

**TECHCOM SECURITIES  
JOINT STOCK COMPANY**

**SOCIALIST REPUBLIC OF VIETNAM  
Independence - Freedom - Happiness**

No: 161806/26/CV-TCBS

Hanoi, June 18, 2026

**EXTRAORDINARY INFORMATION DISCLOSURE**

**To: State Securities Commission  
Vietnam Stock Exchange  
Hochiminh Stock Exchange**

1. Name of organization: Techcom Securities Joint Stock Company
  - Stock code: TCX
  - Address: 27th, 28th and 29th floors, C5 D'Capitale Building, 119 Tran Duy Hung Street, Yen Hoa Ward, Hanoi City.
  - Tel: Fax:
  - E-mail: [baocao\\_tcbs@techcombank.com.vn](mailto:baocao_tcbs@techcombank.com.vn)

2. Contents of information disclosure:

Techcom Securities Joint Stock Company ("TCBS") hereby discloses Resolution No. 021806/26/NQ-HĐQT-TCBS dated June 18, 2026, issued by the Board of Directors on approval of capital contribution for the establishment of the Techcom Capital VN30 Exchange Traded Fund, with TCBS participating in related roles, and the transaction limits between TCBS and Techcom Capital Joint Stock Company.

*(Details in the attached documents)*

3. This information was posted on TCBS website on June 18, 2026 at this link: <https://www.tcbs.com.vn>. We hereby declare to be responsible for the accuracy and completeness of the above information.

**Attached documents:**

- Resolution No. 021806/26/NQ-HĐQT-TCBS

**TECHCOM SECURITIES JOINT STOCK COMPANY**

*Person authorized to disclose information*



**NGO HOANG HA**



**RESOLUTION OF THE BOARD OF DIRECTORS  
TECHCOM SECURITIES JOINT STOCK COMPANY**

*(Re: approval of capital contribution for the establishment of the Techcom Capital VN30 Exchange Traded Fund, with TCBS participating in related roles, and the transaction limits between TCBS and Techcom Capital Joint Stock Company)*

**BOARD OF DIRECTORS  
TECHCOM SECURITIES JOINT STOCK COMPANY**

- Pursuant to the Law on Enterprises No. 59/2020/QH14 approved by the National Assembly on June 17, 2020, effective on January 1, 2021 and its amendments and supplements effective from time to time;
- Pursuant to the Law on Securities No. 54/2019/QH14 approved by the National Assembly on 26/11/2019, effective on 01/01/2021 and its amendments and supplements effective from time to time;
- Pursuant to the current Charter of Techcom Securities Joint Stock Company (hereinafter referred to as "the Company" or "TCBS");
- Pursuant to the Company's Proposal to the Board of Directors (the "BOD") No. 202005/26/TT-TCBS dated May 20, 2026 on approval of capital contribution for the establishment of the Techcom Capital VN30 Exchange Traded Fund, with TCBS participating in related roles, and the transaction limits between TCBS and Techcom Capital Joint Stock Company;
- Pursuant to the Minutes of vote counting of the Board of Directors of the Company No. 021806/26/BBKP-HĐQT-TCBS ngày 18/06/2026.

**RESOLVED:**

**Article 1 Approval of TCBS's investment to establish an exchange-traded fund with the following key terms:**

Fund Name	TECHCOM CAPITAL VN30 ETF
Fund Type	Exchange-Traded Fund
ETF Charter Capital	Minimum VND 50 billion
TCBS's Investment	Up to VND 200 billion
Fund Unit	VND 10,000



Investment Restrictions	Per Circular 98/2020/TT-BTC (Nov 16, 2020) guiding the management of securities investment funds, Circular 136/2025/TT-BTC (Dec 29, 2025) amending certain articles thereof, and other applicable regulations on ETF portfolio, investment activities, and investment restrictions
Fund Duration	Unlimited
Exchange	Ho Chi Minh City Stock Exchange (HOSE)
Target Investors	Domestic and foreign investors seeking medium- to long-term exposure to Vietnam's listed equity market. The ETF enables foreign investors to invest flexibly without individual stock foreign ownership limits

**Article 2 Approval of TCBS's participation in the TECHCOM CAPITAL VN30 ETF established and managed by Techcom Capital Joint Stock Company ("TCC") (a related party of TCBS), as follows:**

- 2.1. TCBS participates in the TECHCOM CAPITAL VN30 ETF with the following roles:
- (i) Authorized Participant and Distribution Agent — authorized to contribute capital for fund creation and distribute ETF certificates;
  - (ii) Market Maker — obligated to provide quotes and maintain liquidity for the ETF on HOSE when TCBS is eligible for this role in compliance with related laws and regulations. For clarity, Market-making activities for ETFs can only be carried out after the Stock Exchange issues a decision to approve the applicant as an ETF market maker.
- 2.2. Additionally, approval of the execution of contracts and operational documents between TCBS and TCC related to ETF operations, with key terms as follows:
- (i) Transaction description: Authorized Participant and Distribution Agent Agreement; Market Making Agreement and related appendices/arrangements;
  - (ii) Counterparty (related party of TCBS): Techcom Capital Fund Management JSC;
  - (iii) Transaction value arising from the above agreements:
    - Shall not exceed 35% of TCBS's total assets as reported in the most recent financial statements;
    - Shall comply with all applicable internal cost limits, approval authorities, and internal regulations of TCBS as amended from time to time.
  - (iv) Other terms as mutually agreed between the parties and in compliance with applicable law.

**Article 3 Approval of Recurring Transactions between TCBS and TCC and/or ETF managed by TCC:**

- 3.1. Approval of the framework for recurring, repetitive transactions arising during ETF operations, in which TCBS is a counterparty to TCC and/or the TECHCOM CAPITAL VN30 ETF, including but not limited to buy, sell, and exchange transactions involving:
- ETF certificates;



- ETF constituent securities;
  - Rights arising from fund certificates/constituent securities;
  - Cash and other assets in compliance with applicable law.
- 3.2. The aggregate annual transaction value and per-transaction value shall not exceed 35% of TCBS's total assets as reported in the most recent financial statements and shall comply with internal cost limits as established by TCBS from time to time.

**Article 4 Approval of implementation:**

- 4.1. The Chairman of the Board of Directors is authorized to decide, implement, and organize the execution of the above matters, including the authority to assign/delegate to other persons. This includes but is not limited to determining transaction prices, volumes, values, asset types, transaction frequency, and other matters necessary to execute transactions in accordance with Board approval and the Company's internal regulations.
- 4.2. The Legal Representative and/or any person duly authorized by the Legal Representative is authorized to sign, execute, and perform all contracts, transactions, agreements, and related documents pertaining to the matters approved by the Board of Directors, in compliance with TCBS's internal regulations as amended from time to time, and to make disclosures as required by law.

**Article 5 Effectiveness and Implementation:**

- 5.1. This Resolution takes effect from the date of signing.
- 5.2. The Chairman of the Board of Directors, the Board of Management and relevant departments, and individuals of the Company shall be responsible for the implementation of this Resolution./.

**Recipients:**

- As in Article 5;
- Filed at the BOD Office..

**ON BEHALF OF THE BOARD OF DIRECTORS**  
**CHAIRMAN OF THE BOARD OF DIRECTORS**

**CÔNG TY  
 CỔ PHẦN  
 CHỨNG KHOÁN  
 KỶ THƯƠNG**  
 P. YÊN HỒ - T.P HÀ NỘI

**NGUYEN XUAN MINH**

