Name                                                                   | Securities trading account (if any) | Position at the company (if any) | ID card No./Passport No., date of issue, place of issue | Address | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note                                |
|-----|------------------------------------------------------------------------|-------------------------------------|----------------------------------|---------------------------------------------------------|---------|-------------------------------------------------|--------------------------------------------------------|-------------------------------------|
| 7   | Van Hoang Kim Anh                                                      |                                     | -                                | -                                                       | -       | -                                               | -                                                      | Child                               |
| 8   | Van Kim Duyen                                                          |                                     | -                                | -                                                       | -       | -                                               | -                                                      | Older sister                        |
| 9   | Van Kim Dung                                                           |                                     | -                                | -                                                       | -       | -                                               | -                                                      | Younger brother                     |
| 10  | Van Kim Loan                                                           |                                     | -                                | -                                                       | -       | -                                               | -                                                      | Younger brother                     |
| 11  | Phu Hoa Construction Design Investment and Trading Joint Stock Company |                                     | -                                | -                                                       | -       | -                                               | -                                                      | Related company - Member of the BOD |
| 12  | Biwase Electrical Construction Joint Stock Company                     |                                     | -                                | -                                                       | -       | -                                               | -                                                      | Related company - Member of the BOD |
| X   | <b>Mr. Tran Tan Duc</b>                                                |                                     | <b>Member of BOD</b>             | -                                                       | -       | -                                               | -                                                      |                                     |
| 1   | Nguyen Thi Thanh Hong                                                  |                                     | -                                | -                                                       | -       | -                                               | -                                                      | Spouse                              |
| 2   | Tran Thanh Tin                                                         |                                     | -                                |                                                         | -       | -                                               | -                                                      | Child                               |
| 3   | Tran Dong Nghi                                                         |                                     | -                                |                                                         | -       | -                                               | -                                                      | Child                               |
| 4   | Tran Thi An                                                            |                                     | -                                | -                                                       | -       | -                                               | -                                                      | Sister                              |
| 5   | Tran Thi Loc                                                           |                                     | -                                | -                                                       | -       | -                                               | -                                                      | Sister                              |
| 6   | Tran Huu Nghia                                                         |                                     | -                                | -                                                       | -       | -                                               | -                                                      | Brother-in-law                      |
| 7   | Tran Thi Quy                                                           |                                     | -                                | -                                                       | -       | -                                               | -                                                      | Sister                              |

| No. | Name                                                             | Securities trading account (if any) | Position at the company (if any) | ID card No./Passport No., date of issue, place of issue | Address | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note                                                                                                             |
|-----|------------------------------------------------------------------|-------------------------------------|----------------------------------|---------------------------------------------------------|---------|-------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|
| 8   | Tran Ba Phuoc                                                    |                                     | -                                | -                                                       | -       | -                                               | -                                                      | Brother                                                                                                          |
| 9   | Nguyen Thi Lien                                                  |                                     | -                                | -                                                       | -       | -                                               | -                                                      | Sister-in-law                                                                                                    |
| 10  | Tran Tan Tai                                                     |                                     | -                                | -                                                       | -       | -                                               | -                                                      | Brother                                                                                                          |
| 11  | Nguyen Thi Phuong Mai                                            |                                     | -                                | -                                                       | -       | -                                               | -                                                      | Sister-in-law                                                                                                    |
| 12  | Biwase Water Joint Stock Company - Long An                       |                                     | -                                | -                                                       | -       | -                                               | -                                                      | Related companies – Member of the BOD                                                                            |
| 13  | Quang Binh Water Supply Joint Stock Company                      |                                     | -                                | -                                                       | -       | -                                               | -                                                      | Related company - Member of the BOD                                                                              |
| 14  | Biwase Electrical Construction Joint Stock Company               |                                     |                                  | -                                                       | -       | -                                               | -                                                      | Related company - Member of the BOD                                                                              |
| 15  | Binh Duong Water – Environment Corporation – Joint Stock Company |                                     | Major shareholder                | -                                                       | -       | 9,725,862                                       | 33.65%                                                 | Mr. Tran Tan Duc is the representative of state capital and serves as the Deputy General Director of the company |

| No.       | Name                                               | Securities trading account (if any) | Position at the company (if any) | ID card No./Passport No., date of issue, place of issue | Address | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note                                     |
|-----------|----------------------------------------------------|-------------------------------------|----------------------------------|---------------------------------------------------------|---------|-------------------------------------------------|--------------------------------------------------------|------------------------------------------|
| <b>XI</b> | <b>Mr. Nguyen Huu Binh</b>                         |                                     | <b>Supervisor</b>                | -                                                       | -       | -                                               | -                                                      |                                          |
| 1         | Pham Thi Thanh Huong                               |                                     | -                                | -                                                       | -       | -                                               | -                                                      | Mother                                   |
| 2         | Nguyen Thi Hong Hanh                               |                                     | -                                | -                                                       | -       | -                                               | -                                                      | Spouse                                   |
| 3         | Nguyen Huu Phuc Minh                               |                                     | -                                |                                                         | -       | -                                               | -                                                      | Child                                    |
| 4         | Nguyen Huu Duc Minh                                |                                     | -                                |                                                         | -       | -                                               | -                                                      | Child                                    |
| 5         | Nguyen Huu Hoang                                   |                                     | -                                | -                                                       | -       | -                                               | -                                                      | Brother                                  |
| 6         | Kieu Kim Phuong                                    |                                     | -                                | -                                                       | -       | -                                               | -                                                      | Sister-in-law                            |
| 7         | Nguyen Thi My Ngoc                                 |                                     | -                                | -                                                       | -       | -                                               | -                                                      | Older sister                             |
| 8         | Nguyen Thi My Linh                                 |                                     | -                                | -                                                       | -       | -                                               | -                                                      | Older sister                             |
| 9         | Nguyen Huu Huan                                    |                                     | -                                | -                                                       | -       | -                                               | -                                                      | Brother                                  |
| 10        | Nguyen Thi Khanh Loan                              |                                     | -                                | -                                                       | -       | -                                               | -                                                      | Sister-in-law                            |
| 11        | Nguyen Huu Thai                                    |                                     | -                                | -                                                       | -       | -                                               | -                                                      | Brother                                  |
| 12        | Pham Thi Kim Ngoc                                  |                                     | -                                | -                                                       | -       | -                                               | -                                                      | Sister-in-law                            |
| 13        | Nguyen Thi My Dung                                 |                                     | -                                | -                                                       | -       | -                                               | -                                                      | Older sister                             |
| 14        | Dang Quoc Cuong                                    |                                     | -                                | -                                                       | -       | -                                               | -                                                      | Brother-in-law                           |
| 15        | Biwase Electrical Construction Joint Stock Company |                                     |                                  | -                                                       | -       | -                                               | -                                                      | Mr. Nguyen Huu Binh serves as Accountant |

2. Transactions of internal persons and affiliated persons with shares of the company

| No | Transaction executor                                             | Relationship with internal persons | Number of shares owned at the beginning of the period |            | Number of shares owned at the end of the period |            | Reasons for increasing, decreasing (buying, selling, converting, rewarding, etc.) |
|----|------------------------------------------------------------------|------------------------------------|-------------------------------------------------------|------------|-------------------------------------------------|------------|-----------------------------------------------------------------------------------|
|    |                                                                  |                                    | Number of shares                                      | Percentage | Number of shares                                | Percentage |                                                                                   |
| 1  | Binh Duong Water – Environment Corporation – Joint Stock Company | Related party                      | 0                                                     | 0          | 9.725.862                                       | 33,65%     | Purchase shares                                                                   |
| 2  | Biwase Electrical Construction Joint Stock Company               | Related party                      | 9.725.862                                             | 33,65%     | 0                                               | 0          | Sell shares                                                                       |

**IX. Other significant issues: None**

*Recipients:*

- As above;
- Archived: Office Department.

**CHAIRMAN OF THE BOARD OF DIRECTORS**

*(Sign, full name and seal)*



*Đặng Tấn Chiến*