CÔNG TY CỔ PHẦN CHỨNG KHOÁN FPT ***FPT SECURITIES JOINT STOCK COMPANY***	**CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM** **Độc lập - Tự do - Hạnh phúc** ***SOCIALIST REPUBLIC OF VIETNAM*** ***Independence - Freedom - Happiness***
Số/*No*: 331 - 2026/CV/FPTS-FAD	Hà Nội, ngày 24 tháng 8 năm 2026 *Hanoi, August 24, 2026*

CÔNG TY CỔ PHẦN CHỨNG KHOÁN FPT
Digitally signed by CÔNG TY CỔ PHẦN CHỨNG KHOÁN FPT
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CÔNG BỐ THÔNG TIN BẤT THƯỜNG
EXTRAORDINARY INFORMATION DISCLOSURE

Kính gửi/*To*: - Ủy ban Chứng khoán Nhà nước/ *State Securities Commission of Vietnam;*
- Sở Giao dịch Chứng khoán Việt Nam/ *Vietnam Exchange;*
- Sở Giao dịch Chứng khoán TP. Hồ Chí Minh/ *HoChiMinh Stock Exchange.*

1. Tên tổ chức *Name of organization*	:	Công ty Cổ phần Chứng khoán FPT *FPT Securities Joint Stock Company*
- Mã chứng khoán/ *Stock code*	:	FTS
- Địa chỉ trụ sở chính *Address*	:	Số 52, Đường Lạc Long Quân, P. Tây Hồ, TP. Hà Nội *No. 52 Lac Long Quan, Tay Ho Ward, Hanoi City*
- Điện thoại/ *Telephone*	:	1900 6446
- Fax	:	(0243)773 9058
- Email	:	cbtt@fpts.com.vn

2. Nội dung thông tin công bố/ *Contents of disclosure*:

Công ty Cổ phần Chứng khoán FPT công bố thông tin lấy ý kiến cổ đông bằng văn bản về việc thực hiện hoạt động cung cấp dịch vụ bù trừ, thanh toán giao dịch chứng khoán theo cơ chế đối tác bù trừ trung tâm (CCP) và các tài liệu lấy ý kiến cổ đông bằng văn bản, chi tiết như sau:

FPT Securities Joint Stock Company discloses information regarding the collection of opinions of shareholders via written ballot on the provision of clearing and settlement services for securities transactions under the Central Counterparty (CCP) mechanism, along with the documents concerning the collection of opinions of shareholders via written ballot, with details as follows:

- **Thời gian thực hiện lấy ý kiến cổ đông bằng văn bản: Từ ngày 24/08/2026 đến 17 giờ 00 phút ngày 14/09/2026.**
  Voting period: From August 24, 2026 to 17:00, September 14, 2026.

S.G.P: 01-C CÔNG TY CỔ PHẦN CHỨNG KHOÁN FPT P. TÂY HỒ - T.P

- **Tài liệu lấy ý kiến cổ đông bằng văn bản được đăng tải trên trang thông tin điện tử của Công ty tại đường dẫn: https://www.fpts.com.vn/quan-he-co-dong/dai-hoi-dong-co-dong/tai-lieu-hop-dai-hoi-dong-co-dong, bao gồm các tài liệu sau:**

  Documents for collecting opinions of shareholders via written ballot are published on the Company's website at: https://www.fpts.com.vn/investor-relations/shareholders-meeting/meeting-documents/, including the following:

  - **Phiếu lấy ý kiến cổ đông bằng văn bản;**
    Ballot Form for collecting opinions of shareholders via written ballot;
  - **Tờ trình Đại hội đồng cổ đông;**
    Proposal submitted to the General Meeting of Shareholders;
  - **Dự thảo Nghị quyết Đại hội đồng cổ đông;**
    Draft Resolution of the General Meeting of Shareholders;
  - **Giấy ủy quyền.**
    Power of Attorney.

3. Thông tin này đã được công bố trên trang thông tin điện tử của Công ty vào ngày 24/8/2026 tại đường dẫn https://www.fpts.com.vn/quan-he-co-dong/cong-bo-thong-tin/
This information was published on FPTS's website on August 24, 2026, as in the link https://www.fpts.com.vn/investor-relations/information-disclosure/

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.
We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.

Tài liệu đính kèm/ Attached documents:

- *Phiếu lấy ý kiến cổ đông bằng văn bản;*
  Ballot Form for collecting opinions of shareholders via written ballot;
- *Tờ trình Đại hội đồng cổ đông;*
  Proposal submitted to the General Meeting of Shareholders;
- *Dự thảo Nghị quyết Đại hội đồng cổ đông;*
  Draft Resolution of the General Meeting of Shareholders;
- *Giấy ủy quyền.*
  Power of Attorney.

Đại diện tổ chức/ *Organization representative*
Người ủy quyền Công bố thông tin
Person authorized to disclose information

S.G.P: 01-C.T.C.P
CÔNG TY CỔ PHẦN CHỨNG KHOÁN FPT
P. TÂY HỒ - T.P HÀ NỘI

Trịnh Thanh Hằng

FPT Securities

FPT SECURITIES JOINT STOCK COMPANY

Head Office: No. 52 Lac Long Quan Street, Tay Ho Ward, Hanoi City

Tel: 19006446 – Fax: (84-24) 3773 9058 – Website: https://www.fpts.com.vn/

Business Registration No.: 0102324187

BALLOT FORM

I. INFORMATION OF SHAREHOLDER AND AUTHORIZED REPRESENTATIVE:

1. **Full name of Shareholder: ....**
2. Contact address/Head office address: ....
3. Telephone: ....
4. Nationality: ....
5. Legal document No. of individual/institution:...

   For institutional shareholder *(Please fully fill in information from items 6 to 10 below)*
6. Full name of Representative: ....
7. Position: ....
8. Contact address:...
9. Nationality: ....
10. Legal document No. of individual:..
11. Number of shares owned (or represented) at the record date to exercise the rights to collect opinions of shareholders via written ballot (August 19, 2026): ……………….. shares.
12. Corresponding number of voting rights: ……………. (01 ordinary share equals 01 voting right).

    For shareholder authorizing other person(s) *(Please fully fill in information from items 13 to 15 below)*
13. Full name of Authorized Representative: ....
14. Legal document No. of individual:..
15. Number of authorized shares: ....

    Note: *If the person signing this ballot form is an authorized representative of the shareholder, please enclose this ballot form with a valid Power of Attorney established in accordance with civil law as prescribed in Clause 2, Article 144 of the Law on Enterprises No. 59/2020/QH14, or use the Company's authorization form (published at https://www.fpts.com.vn/investor-relations/shareholders-meeting/meeting-documents/).*

II. PURPOSE OF BALLOT:

The Board of Directors ("BOD") of FPT Securities Joint Stock Company ("FPTS") collects opinions of shareholders via written ballot to: ***Approve FPT Securities Joint Stock Company's provision of clearing and settlement services for securities transactions under the Central Counterparty (CCP) mechanism***.

III. MATTERS FOR BALLOT AND VOTING METHOD:

Matter	For	Against	Abstention
Approve FPT Securities Joint Stock Company's provision of clearing and settlement services for securities transactions under the Central Counterparty (CCP) mechanism in accordance with *Proposal for Ballot No. 01-2026/LYKVB/ HDQT/FPTS.*	☐	☐	☐

Voting instructions:

- Shareholders express their votes by marking "x" or "✓" in the corresponding box.
- A ballot form is deemed valid when it meets all the following conditions:
  - ➢ *Issued by FPTS and signed with the full name of the individual Shareholder/signed with the full name of the legal representative or authorized person and sealed for institutional shareholders (accompanied by a valid Power of Attorney under legal regulations).*
  - ➢ *Voted on all matters for ballot and only marked one (01) box corresponding to each matter. In case of marking by mistake or changing opinions, please black out the selected box, mark another box (Note: Only allowed to change one (01) time), and sign next to the newly changed vote. If a re-issuance of the ballot form is required, please contact the address in Section IV below.*
  - ➢ *Returned in proper formats, to the correct address, and within the prescribed deadline specified in Section IV below.*
  - ➢ *Intact, not torn, erased, modified, repaired, or written over the form issued by FPTS (except for the mistake marking/opinion changing cases mentioned above).*
  - ➢ *The envelope containing the ballot form remains intact and unsealed prior to the time of vote counting.*
- Ballot forms failing to satisfy all the conditions above shall be considered invalid.

IV. DEADLINE FOR RETURNING THE BALLOT FORM:

1. The ballot form and relevant documents (if any) must be sent back to FPTS via one of the following methods:

   1.1.Direct delivery or post mail: The ballot form and relevant documents must be enclosed in a sealed envelope and sent to FPTS at the following address:

   Custody and Shareholding Management Department - FPT Securities Joint Stock Company.

   Address: No. 52 Lac Long Quan Street, Tay Ho Ward, Hanoi City.

Telephone: 19006446, ext. 4.

Deadline: No later than 17:00 on September 14, 2026 (based on the postal receipt/mail delivery book).

1.2.Emailing to: **fcm@fpts.com.vn**. Deadline: No later than 17:00 on September 14, 2026 (based on the time the email is received). Ballot forms sent via email shall be kept confidential until the time of vote counting.

2. If the Shareholder submits the ballot form by both postal mail (direct delivery/post) and email, and there is a discrepancy of voting results between ballot forms, the voting result on the postal-mail ballot form shall take priority.
3. Ballot forms not returned to FPTS shall be considered non-participating votes.
4. The vote-counting procedure shall be conducted in accordance with the law and the Charter of FPTS immediately after the deadline for returning ballot forms expires. The vote-counting result shall be recorded in a Vote-Counting Minutes as required by law.
5. Resolutions of the General Meeting of Shareholders approved via written ballot shall have the same validity as the resolutions passed at the General Meeting of Shareholders.

Sincerely,

Hanoi, day 24 month 08 year 2026

SHAREHOLDER/ AUTHORIZED REPRESENTATIVE OF SHAREHOLDER *(Signature, full name, and seal for institution)*	ON BEHALF OF THE BOARD OF DIRECTORS CHAIRMAN
	S.G.P:01-C.T.C.P CÔNG TY CỔ PHẦN CHỨNG KHOÁN FPT P. TÂY HỒ - T.P HÀ NỘI
	NGUYEN DIEP TUNG

FPT Securities

THE SOCIALIST REPUBLIC OF VIETNAM
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Hanoi, August 24, 2026

No.: **01** - 2026/LYKVB/HDQT/FPTS

PROPOSAL

Re: Approving FPT Securities Joint Stock Company's provision of clearing and settlement services for securities transactions under the Central Counterparty (CCP) mechanism

To: The General Meeting of Shareholders of FPT Securities Joint Stock Company

According to:

- *Law on Enterprises No. 59/2020/QH14 dated June 17, 2020, amended and supplemented by Law No. 03/2022/QH15 dated January 11, 2022, Law No. 76/2025/QH15 dated June 17, 2025, and guiding documents for enforcement;*
- *Law on Securities No. 54/2019/QH14 dated November 26, 2019, amended and supplemented by Law No. 56/2024/QH15 dated November 29, 2024, and guiding documents for enforcement;*
- *Decree No. 155/2020/ND-CP dated December 31, 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, amended and supplemented by Decree No. 245/2025/ND-CP dated September 11, 2025;*
- *Circular No. 121/2020/TT-BTC dated December 31, 2020 of the Ministry of Finance specifying the operations of securities companies, amended and supplemented by Circular No. 68/2024/TT-BTC dated September 18, 2024, and Circular No. 08/2026/TT-BTC dated February 3, 2026;*
- *Charter on Organization and Operation of FPT Securities Joint Stock Company.*

The Board of Directors ("BOD") of FPT Securities Joint Stock Company ("Company") respectfully submits to the General Meeting of Shareholders for approving the implementation of clearing and settlement services for securities transactions under the Central Counterparty (CCP) mechanism, specifically as follows:

Pursuant to Article 151 of Decree No. 155/2020/ND-CP dated December 31, 2020 of the Government regarding conditions for providing clearing and settlement services for securities transactions, the Company currently satisfies all statutory conditions.

On that basis, the BOD respectfully submits to the General Meeting of Shareholders for consideration and approval of the following contents:

1. Approve FPT Securities Joint Stock Company's provision of clearing and settlement services for securities transactions under the Central Counterparty (CCP) mechanism in accordance with applicable laws.
2. Approve the authorization to the Board of Directors as follows:
   - Select and register the type of clearing member (general clearing member or direct clearing member) based on the Company's operational conditions and requirements.
   - Decide, approve, and direct the implementation of necessary procedures with competent authorities to apply for a license to provide clearing and settlement services for securities transactions under the Central Counterparty (CCP) mechanism;

perform other relevant procedures in accordance with the law and the Charter of the Company.

- In the process of executing the authorized tasks above, the Board of Directors is entitled to assign/authorize Mr. Nguyen Diep Tung - Chairman of the Board of Directors and/or Ms. Nguyen Thi Thu Huong - General Director to carry out the matters authorized by the General Meeting of Shareholders.

Respectfully submit to the General Meeting of Shareholders for consideration and approval!

ON BEHALF OF THE BOARD OF DIRECTORS

CHAIRMAN

S.G.P:01-C.T.C.P
CÔNG TY CỔ PHẦN CHỨNG KHOÁN FPT
P. TÂY HỒ - T.P HÀ NỘI

NGUYEN DIEP TUNG

FPT SECURITIES JOINT STOCK COMPANY	THE SOCIALIST REPUBLIC OF VIETNAM
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No.: **02** - 2026/NQ/DHDCD/FPTS	------o0o------

Hanoi, day ... September 2026

DRAFT

RESOLUTION

GENERAL MEETING OF SHAREHOLDERS
FPT SECURITIES JOINT STOCK COMPANY

According to:

- *Law on Enterprises No. 59/2020/QH14 dated June 17, 2020, amended and supplemented by Law No. 03/2022/QH15 dated January 11, 2022, Law No. 76/2025/QH15 dated June 17, 2025, and guiding documents for enforcement;*
- *Law on Securities No. 54/2019/QH14 dated November 26, 2019, amended and supplemented by Law No. 56/2024/QH15 dated November 29, 2024, and guiding documents for enforcement;*
- *Decree No. 155/2020/ND-CP dated December 31, 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, amended and supplemented by Decree No. 245/2025/ND-CP dated September 11, 2025;*
- *Circular No. 121/2020/TT-BTC dated December 31, 2020 of the Ministry of Finance specifying the operations of securities companies, amended and supplemented by Circular No. 68/2024/TT-BTC dated September 18, 2024, and Circular No. 08/2026/TT-BTC dated February 3, 2026;*
- *Charter on Organization and Operation of FPT Securities Joint Stock Company.*
- *Minutes of Collecting Opinions of Shareholders via Written Ballot of FPT Securities Joint Stock Company dated September ... 2026.*

RESOLVES

Article 1: Approve FPT Securities Joint Stock Company's provision of clearing and settlement services for securities transactions under the Central Counterparty (CCP) mechanism in accordance with applicable laws.

Article 2: Approve the authorization to the Board of Directors as follows:

– Select and register the type of clearing member (general clearing member or direct clearing member) based on the Company's operational conditions and requirements.

- Decide, approve, and direct the implementation of necessary procedures with competent authorities to apply for a license to provide clearing and settlement services for securities transactions under the Central Counterparty (CCP) mechanism; perform other relevant procedures in accordance with the law and the Charter of the Company.
- In the process of executing the authorized tasks above, the Board of Directors is entitled to assign/authorize Mr. Nguyen Diep Tung - Chairman of the Board of Directors and/or Ms. Nguyen Thi Thu Huong - General Director to carry out the matters authorized by the General Meeting of Shareholders.

Article 3: **This Resolution takes effect from September …, 2026. The Board of Directors, the Board of Management, related departments and individuals of the Company are responsible for implementing this Resolution.**

Recipients:
- *As stated in Article 3 herein;*
- *Archives: FAD.*

ON BEHALF OF THE GENERAL MEETING OF SHAREHOLDERS
CHAIRMAN OF THE MEETING

NGUYEN DIEP TUNG

THE SOCIALIST REPUBLIC OF VIETNAM
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…………, day …. month … year 2026

POWER OF ATTORNEY

To: FPT Securities Joint Stock Company

1. PRINCIPAL (AUTHORIZING PARTY):

Name of individual/organization: ....
Citizen ID Card/Passport/Business Registration Certificate No.:...
Date of issue:........................... Place of issue:..
Shareholder ID: ....
Address: ....
Telephone: ....
Number of shares owned: ....
(In words: ....)

2. ATTORNEY-IN-FACT (AUTHORIZED PARTY):

Name of individual: ....
Citizen ID Card/Passport No.:.. Date of issue...
Place of issue...
Address:...
Telephone:...
Number of authorized shares: ....
(In words: ....)

3. SCOPE OF AUTHORIZATION:

The Attorney-in-fact is authorized to represent the Principal in voting on matters regarding shareholder opinions via written ballot of FPT Securities Joint Stock Company to perform all rights and obligations of a shareholder in relation to the authorized shares.
We shall take full responsibility for this authorization, undertake to strictly comply with the applicable provisions of Law and the Charter of FPT Securities Joint Stock Company, and shall raise no claims or complaints thereafter.

ATTORNEY-IN-FACT	**PRINCIPAL**
(Signature, full name, and seal)	*(Signature, full name, and seal)*

Notes:

- *The Attorney-in-fact shall not re-authorize their own shares and/or the Principal's shares to any third party.*
- *This Power of Attorney is valid only as the original copy signed by both parties. If either the Principal or the Attorney-in-fact is an organization, it must bear the signature of the legal representative and the official seal of such organization. This Power of Attorney is valid exclusively for collecting opinions of shareholders via written ballot in 2026 of FPT Securities Joint Stock Company.*